TOWN OF CHESHIRE, CONNECTICUT

REQUEST
FOR PROPOSALS

REBID

Cheshire High School

HVAC IMPROVEMENTS

BID #2223-17
The Town of Cheshire is seeking competitive proposals for *HVAC Improvements at Cheshire High School*. Sealed proposals are due by **1:00 pm, on February 24, 2023** at the office of the Department of Public Works, Cheshire Town Hall, 84 South Main Street, Cheshire, Connecticut 06410. At that time, proposals will be opened in public and read aloud.

The documents comprising the Request for Proposals (“RFP Documents”) may be obtained on the Town's website, [www.cheshirect.org](http://www.cheshirect.org) under "Businesses" / “Bids & RFP’s – doing business with the Town."

The Town of Cheshire reserves the rights to amend or terminate this Request for Proposals, accept all or any part of a proposal, reject all proposals, waive any informalities or non-material deficiencies in a proposal, and award the proposal to the proposer that, in the Town's sole discretion and judgment, will be in the Town's best interests.
TOWN OF CHERSHIRE, CONNECTICUT

REQUEST FOR PROPOSALS FOR

CHESHIRE HIGH SCHOOL HVAC IMPROVEMENTS

Proposal Number: 2223-17
Proposal Opening Date: 02-24-2023
Proposal Opening Time: 1:00 pm
Proposal Opening Place: Cheshire Town Hall, Room 207

The Town of Cheshire is seeking proposals for the removal of old unit ventilators and provide rooftop air handling units to improve indoor air quality in the classrooms through ventilation heating and cooling and related construction.

One (1) original and 2 copies of sealed proposals accompanied by a digital copy on a removable thumb drive must be received in the Cheshire Town Hall, Department of Public Works and Engineering, Room 213, 84 South Main Street, Cheshire, CT 06410 by the date and time noted above. The Town of Cheshire (the "Town") will not accept submissions by e-mail or fax. The Town will reject proposals received after the date and time noted above.

The documents comprising this Request for Proposals may be obtained on the Town's website, www.cheshirect.org, under "Proposals & RFP's." Each proposer is responsible for checking the Town's website to determine if the Town has issued any addenda and, if so, to complete its proposal in accordance with the RFP as modified by the addenda.

Proposals shall be held firm and cannot be withdrawn for sixty (60) calendar days after the opening date.

The Town reserves the rights to amend or terminate this Request for Proposals, accept all or any part of a proposal, reject all proposals, waive any informalities or non-material deficiencies in a proposal, and award the proposal to the proposer that, in the Town's sole discretion and judgment, will be in the Town's best interests.

This Request for Proposals ("RFP") includes:

- Standard Instructions to Proposers
- Specifications
- Insurance Requirements
- Proposal Form
- Proposer's Legal Status Disclosure
- Proposer's Certification Concerning Equal Employment Opportunities and Affirmative Action Policy
- Proposer's Non Collusion Affidavit
- Proposer's Statement of References
- Addenda, if any
- The Contract in the form attached
1. INTRODUCTION

The Town of Cheshire (the "Town") is soliciting proposals for Cheshire High School HVAC Improvements. This RFP is not a contract offer, and no contract will exist unless and until a written contract is signed by the Town and the successful proposer.

Interested parties should submit a proposal in accordance with the requirements and directions contained in this RFP. Proposers are prohibited from contacting any Town employee, officer or official concerning this RFP, except as set forth in Section 6, below. A proposer's failure to comply with this requirement may result in disqualification.

If there are any conflicts between the provisions or these Standard Instructions to Proposers and any other documents comprising this RFP, these Standard Instructions to Proposers shall prevail.

2. RIGHT TO AMEND OR TERMINATE THE RFP OR CONTRACT

The Town may, before or after proposal opening and in its sole discretion, clarify, modify, amend or terminate this RFP if the Town determines it is in the Town's best interest. Any such action shall be effected by a posting on the Town's website, www.cheshirect.org, under "Proposals & RFP's." Each proposer is responsible for checking the Town's website to determine if the Town has issued any addenda and, if so, to complete its proposal in accordance with the RFP as modified by the addenda.

If this RFP provides for a multi-year agreement, the Town also reserves the right to terminate the Contract at the end of the last fiscal year for which funds have been appropriated, and the Town shall have no obligation or liability to the successful proposer for any unfunded year or years.

3. KEY DATES

Non-Mandatory Pre-Proposal Site Visit: 02-13-2023 @ 3:00 pm
Proposal Opening: 02-24-2023 @ 1:00 pm
Preliminary Notice of Award: 03-09-2023
Contract Execution: 03-15-2023
Substantial Completion: August 23, 2023
The Preliminary Notice of Award and Contract Execution dates are anticipated, not certain, dates. If awarded a contract, the successful respondent agrees, by the submission of its proposal, that it shall sign the contract provided by the Town without alteration or modification within five (5) days of receipt of notice of award.

4. OBTAINING THE RFP

All documents that are a part of this RFP may be obtained on the Town's website, www.cheshirect.org, under "Proposals & RFP's."

5. PROPOSAL SUBMISSION INSTRUCTIONS

Proposals must be received in the Cheshire Town Hall, Department of Public Works and Engineering, Room 213, 84 South Main Street, Cheshire, CT 06410 prior to the date and time the proposals are scheduled to be opened publicly. Postmarks prior to the opening date and time do NOT satisfy this condition. The Town will not accept submissions by e-mail or fax. Proposers are solely responsible for ensuring timely delivery. The Town will NOT accept late proposals.
One (1) original and 2 copies of all proposal documents accompanied by a digital copy on a removable thumb drive must be submitted in sealed, opaque envelopes clearly labeled with the proposer's name, the proposer's address, the words "PROPOSAL DOCUMENTS," and the Proposal Title, Proposal Number and Proposal Opening Date. The Town may decline to accept proposals submitted in unmarked envelopes that the Town opens in its normal course of business. The Town may, but shall not be required to, return such proposal documents and inform the proposer that the proposal documents may be resubmitted in a sealed envelope properly marked as described above.

Proposal prices must be submitted on the Proposal Form included in this RFP. All blank spaces for proposal prices must be completed in ink or be typewritten; proposal prices must be stated in both words and figures. The person signing the Proposal Form must initial any errors, alterations or corrections on that form. Ditto marks (“ “) or words such as "SAME" shall not be used in the Proposal Form.

Proposals may be withdrawn personally or in writing provided that the Town receives the withdrawal prior to the time and date the proposals are scheduled to be opened. Proposals are considered valid, and may not be withdrawn, cancelled, or modified, for sixty (60) days after opening. after the opening date, to give the Town sufficient time to review the proposals, investigate the proposers' qualifications, secure any required municipal approvals, and execute a binding contract with the successful proposer.

An authorized person representing the legal entity of the proposer must sign the Proposal Form and all other forms included in this RFP.

6. QUESTIONS AND AMENDMENTS

Respondents shall promptly notify the Town of any ambiguity, inconsistency or error which they may discover upon examination of the RFP and/or any documents provided or issued by the Town in conjunction with the RFP. Interpretations, corrections and changes made to the RFP Documents will be made by written addenda. Addenda are written instruments issued by the Town prior to the proposal opening date, which modify or interpret the RFP Documents by addition, deletion, clarification or correction.

Questions concerning the process and procedures applicable to this RFP are to be submitted in writing (including by e-mail or fax) and directed only to:

Name: Daniel Bombero
Department: Public Works
E-mail: dbombero@cheshirect.org
Fax: 203-271-6659
Questions concerning the RFP Documents are to be submitted in writing (including by e-mail or fax) and directed only to:

Name: Daniel Bombero  
Department: Public Works  
E-mail: dbombero@cheshirect.org  
Fax: 203-271-6659

Proposers are prohibited from contacting any other Town employee, officer or official concerning this RFP. A proposer's failure to comply with this requirement may result in disqualification.

The appropriate Town representative listed above must receive any questions from proposers no later than seven (7) business days before the proposal opening date. That representative will confirm receipt of a proposer's questions by e-mail. The Town will answer all written questions by issuing one or more addenda, which shall be a part of this RFP and the resulting Contract, containing all questions received as provided for above and responses to same.

At least four (4) calendar days prior to proposal opening, the Town will post any addenda on the Town's website, www.cheshirect.org, under "Proposals & RFP's." Each proposer is responsible for checking the website to determine if the Town has issued any addenda and, if so, to complete its proposal in accordance with the RFP as modified by the addenda.

No oral statement of the Town, including oral statements by the Town representatives listed above, shall be effective to waive, change or otherwise modify any of the provisions of this RFP, and no proposer shall rely on any alleged oral statement.

7. ADDITIONAL INFORMATION/REQUIREMENTS

7.1 Delivery/Time for Performance. TIME IS OF THE ESSENCE with regard to the performance of the services procured through this RFP and the Contract to be entered into by the Town with the selected proposer, if any. Strict compliance with and adherence to the schedule for the services and the Contract is mandatory. If, in the sole opinion of the Town, the selected proposer is not adhering to the contract schedule, upon forty-eight (48) hours written notice from the Town to the selected proposer, the Town shall have the right to direct the proposer to increase its manpower to meet the established project schedule (including any milestones) without additional compensation. Any and all such additional labor or supervision shall be at proposer’s sole cost and expense and may include, but shall not be limited to, the Town directing the selected proposer to work overtime, work weekends, or any combination thereof, without any additional compensation being due to proposer for such additional personnel. In addition, the Town shall have the right but not the obligation to supplement the proposer’s forces with that of another vendor in order to achieve compliance with the project schedule. All costs attributable to the supplemental labor and supervision of same shall be the sole obligation and responsibility of the selected proposer. Failure to strictly adhere to the schedule (including any milestones) and the provisions of this paragraph 7.1 shall constitute a material default of proposer’s contractual obligations and entitle the Town, in its discretion, to all remedies for default set forth in the contract.
7.2 **Termination of Contract.** Contracts shall remain in force for the period within which the selected proposer must perform as set forth in the proposal, unless an extension has been agreed upon as evidenced by a contract extension executed in writing by both the selected proposer and the Town.

7.3 **Assignment.** Proposer shall not assign, transfer or subcontract this contract or its obligations hereunder without the prior written consent of the Town, which consent may be withheld in the Town’s sole discretion.

7.4 **Default.** The contract may be terminated by the Town by written notice of default to the upon non-performance or breach of the contract terms. The awarded proposer shall be obligated to pay the Town for all losses, damages, costs and expenses, including the cost of re-procurement, and attorney’s fees incurred defending claims arising from such default and in seeking recovery of all such costs and expenses from proposer and/or its surety. Upon a termination for cause, the Town shall have no further obligation to issue payments to the proposer until resolution of the dispute.

7.5 **Conflict.** To the extent any of the contract terms set forth herein conflict with the terms of the form Contract entered into by the parties, the Contract terms shall control.

7.6 **COVID-19:** Proposers shall anticipate and incorporate into their proposals all potential costs and delays related to a public health emergency such as the COVID-19 coronavirus pandemic, including the cost of compliance with rules, regulations, guidelines and recommendations issued by public authorities. Potential costs may include but are not limited to, costs related to inefficiency, lost productivity, delays of performance, social distancing, manpower levels, project scheduling, coordination, material/product supply chain delays and disruptions, delivery delays, material escalation, and any other potential costs. In no event shall the Town be liable for any such costs and/or delays.

The Town reserves the right, either before or after the opening of proposals, to ask any proposer to clarify its proposal or to submit any additional information that the Town in its sole discretion deems desirable.

**8. COSTS FOR PREPARING PROPOSAL**

Each proposer's costs incurred in developing its proposal are its sole responsibility, and the Town shall have no liability for such costs.

**9. OWNERSHIP OF PROPOSALS**

All proposals submitted become the Town's property and will not be returned to proposers.

**10. FREEDOM OF INFORMATION ACT**

All information submitted in a proposal or in response to a request for additional information is subject to disclosure under the Connecticut Freedom of Information Act as amended and judicially interpreted. A proposer's responses may contain financial, trade secret or other data that it claims should not be public (the "Confidential Information"). A proposer must identify specifically the pages and portions of its proposal or additional information that contain the claimed Confidential Information by visibly marking all such pages and portions. Provided that the proposer cooperates with the Town as described in this section, the Town shall, to the extent permitted by law, protect
from unauthorized disclosure such Confidential Information.

If the Town receives a request for a proposer’s Confidential Information, it will promptly notify the proposer in writing of such request and provide the proposer with a copy of any written disclosure request. The proposer may provide written consent to the disclosure, or may object to the disclosure by notifying the Town in writing to withhold disclosure of the information, identifying in the notice the basis for its objection, including the statutory exemption(s) from disclosure. The proposer shall be responsible for defending any complaint brought in connection with the nondisclosure, including but not only appearing before the Freedom of Information Commission, and providing witnesses and documents as appropriate.

11. REQUIRED DISCLOSURES

In its Proposal Form each proposer must disclose, if applicable:

- Its inability or unwillingness to meet any requirement of this RFP, including but not only any of the Contract Terms referenced herein and in the contract template provided by the Town as part of this RFP (if applicable);

- If it is listed on the State of Connecticut’s Debarment List;

- If it is ineligible, pursuant to Conn. Gen. Stat.§ 31-57b, to be awarded the Contract because of occupational safety and health law violations;
• All resolved and pending arbitrations and litigation matters in which the proposer or any of its principals (regardless of place of employment) has been involved within the last ten (10) years;

• All criminal proceedings in which the proposer or any of its principals (regardless of place of employment) has ever been the subject; and

• Each instance in which it or any of its principals (regardless of place of employment) has ever been found to have violated any state or local ethics law, regulation, ordinance, code, policy or standard, or to have committed any other offense arising out of the submission of proposals or bids or the performance of work on public works projects or contracts.

A proposer's acceptability based on these disclosures lies solely in the Town's discretion.

12. REFERENCES

Each proposer must complete and submit the Proposer's Statement of References form included in this RFP.

13. LEGAL STATUS

If a proposer is a corporation, limited liability company, or other business entity that is required to register with the Connecticut Secretary of the State's Office, it must have a current registration on file with that office. The Town may, in its sole discretion, request acceptable evidence of any proposer's legal status.

14. PROPOSAL (BID) SECURITY

Each proposal must be accompanied by a certified check of the proposer or a proposal (bid) bond with a surety acceptable to the Town in an amount equal to at least TEN PERCENT (10%) of the proposal amount. The proposal (bid) bond shall be written by a company or companies licensed to issue bonds in the State of Connecticut, which company or companies shall have at least an "A-" VIII policyholders rating as reported in the latest edition of Best Publication's Key Rating Guide. The successful proposer, upon its refusal or failure to execute and deliver the Contract, certificate(s) of insurance, W-9 form, performance security or other documents required by this RFP within five (5) business days of written notification of preliminary award, unless the Town otherwise agrees in writing, shall forfeit to the Town, as liquidated damages for such failure or refusal, the security submitted with its proposal.

Upon the successful proposer's execution of the Contract in the form provided with this RFP, the Town shall return the proposal security to the successful proposer and to all other proposers.
15. **PRESUMPTION OF PROPOSER'S FULL KNOWLEDGE**

Each proposer is responsible for having read and understood each document in this RFP and any addenda issued by the Town. A proposer's failure to have reviewed all information that is part of or applicable to this RFP, including but not limited to any addenda posted on the Town's website, shall in no way relieve it from any aspect of its proposal or the obligations related thereto.

Each proposer is deemed to be familiar with and is required to comply with all federal, state and local laws, regulations, ordinances, codes and orders that in any manner relate to this RFP or the performance of the work described herein.

By submitting a proposal, each proposer represents that it has thoroughly examined and become familiar with the scope of work outlined in this RFP, and it is capable of performing the work to achieve the Town's objectives. If applicable, each proposer shall visit the site, examine the areas and thoroughly familiarize itself with all conditions of the property before preparing its proposal.

16. **SUBSTITUTION FOR NAME BRANDS**

The proposer must attach detailed information concerning deviations from any name brands specified in the RFP and explain in detail how the substitution compares with the name brand's specifications. The Town in its sole discretion shall decide whether the substitution is acceptable.

17. **TAX EXEMPTIONS**

The Town is exempt from the payment of federal excise taxes and Connecticut sales and use taxes. Federal Tax Exempt #066-001971. Exemption from State sales tax per Conn. Gen. Stat. Chapter 219, § 12-412(1). No exemption certificates are required, and none will be issued.
18. INSURANCE

Vendor shall maintain in force at all times during which services are to be performed by vendor, or such longer period as provided by contract, the following coverages placed with company(ies) licensed by the State of Connecticut which have at least an "A-" VIII policyholders rating according to A.M. Bests latest edition Key Rating Guide. The stated policy limits are the minimum coverage amounts required.

<table>
<thead>
<tr>
<th>Coverage Type</th>
<th>Description</th>
<th>Minimum Limit</th>
</tr>
</thead>
<tbody>
<tr>
<td>General Liability*</td>
<td>Each Occurrence</td>
<td>$1,000,000</td>
</tr>
<tr>
<td></td>
<td>General Aggregate</td>
<td>$2,000,000</td>
</tr>
<tr>
<td></td>
<td>Products/Completed Operations Aggregate</td>
<td>$2,000,000</td>
</tr>
<tr>
<td>Auto Liability*</td>
<td>Combined Single Limit</td>
<td>$1,000,000</td>
</tr>
<tr>
<td></td>
<td>Each Accident</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>Umbrella* (Excess Liability)</td>
<td>Each Occurrence</td>
<td>$1,000,000</td>
</tr>
<tr>
<td></td>
<td>Aggregate</td>
<td>$1,000,000</td>
</tr>
</tbody>
</table>

* The Town of Cheshire, and its Board of Education (if applicable) shall be named as "Additional Insured". Coverage is to be provided on a primary, noncontributory basis. Waiver of subrogation to be provided. Higher limits may be required, based on the scope and nature of the services to be provided. If higher limits are required, such limits shall be identified in the Request for Proposal of Invitation to Bid, as well as in the contract issued by the Town. The Town reserves the right to require additional coverages, including, without limitation, Builder’s Risk insurance for construction projects and Owner’s Protective Liability insurance, if desirable.

If any policy is written on a "Claims Made" basis, the policy must be continually renewed for a minimum of two (2) years from the completion date of this contract. If the policy is replaced and/or the retroactive date is changed, then the expiring policy must be endorsed to extend the reporting period for claims for the policy in effect during the contract for two (2) years from the completion date.

<table>
<thead>
<tr>
<th>Coverage Type</th>
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<th>Minimum Limit</th>
</tr>
</thead>
<tbody>
<tr>
<td>Employers' Liability</td>
<td>EL Each Accident</td>
<td>$500,000</td>
</tr>
<tr>
<td></td>
<td>EL Disease Each Employee</td>
<td>$500,000</td>
</tr>
<tr>
<td></td>
<td>EL Disease Policy Limit</td>
<td>$500,000</td>
</tr>
</tbody>
</table>

Workers' Compensation and WC Statutory Limits

Original, completed Certificates of Insurance must be presented to the Town’s Purchasing Agent prior to purchase order issuance and contract execution. Vendor agrees to provide replacement/renewal certificates at least 60 days prior to the expiration of the policy. Should any of the above described policies be cancelled before the expiration date, written notice must be provided to the Town 30 days prior to cancellation. Failure to maintain required insurance coverage shall be a material default of vendor’s contract with the Town.

The successful proposer shall, at its own expense and cost, obtain and keep in force at least the insurance listed in the Insurance Requirements that are a part of this RFP. The Town reserves the right to require from the successful proposer a complete, certified copy of any required insurance policy.
19. PERFORMANCE SECURITY

The successful proposer shall furnish security a performance bond covering the faithful performance of the Contract (the "Performance Security"). The Performance Security shall be $, and in a form reasonably acceptable to the Town. If the Performance Security is a performance bond, it shall be issued by a company licensed by the State of Connecticut that is a T List surety and has at least an "A-" VIII policyholders rating according to Best Publication's latest edition Key Rating Guide." The cost of the Performance Security shall be included in the proposal price.

In addition to the Performance Security, the successful proposer shall furnish a bond covering the successful proposer's payment to its subcontractors and suppliers of all obligations arising under the Contract (the "Payment Bond"). The Payment Bond shall be (a) in the full amount of the Contract price; (b) in a form reasonably acceptable to the Town; and (c) issued by a company licensed by the State of Connecticut that has at least an "A-" VIII policyholders rating according to Best Publication's latest edition Key Rating Guide and is on the T List. The cost of the Payment Bond shall be included in the proposal price.

20. DELIVERY ARRANGEMENTS

The successful proposer shall deliver the items that are the subject of the RFP, at its sole cost and expense, to the location(s) listed in the Specifications.

21. AWARD CRITERIA; SELECTION; CONTRACT EXECUTION

All proposals will be publicly opened and read aloud as received on the date, at the time, and at the place identified in this RFP. Proposers may be present at the opening, unless expressly prohibited by the Town.

The Town reserves the right to correct, after proposer verification, any mistake in a proposal that is a clerical error, such as a price extension, decimal point error or FOB terms. If an error exists in an extension of prices, the unit price shall prevail. In the event of a discrepancy between the price quoted in words and in figures, the words shall control.

The Town reserves the rights to accept all or any part of a proposal, reject all proposals, and waive any informalities or non-material deficiencies in a proposal. The Town also reserves the right, if applicable, to award the purchase of individual items under this RFP to any combination of separate proposals or proposers.
The Town will accept the proposal that, all things considered, the Town determines is in its best interests. Although price will be an important factor in most RFPs, it will not be the only basis for award. Due consideration may also be given to a proposer's experience, references, service, ability to respond promptly to requests, past performance, and other criteria relevant to the Town's interests, including compliance with the procedural requirements stated in this RFP.

The Town will not award the proposal to any business that or person who is in arrears or in default to the Town with regard to any tax, debt, charge, contract, security or any other obligation.

If the lowest proposer meets all specifications, is responsive, and, if applicable, qualified, but the proposal is not acceptable to the Town Manager or, if applicable, the Public Building Commission or the Board of Education, the matter must be referred to the Town Council for its decision on whether to reject all proposals, to accept a higher proposal, or to take such other action as may be in the Town's best interests.

The Town will select the proposal that it deems to be in the Town's best interest and issue a Preliminary Notice of Award to the successful proposer. The award may be subject to further discussions with the proposer. The making of a preliminary award to a proposer does not provide the proposer with any rights and does not impose upon the Town any obligations. The Town is free to withdraw a preliminary award at any time and for any reason. A proposer has rights, and the Town has obligations, only if and when a Contract is fully executed by the Town and the proposer.

If the proposer does not execute the Contract within five (5) business days of the date of the Preliminary Notice of Award, unless extended by the Town, the Town may call any proposal security provided by the proposer and may enter into discussions with another proposer.

The Preliminary Notice of Award and Contract Execution dates in Section 3's Key Dates are anticipated, not certain, dates.

22. AFFIRMATIVE ACTION, AND EQUAL OPPORTUNITY

Each proposer must submit a completed Proposer's Certification Concerning Equal Employment Opportunities and Affirmative Action Policy form included with this RFP. Proposers with fewer than ten (10) employees should indicate that fact on the form and return the form with their proposals.

23. NONRESIDENT CONTRACTORS

If the successful proposer is a "nonresident contractor" as defined in Conn. Gen. Stat. § 12-430(7)(A) as amended, it shall comply fully with the provisions of § 12-430(7) and, prior to execution of the Contract, shall furnish the Town with a copy of the requisite certificate of compliance set forth in C.G.S. § 12-430(7)(E). The successful proposer agrees to defend, indemnify, and hold harmless the Town, its employees, officers, officials, agents, volunteers and independent contractors, including any of the foregoing sued as individuals (collectively, the "Town Indemnified Parties"), from any and all taxes, interest and penalties that the State of
Connecticut asserts are due with respect to the successful proposer's activities under the Contract.

The successful proposer shall also be required to pay any and all attorney's fees incurred by the Town Indemnified Parties in enforcing any of the successful proposer's obligations under this section, whether or not a lawsuit or other proceeding is commenced, which obligations shall survive the termination or expiration of the Contract.

24. COMPLIANCE WITH IMMIGRATION LAWS

By submitting a proposal, each proposer confirms that it has complied, and during the term of the Contract will comply, with the Immigration Reform and Control Act ("IRCA") and that each person it provides under the Contract will at all times be authorized for employment in the United States of America. Each proposer confirms that it has a properly completed Employment
Eligibility Verification, Form I-9, for each person who will be assigned under the Contract and that it will require each subcontractor, if any, to confirm that it has a properly completed Form I-9 for each person who will be assigned under the Contract.

The successful proposer shall defend, indemnify, and hold harmless the Town, its employees, officers, officials, agents, volunteers and independent contractors, including any of the foregoing sued as individuals (collectively, the "Town Indemnified Parties"), against any and all proceedings, suits, actions, claims, damages, injuries, awards, judgments, losses or expenses, including fines, penalties, punitive damages, attorney's fees and costs, brought or assessed against, or incurred by, the Town Indemnified Parties related to or arising from the obligations under IRCA imposed upon the successful proposer or its subcontractor. The successful proposer shall also be required to pay any and all attorney's fees and costs incurred by the Town Indemnified Parties in enforcing any of the successful proposer's obligations under this provision, whether or not a lawsuit or other proceeding is commenced, which obligations shall survive the termination or expiration of the Contract.

25. NON COLLUSION AFFIDAVIT

Each proposer shall submit a completed Proposer's Non Collusion Affidavit that is part of this RFP.

26. CONTRACT TERMS

The following provisions will be among the mandatory terms of the Town's Contract with the successful proposer. If a proposer is unwilling or unable to meet any of these Contract Terms, the proposer must disclose that inability or unwillingness in its Proposal Form (see Section 11 of these Standard Instructions to Proposers):

a. DEFENSE HOLD HARMLESS AND INDEMNIFICATION

The successful proposer agrees, to the fullest extent permitted by law, to defend, indemnify, and hold harmless the Town, its employees, officers, officials, agents, volunteers, boards, commissions, committees, and independent contractors, including any of the foregoing sued as individuals (collectively, the "Town Indemnified Parties"), from and against all proceedings, suits, actions, claims, damages, injuries, awards, judgments, losses or expenses, including attorney's fees, arising out of or relating, directly or indirectly, to the successful proposer's performance of the contract, including but not limited to proposer's malfeasance, misconduct, negligence or failure to meet its obligations under the RFP or the Contract. The successful proposer's obligations under this section shall not be limited in any way by any limitation on the amount or type of the successful proposer's insurance. Nothing in this section shall obligate the successful proposer to indemnify the Town Indemnified Parties against liability for damage arising out of bodily injury to persons or damage to property caused by or resulting from the negligence of the Town Indemnified Parties.

In any and all claims against the Town Indemnified Parties made or brought by any employee of the successful proposer, or anyone directly or indirectly employed or contracted with by the successful proposer, or anyone for whose acts or omissions the successful proposer is or may be liable, the successful proposer's obligations under this section shall not be limited by any
limitation on the amount or type of damages, compensation or benefits payable by the successful proposer under workers' compensation acts, disability benefit acts, or other employee benefits acts.

The successful proposer shall also be required to pay any and all attorney's fees incurred by the Town Indemnified Parties in enforcing any of the successful proposer's obligations under this section, which obligations shall survive the termination or expiration of this RFP and the Contract.

As a municipal agency of the State of Connecticut, the Town will NOT defend, indemnify, or hold harmless the successful proposer.

b. ADVERTISING

The successful proposer shall not name the Town in any advertising, news releases, or promotional efforts without the Town's prior written approval.

If it chooses, the successful proposer may list the Town in a Statement of References or similar document required as part of its response to a public procurement. The Town's permission to the successful proposer to do so is not a statement about the quality of the successful proposer's work or the Town's endorsement of the successful proposer:

c. W-9 FORM

The successful proposer must provide the Town with a completed W-9 form before Contract execution.

d. PAYMENTS

Proposers are encouraged to offer discounts for early payment. All other payments are to be made 30 days after the appropriate Town employee receives and approves the invoice, unless otherwise specified in the Specifications or Contract.

In each of its contracts with subcontractors or materials suppliers, the successful proposer shall agree to pay any amounts due for labor performed or materials furnished not later than thirty (30) days after the date the successful proposer receives payment from the Town that encompasses the labor performed or materials furnished by such subcontractor or material supplier. The successful proposer shall also require in each of its contracts with subcontractors that such subcontractor shall, within thirty (30) days of receipt of payment from the successful proposer, pay any amounts due any subcontractor or material supplier, whether for labor performed or materials furnished.

Each payment application or invoice shall be accompanied by a statement showing the status of all pending change orders, pending change directives and approved changes to the Contract. Such statement shall identify the pending change orders and pending change directives, and shall include the date such change orders and change directives were initiated, additional cost and/or time associated with their performance and a description of any work completed. The successful proposer shall require each of its subcontractors and suppliers to include a similar statement with each of their payment applications or invoices.

e. TOWN INSPECTION OF WORK
The Town may inspect the successful proposer's work at all reasonable times. This right of inspection is solely for the Town's benefit and does not transfer to the Town the responsibility for discovering patent or latent defects. The successful proposer has the sole and exclusive responsibility for performing in accordance with the Contract.

f. REJECTED WORK OR MATERIALS

The successful proposer, at its sole cost and expense, shall remove from the Town's property rejected items, commodities and/or work within 48 hours of the Town's notice of rejection. Immediate removal may be required when safety or health issues are present.

g. MAINTENANCE AND AVAILABILITY OF RECORDS
The successful proposer shall maintain all records related to the work described in the RFP for a period of five (5) years after final payment under the Contract or until all pending Town, state and federal audits are completed, whichever is later. Such records shall be available for examination and audit by Town, state and federal representatives during that time, at no cost to the Town.

h. SUBCONTRACTING

Prior to entering into any subcontract agreement(s) for the work described in the Contract, the successful proposer shall provide the Town with written notice of the identity (full legal name, street address, mailing address (if different from street address), and telephone number) of each proposed subcontractor. The Town shall have the right to object to any proposed subcontractor by providing the successful proposer with written notice thereof within seven (7) business days of receipt of all required information about the proposed subcontractor. If the Town objects to a proposed subcontractor, the successful proposer shall not use that subcontractor for any portion of the work described in the Contract.

All permitted subcontracting shall be subject to the same terms and conditions as are applicable to the successful proposer. The successful proposer shall remain fully and solely liable and responsible to the Town for performance of the work described in the Contract. The successful proposer also agrees to promptly pay each of its subcontractors within thirty (30) days of receipt of payment from the Town or otherwise in accordance with law. The successful proposer shall assure compliance with all requirements of the Contract. The successful proposer shall also be fully and solely responsible to the Town for the acts and omissions of its subcontractors and of persons employed, whether directly or indirectly, by its subcontractor(s).

i. PREVAILING WAGES

State law may require that wages paid on an hourly basis to any person performing the work of any mechanic, laborer or worker under the Contract and the amount of payment or contribution paid or payable on behalf of each such person to any employee welfare fund, as defined in Conn. Gen. Stat. § 31-53, as amended, shall be at a rate equal to the rate customary or prevailing for the same work in the same trade or occupation in the Town. A successful proposer who is not obligated by agreement to make payment or contribution on behalf of such persons to any such employee welfare fund shall pay to each mechanic, laborer or worker as part of such person's wages the amount of payment or contribution for such person's classification on each pay day. Upon Contract award, the successful proposer must certify under oath to the State Labor Commissioner the pay scale to be used by the successful proposer and its subcontractors.

j. PREFERENCES

As required by statute, regulation or other applicable law, Respondent and any Subcontractor shall monitor and track MBE and WBE, local workforce and overall labor participation, including Set Aside documentation. If this Project is funded in whole or in part by funds from the State of Connecticut, Public Act 15-5 (§§58-71 and 88) requires that, effective with all contracts executed after October 1, 2015, all solicitations for municipal public works contracts funded in whole or in part with State funds
state in the notice of solicitation that the contract must comply with the set asides mandated by Public Act 15-5. The set aside requirements include a requirement that 25% of the total value of contracts in excess of $50,000.00 be set aside for exclusive bidding for “small contractors,” as defined by Section 58 (a) (1), and 25% of such amount (that is, 6.25% of the total value), be set aside for “minority business enterprises,” as defined by Section 58(a) (4). For contracts in excess of $50,000.00, Respondent must have obtained Commission approval of their Affirmative Action Plan prior to contract execution. Respondent is expressly directed to review Public act 15-5, sections 58-71 and 88, to familiarize itself with the requirements of such laws. The Town also directs Respondent’s attention to sections 63 and 64 (non-discrimination requirements) and 66-68 (affirmative action requirements).

The Respondent agrees and warrants that in the performance of the Contract such Respondent will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, sexual orientation, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Respondent that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the state of Connecticut. The Respondent further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Respondent that such disability prevents performance of the work involved; (2) the Respondent agrees, in all solicitations or advertisements for employees placed by or on behalf of the Respondent, to state that it is an “affirmative action-equal opportunity employer” in accordance with regulations adopted by the commission; (3) the Respondent agrees to provide each labor union or representative of workers with which such Respondent has a collective bargaining agreement or other contract or understanding and each vendor with which such Respondent has a contract or understanding, a notice to be provided by the commission advising the labor union or workers' representative of the Respondent's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Respondent agrees to comply with each provision of this section and sections 46a-68e and 46a-68f and with each regulation or relevant order issued by said commission pursuant to sections 46a-56, 46a-68e, 46a-68f and 46a-86; (5) the Respondent agrees to provide the Commission on Human Rights and Opportunities with such information requested by the commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Respondent as relate to the provisions of this section and section 46a-56.

Any Respondent who is a party to a municipal public works contract or quasi-public agency project, where any such contract is valued at less than $50,000 for each year of the contract, shall provide the Commission on Human Rights and Opportunities with a written or electronic representation that complies with the nondiscrimination agreement and warranty under subsection (A)(1) above, provided if there is any change in such representation, the Respondent shall provide the updated representation to the Commission not later than 30 days after such change. Any Respondent who is a party to a municipal public works contract or a quasi-public agency project, where any such contract is valued at $50,000 or more for any year of the contract, shall provide the Commission with any one of the following: (1) Documentation in the form of a company or corporate policy adopted by resolution of the board of directors, shareholder, managers, members or other governing body of such Respondent that complies with the nondiscrimination agreement and warranty under subsection (A)(1) of this section; (2) Documentation in the form of a company or corporate policy adopted by a prior resolution of the board of directors, shareholders, managers, members or other governing body of such Respondent if (a) the prior resolution is certified by a duly authorized corporate officer of such contractor to be in effect on the date the documentation is submitted, and the executive director of the Commission on Human Rights and Opportunities or designee certifies that the prior resolution...
complies with the nondiscrimination agreement and warranty under subdivision (A)(1) of this section; or (3)
 Documentation in the form of an affidavit signed under penalty of false statement by a chief executive
 officer, president, chairperson or other corporate officer duly authorized to adopt company or corporate
 policy that certifies that the company or corporate policy of the contractor complies with the
 nondiscrimination agreement and warranty under subdivision (A)(1) of this section and is in effect on the
date the affidavit is signed.

"Minority business enterprise" means any small contractor or supplier of materials fifty-one per cent or more
of the capital stock, if any, or assets of which is owned by a person or persons: (1) Who are active in the
daily affairs of the enterprise, (2) who have the power to direct the management and policies of the
enterprise and (3) who are members of a minority, as such term is defined in subsection (a) of section 32-9n;
and "good faith" means that degree of diligence which a reasonable person would exercise in the
performance of legal duties and obligations. "Good faith efforts" shall include, but not be limited to, those
reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or
substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such
requirements. Determination of the Respondent’s good faith efforts shall include, but shall not be eliminated
to, the following factors: The Respondent’s employment and subcontracting policies, patterns and practices;
affirmative advertising recruitment and training; technical assistance activities and such other reasonable
activities or efforts as the Commission on Human Rights and Opportunities may prescribe that are designed
to ensure the participation of minority business enterprises in municipal public works contracts or quasi-
public agency projects. “Municipal public works project” means that portion of an agreement entered into on
or after October 1, 2015, between any individual, form or corporation and a municipality for the
construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or
other changes or improvements in real property, which is financed in whole or in part by the state, including,
but not limited to, matching expenditures, grants, loans, insurance or guarantees but excluding any project of
an alliance district, as defined in section 10-262u, finance by the state funding in an amount equal to fifty
thousand dollars or less. “Quasi-public agency project” means the construction, rehabilitation, conversion,
extension, demolition or repair of a building or other changes or improvements in real property pursuant to a
contract entered into on or after October 1, 2015, which is financed in whole or in part by a quasi-public
agency using state funds, including, but not limited to, matching expenditures, grants, loans, insurance or
guarantees.

The successful proposer shall comply with the requirements of Conn. Gen. Stat. § 31-52(b), as
amended. Specifically, the successful proposer agrees that in the employment of labor to perform
the work under the Contract, preference shall be given to citizens of the United States who are, and
have been continuously for at least three (3) months prior to the date of the Contract, residents of
the labor market area (as established by the State of Connecticut Labor Commissioner) in which
such work is to be done, and if no such qualified person is available, then to citizens who have
continuously resided in New Haven County for at least three (3) months prior to the date hereof,
and then to citizens of the State who have continuously resided in the State at least three (3)
months prior to the date of the Contract.

k. WORKERS COMPENSATION

[Under Conn. Gen. Stat.§ 31-286a, a municipality may not enter into "any contract ... for the
construction, remodeling, refinishing, refurbishing, rehabilitation, alteration or repair of any
public works project before receiving from each of the other parties to such contract (1)
sufficient evidence of compliance with the workers' compensation insurance and self-insurance
requirements of subsection (b) of section 31-284, and (2) a current statement from the State]
Treasurer that, to the best of his knowledge and belief, as of the date of the statement, the particular party was not liable to the state for any workers' compensation payments made pursuant to section 31-355" (emphasis added).

Ursula Tschinkel at the State Treasurer's office is the current contract for obtaining statements. Ursula.tschinkel@ct.gov (860) 702-3250.

Prior to Contract execution, the Town will require the tentative successful proposer to provide a current statement from the State Treasurer that, to the best of her knowledge and belief, as of the date of the statement, the tentative successful proposer was not liable to the State for any workers' compensation payments made pursuant to Conn. Gen. Stat. § 31-355.

1. SAFETY
The successful proposer and each of its permitted subcontractors shall furnish proof that each employee performing the work of a mechanic, laborer or worker under the Contract has completed a course of at least ten (10) hours in construction safety and health approved by the federal Occupational Safety and Health Administration or has completed a new miner training program approved by the Federal Mine Safety and Health Administration. Such proof shall be provided with the certified payroll submitted for the first week each such employee, mechanic, laborer, or worker begins work under the Contract.

m. COMPLIANCE WITH LAWS
The successful proposer shall comply with all applicable laws, regulations, ordinances, codes and orders of the United States, the State of Connecticut and the Town related to its proposal and the performance of the work described in the Contract, including but not limited to:

.1 Non-Discrimination and Affirmative Action. Proposer, in performing under this contract, shall not discriminate against any worker, employee or applicant, or any member of the public, because of race, creed, color, age, marital status, sexual orientation, national origin, ancestry, sex, mental retardation or physical disability, including but not limited to blindness, unless it is shown by the Proposer that such disability prevents performance of the work involved in any manner prohibited by the laws of the United States or the State of Connecticut, nor otherwise commit an unfair employment practice. Proposer further agrees that this article, (and any additional provisions required by law), will be incorporated by Proposer in all contracts entered into with suppliers of materials or services contractors and subcontractors and all labor organizations, furnishing skilled, unskilled and craft union skilled labor or who may perform any such labor or services in connection with this contract. The following principles and requirements of Equal Opportunity and Affirmative Action, as incorporated herein, will be incorporated into "Equal Opportunity - Non-Discrimination Clause" are hereby deemed to be included in all Town bid documents, purchase orders, lease and contracts entered into with the Town. The principles of Affirmative Action are addressed in the 13th, 14th and 15th Amendments of the United States Constitution, Civil Rights Act of 1964, Equal Pay Act of 1963, Title VI and VII of the 1964 United States Civil Rights Act,
Presidential Executive Orders 11246, 11375, 11478 (nondiscrimination under federal contracts), Act 1, Section 1 and 20 of the Connecticut Constitution, Governor Grasso’s Executive Order Number 11, Governor O’Neill's Executive Order Number 9, the Connecticut Fair Employment Practices Law (Sec. 46a-60-69) of the Connecticut General Statutes (CGS), Connecticut Code of Fair Practices (46a-70-81), Deprivation of Civil Rights (46a-58 (a)(d)), Public Accommodations Law (46a-63-64), Discrimination against Criminal Offenders (46a-80), definition of blind (46a-51(1)), definition of Physically Disabled (46a-51 (15)), definition of Mentally Retarded (46a-51-13), cooperation with the Commission on Human Rights and Opportunities (46a-77), Sexual Harassment (46a-60 (a)-8), Connecticut Credit Discrimination Law (360436 through 439), Title 1 of the State and the Local Fiscal Assistance Act 1 1972.

If a project is funded in whole or in part by State funds, CGS Sections 46a-68c through 46a-68k apply to contractors. These Sections trigger affirmative action plan requirements for contractors and the filing of compliance reports with the State by contractors.

2 Executive Orders. The contract may be subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgate June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgate February 15, 1973, concerning the listing of employment opening and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of the contract as if they had been fully set forth in it. The contract may also be subject to Executive Order No. 7C of Governor M. Jodi Rell, promulgated July 13, 2006, concerning contracting reforms and Executive Order No. 14 of Governor M. Jodi Rell, promulgate April 17, 2006, concerning procurement of cleaning products and services, in accordance with their respective terms and conditions.

3 Connecticut’s Prevailing Wage Law Provision. If applicable, the Proposer must be in full compliance with CGS Section 31-53 and 31-53(a) which applies to each contract for the construction, remodeling, refinishing, refurbishing, rehabilitation, alteration, or repair of any public works project by the state or its agents, or by any political subdivision of the State. CGS Section 31-53 (g) provides monetary thresholds which must be met before the law is applicable. In accordance with CGS Section 31-53, projects are subject to the payment of minimum prevailing wages where the total cost of all work to be performed by all contractors and subcontractors in connection with new construction of any public works project is $1,000,000 or more and where the total cost of all work to be performed by all contractors and subcontractors in connection with any remodeling, refinishing, refurbishing, rehabilitation, alteration or repair of any public works project is $100,000 or more. For qualifying projects, all contractors and subcontractors shall submit to the Finance Department certified weekly payrolls for all contracts meeting the stated monetary limits. The certified payrolls shall be submitted to the Finance Department with the Proposer’s monthly certificate for payment. The Proposers should familiarize
themselves with all aspects of the provisions under state law in order to ensure full compliance.

.4 Occupational Safety and Health Administration Requirements. According to CGS, Section 31-53b (a) each contract entered into on or after July 1, 2007, for the construction, remodeling, refinishing, refurbishing, rehabilitation, alteration or repair of any public building project by the state or any of its agents, or by a political subdivision of the state or any of its agents, where the total cost of all work to be performed by all contractors and subcontractors in connection with the contract is at least $100,000 shall contain a provision requiring that, not later than thirty days after the date such contract is awarded, each contractor furnish proof to the Labor Commissioner that all employees performing manual labor on or in such public building, pursuant to such contract, have completed a course of at least ten hours in duration in construction safety and health approved by the federal Occupational Safety and Health Administration or, in the case of telecommunications employees, have completed at least ten hours of training in accordance with 29 CFR 1910.268. The aforesaid provisions shall be deemed to be incorporated into the Contract with the Town. The contractors should familiarize themselves with all aspects of state law and any applicable regulations pertaining to these requirements in order to ensure full compliance.

.5 Payment Bond/Performance Bond State Law Requirements. CGS Section 49-41, known as the Little Miller Act, requires that the Town ensure that payment bonds a/k/a labor and materials bond in the amount of the contract are provided for public works projects over $100,000. When a contract for construction, alteration, remodeling, repair or demolition of any public building is estimated to cost more than $500,000 additional laws/requirements apply. The contractors should familiarize themselves with all aspects of state law and any applicable regulations pertaining to these requirements in order to ensure full compliance.

.6 State of Connecticut Contractor Prequalification Program. CGS Section 4b-91 requires all bidders for the construction, alteration, remodeling, repair or demolition of any public building or any other public work by a public agency (includes a municipality) that is paid for, in whole or in part, with state funds and that is estimated to cost more than $500,000, except a public highway or bridge project or any other construction project administered by DOT, shall be prequalified with the State pursuant to CGS Section 4a-100. Once a contractor is prequalified, it is issued a prequalification certificate by DAS, which certificate is in effect for one year. Subcontractors’ work, the cost of which may exceed $500,000, are also required to be prequalified. Any bid for a project that requires prequalification must include a copy of the bidder’s Prequalification Certificate showing the aggregate work capacity rating required under the contract and the Update (Bid) Statement showing renewal of certificate and/or change in aggregate work capacity. Bids which do not include a copy of the Prequalification Certificate and the Update (Bid) Statement are invalid. Contractors should contact the State Department of Administrative Services to familiarize themselves with these requirements.
.7 **Non-Resident Contractor 5% Tax For Contracts.**

CGS Section 12-430(7) requires non-resident contractors who perform services or furnish materials, or both, for the construction, alteration or improvement of any project in which the contract price is at least **$250,000**, to furnish the Department of Revenue Services (DRS) a Guarantee Bond for 5% of the total cost of the work, issued under a contract using Form AU-766, Guarantee Bond. This form is available on the State DRS website. Form AU-766 must be submitted for each additional change order or supplement issued against the contract. Non-resident contractors must have completed and submitted to the DRS Form REG-1, Business Tax Registration Application, to register with the DRS and have been issued a Connecticut Tax Registration Number. This form is available on the DRS website. Non-resident contractors have 120 days from the commencement of the contract to file the Guarantee Bond with the State. Commencement of the contract, as defined by law, “means the time when the non-resident contractor signs the contract, but, in any event, occurs no later than when the work under the contract actually starts.”

As soon as the guarantee bond is filed with the DRS, the non-resident contractor shall submit the copy of such Guarantee Bond together with the non-resident contractor’s Connecticut Tax Registration Number to the Town department for whom the project is required. After the non-resident contractor receives its Certificate of Compliance from the DRS confirming that the Guarantee Bond requirement has been met, the non-resident contractor shall submit a copy of the same to the department, for whom the work is being performed, with a copy to the Purchasing Department.

.8 **Equal Employment Opportunity (EEO); Minority Business Enterprises (MBE)**

If a project is funded in whole or in part by state or federal funds, there may be a requirement that the contractor comply with CGS Section 4a-60 and applicable State regulations. On these projects it will depend upon which set-aside requirements are imposed by the funding agency. If no set-aside requirement is imposed, a statement that the Proposer is required to undertake good faith efforts to include subcontractors and suppliers who are minority business enterprises will suffice and shall be deemed to be incorporated into the Contract with the Town. If there is a set-aside goal, the Town and Proposer shall comply with the Small Contractors Set-Aside Program and the hiring goals identified by the State Commission on Human Rights and Opportunities (CHRO.)

.9 If a project or program is funded in whole or in part with federal funds, the Federal Uniform Guidance Procurement Standards, 2 CFR §§ 200.317-200.327, shall apply and full compliance by Proposer with same shall be required.

6. **LICENSES AND PERMITS**

The successful proposer certifies that, throughout the Contract term, it shall have and provide proof of all approvals, permits and licenses required by the Town and/or any state or federal authority. The successful proposer shall immediately and in writing notify the Town of the loss or suspension of any such approval, permit or license.
7. AMENDMENTS

The Contract may not be altered or amended except by the written agreement of both parties.

8. ENTIRE AGREEMENT

It is expressly understood and agreed that the Contract contains the entire agreement between the parties, and that the parties are not, and shall not be, bound by any stipulations, representations, agreements or promises, oral or otherwise, not printed or inserted in the Contract or its attached exhibits.

9. VALIDITY

The invalidity of one or more of the phrases, sentences or clauses contained in the Contract shall not affect the remaining portions so long as the material purposes of the Contract can be determined and effectuated.

10. CONNECTICUT LAW AND COURTS

The Contract shall be governed by and construed in accordance with the internal laws (as opposed to the conflicts of law provisions) of the State of Connecticut, and the parties irrevocably submit in any suit, action or proceeding arising out of the Contract to the jurisdiction of the United States District Court for the District of Connecticut or of any court of the State of Connecticut, as applicable.

11. NON-EMPLOYMENT RELATIONSHIP

The Town and the successful proposer are independent parties. Nothing contained in the Contract shall create, or be construed or deemed as creating, the relationships of principal and agent, partnership, joint venture, employer and employee, and/or any relationship other than that of independent parties contracting with each other solely for the purpose of carrying out the terms and conditions of the Contract. The successful proposer understands and agrees that it is not entitled to employee benefits, including but not limited to workers compensation and employment insurance coverage, and disability. The successful proposer shall be solely responsible for any applicable taxes.

END OF STANDARD INSTRUCTIONS TO PROPOSERS
TOWN OF CHESHIRE, CONNECTICUT

INSURANCE REQUIREMENTS FOR
CHESHIRE HIGH SCHOOL HVAC IMPROVEMENTS

PROPOSAL#2223-17

Vendor shall maintain in force at all times during which services are to be performed by vendor, or such longer period as provided by contract, the following coverages placed with company(ies) licensed by the State of Connecticut which have at least an "A-" VIII policyholders rating according to A.M. Best’s latest edition Key Rating Guide. The stated policy limits are the minimum coverage amounts required.

<table>
<thead>
<tr>
<th>Coverage</th>
<th>Minimum Limits</th>
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<tbody>
<tr>
<td>General Liability*</td>
<td></td>
</tr>
<tr>
<td>Each Occurrence</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>General Aggregate</td>
<td>$2,000,000</td>
</tr>
<tr>
<td>Products/Completed Operations</td>
<td>$2,000,000</td>
</tr>
<tr>
<td>Auto Liability*</td>
<td></td>
</tr>
<tr>
<td>Combined Single Limit</td>
<td></td>
</tr>
<tr>
<td>Each Accident</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>Umbrella*</td>
<td></td>
</tr>
<tr>
<td>Each Occurrence</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>Aggregate</td>
<td>$1,000,000</td>
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</tbody>
</table>

* The Town of Cheshire, and its Board of Education shall be named as "Additional Insured".

Coverage is to be provided on a primary, noncontributory basis. Waiver of subrogation to be provided. Higher limits may be required, based on the scope and nature of the services to be provided. If higher limits are required, such limits shall be identified in the Request for Proposal of Invitation to Bid, as well as in the contract issued by the Town. The Town reserves the right to require additional coverages, including, without limitation, Builder’s Risk insurance for construction projects and Owner’s Protective Liability insurance, if desirable.

If any policy is written on a "Claims Made" basis, the policy must be continually renewed for a minimum of two (2) years from the completion date of this contract. If the policy is replaced and/or the retroactive date is changed, then the expiring policy must be endorsed to extend the reporting period for claims for the policy in effect during the contract for two (2) years from the completion date.

Workers' Compensation and WC Statutory Limits

<table>
<thead>
<tr>
<th>Coverage</th>
<th>Statutory Limits</th>
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<tbody>
<tr>
<td>Employers' Liability</td>
<td></td>
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<tr>
<td>EL Each Accident</td>
<td>$500,000</td>
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<tr>
<td>EL Disease Each Employee</td>
<td>$500,000</td>
</tr>
<tr>
<td>EL Disease Policy Limit</td>
<td>$500,000</td>
</tr>
</tbody>
</table>

Original, completed Certificates of Insurance must be presented to the Town’s Purchasing Agent prior to purchase order issuance and contract execution. Vendor agrees to provide replacement/renewal certificates at least 60 days prior to the expiration of the policy. Should any of the above described policies be cancelled before the expiration date, written notice must be provided to the Town 30 days prior to cancellation. Failure to maintain required insurance coverage shall be a material default of vendor’s contract with the Town.
END OF INSURANCE REQUIREMENTS
Pursuant to and in full compliance with the RFP, the undersigned proposer, having visited the site or property if applicable, and having thoroughly examined each and every document comprising the RFP, including any addenda, hereby offers and agrees as follows:

To provide the products and/or services specified in, and upon the terms and conditions of, the RFP for the total sum of $\frac{\text{---------'--''--'''}}{100}$ Dollars (write out in words) ($\text{__________________}$),

ALTERNATE #1 Total Sum of $\frac{\text{--------------------------------------}}{100}$ (write out in words) ($\text{__________________}$)

ACKNOWLEDGEMENT
In submitting this Proposal Form, the undersigned proposer acknowledges that the price(s) include all labor, materials, transportation, hauling, overhead, fees and insurances, bonds or letters of credit, profit, security, permits and licenses, and all other costs to cover the completed work called for in the RFP. Except as otherwise expressly stated in the RFP, no additional payment of any kind will be made for work accomplished under the price(s) as proposed.

REQUIRED DISCLOSURES

a. Exceptions to the RFP

This proposal does not take exception to any requirement of the RFP, including but not only any of the Contract Terms set forth in Section 26 of the Standard Instructions to Proposers.

b. State Debarment List

Is the proposer on the State of Connecticut's Debarment List?

___ YES

___ NO

- 1 -
c. **Occupational Safety and Health Law Violations**

Has the proposer or any firm, corporation, partnership or association in which it has an interest (1) been cited for three (3) or more willful or serious violations of any occupational safety and health act or of any standard, order or regulation promulgated pursuant to such act, during the three-year period preceding the proposal (provided such violations were cited in accordance with the provisions of any state occupational safety and health act or the Occupational Safety and Health Act of 1970, and not abated within the time fixed by the citation and such citation has not been set aside following appeal to the appropriate agency or court having jurisdiction) or (2) received one or more criminal convictions related to the injury or death of any employee in the three-year period preceding the proposal?

___ Yes
___ No

If "yes," attach a sheet fully describing each such matter.

d. **Arbitration/Litigation**

Has either the proposer or any of its principals (regardless of place of employment) been involved for the most recent ten (10) years in any resolved or pending arbitration or litigation?

___ Yes
___ No

If "yes," attach a sheet fully describing each such matter.

e. **Criminal Proceedings**

Has the proposer or any of its principals (regardless of place of employment) ever been the subject of any criminal proceedings?

___ Yes
___ No

If "yes," attach a sheet fully describing each such matter.

f. Has the proposer failed to complete work awarded to it or been declared in default in the past 5 years?
g. Ethics and Offenses in Public Projects or Contracts

Has either the proposer or any of its principals (regardless of place of employment) ever been found to have violated any state or local ethics law, regulation, ordinance, code, policy or standard, or to have committed any other offense arising out of the submission of proposals or bids or the performance of work on public works projects or contracts?

--- Yes
--- No

If "yes," attach a sheet fully describing each such matter.

**NOTE:** THIS DOCUMENT, IN ORDER TO BE CONSIDERED A VALID PROPOSAL, MUST BE SIGNED BY A PRINCIPAL OFFICER OR OWNER OF THE BUSINESS ENTITY THAT IS SUBMITTING THE PROPOSAL. SUCH SIGNATURE CONSTITUTES THE PROPOSER'S REPRESENTATIONS THAT IT HAS READ, UNDERSTOOD AND FULLY ACCEPTED EACH AND EVERY PROVISION OF EACH DOCUMENT COMPROMISING THE RFP, UNLESS AN EXCEPTION IS DESCRIBED ABOVE. PROPOSER AGREES THAT IT WILL SIGN CONTRACT PROVIDED BY THE TOWN, WITHOUT MODIFICATIONS OR ALTERATIONS, WITHIN FIVE (5) DAYS OF AWARD.

BY.................................................................

TITLE:............................................................

(PRINT NAME)

DATE:.............................................................

(SIGNATURE)

END OF PROPOSAL FORM
TOWN OF CHESHIRE, CONNECTICUT

PROPOSER'S LEGAL STATUS DISCLOSURE

Please fully complete the applicable section below, attaching a separate sheet if you need additional space.

For purposes of this disclosure, "permanent place of business" means an office continuously maintained, occupied and used by the proposer's regular employees regularly in attendance to carry on the proposer's business in the proposer's own name. An office maintained, occupied and used by a proposer only for the duration of a contract will not be considered a permanent place of business. An office maintained, occupied and used by a person affiliated with a proposer will not be considered a permanent place of business of the proposer.

IF A SOLELY OWNED BUSINESS:

Proposer's Full Legal Name ____________________________________________
Street Address _______________________________________________________
Mailing Address (if different from Street Address) ________________________
Owner's Full Legal Name _____________________________________________
Number of years engaged in business under sole proprietor or trade name______
Does the proposer have a "permanent place of business" in Connecticut, as defined above? Yes ___ ___ ___ No

If yes, please state the full street address (not a post office box) of that "permanent place of business."

IF A CORPORATION:

Proposer's Full Legal Name ____________________________________________
Street Address _______________________________________________________
Mailing Address (if different from Street Address) ________________________
Owner's Full Legal Name _____________________________________________
Number of years engaged in business ___________________________________
Names of Current Officers

President   Secretary   Chief Financial Officer
Does the proposer have a "permanent place of business" in Connecticut, as defined above?

Yes    No

If yes, please state the full street address (not a post office box) of that "permanent place of business."

IF A LIMITED LIABILITY COMPANY:

Proposer's Full Legal Name

Street Address

Mailing Address (if different from Street Address)

Owner's Full Legal Name

Number of years engaged in business

Names of Current Manager(s) and Member(s):

Name & Title (if any)    Residential Address (street only)

Name & Title (if any)    Residential Address (street only)

Name & Title (if any)    Residential Address (street only)

Name & Title (if any)    Residential Address (street only)

Name & Title (if any)    Residential Address (street only)

Does the proposer have a "permanent place of business" in Connecticut, as defined above?

Yes _____ No _____

If yes, please state the full street address (not a post office box) of that "permanent place of business."
IF A PARTNERSHIP:

Proposer's Full Legal Name

Street Address

Mailing Address (if different from Street Address)

Owner's Full Legal Name

Number of years engaged in business

Names of Current Partners

Name & Title (if any) Residential Address (street only)

Name & Title (if any) Residential Address (street only)

Name & Title (if any) Residential Address (street only)

Name & Title (if any) Residential Address (street only)

Does the proposer have a "permanent place of business" in Connecticut, as defined above?

Yes ___ ___ ___ No

If yes, please state the full street address (not a post office box) of that "permanent place of business."

*************************************************************************

Sign on the next page
Proposer's Full Legal Name

(print)
Name and Title of Proposer's Authorized Representative

(signature)
Proposer's Representative, Duly Authorized

Date

END OF LEGAL STATUS DISCLOSURE FORM
TOWN OF CHESHIRE, CONNECTICUT

PROPOSAL #2223-17
(DESCRIBE SUBJECT MATTER OF PROPOSAL!

PROPOSER'S CERTIFICATION
Concerning Equal Employment Opportunities
And Affirmative Action Policy

I/we, the proposer, certify that:

1) I/we are in compliance with the equal opportunity clause as set forth in Connecticut state law (Executive Order No. Three, http://www.cslib.org/exeorder3.htm).

2) I/we do not maintain segregated facilities.

3) I/we have filed all required employer's information reports.

4) I/we have developed and maintain written affirmative action programs.

5) I/we list job openings with federal and state employment services.

6) I/we attempt to employ and advance in employment qualified handicapped individuals.

7) I/we are in compliance with the Americans with Disabilities Act.

8) I/we (check one):
   have an Affirmative Action Program, or
   employ 10 people or fewer.

9) I/we have read and understand the RFP Documents and all addenda and our proposal has been made on the basis thereof.

Legal Name of Proposer (signature)
Proposer's Representative, Duly Authorized

Name of Proposer's Authorized Representative

Title of Proposer's Authorized Representative

Date
TOWN OF CHESHIRE, CONNECTICUT

PROPOSER'S NON COLLUSION AFFIDAVIT

PROPOSAL FOR:

PROPOSAL NUMBER:

The undersigned proposer, having fully informed himself/herself/itself regarding the accuracy of the statements made herein, certifies that:

(1) the proposal is genuine; it is not a collusive or sham proposal;
(2) the proposer developed the proposal independently and submitted it without collusion with, and without any agreement, understanding, communication or planned common course of action with, any other person or entity designed to limit independent competition;
(3) the proposer, its employees and agents have not communicated the contents of the proposal to any person not an employee or agent of the proposer and will not communicate the proposal to any such person prior to the official opening of the proposal; and
(4) no elected or appointed official or other officer or employee of the Town of Cheshire is directly or indirectly interested in the proposer's proposal, or in the supplies, materials, equipment, work or labor to which it relates, or in any of the profits thereof.

The undersigned proposer further certifies that this affidavit is executed for the purpose of inducing the Town of Cheshire to consider its proposal and make an award in accordance therewith.

Legal Name of Proposer (signature)
Proposer's Representative, Duly Authorized

Name of Proposer's Authorized Representative

Title of Proposer's Authorized Representative

Date

Subscribed and sworn to before me this _____ day of __________________________, 20__.

Notary Public
My Commission Expires:
TOWN OF CHESHIRE, CONNECTICUT

PROPOSAL #2223-17
CHESHIRE HIGH SCHOOL HVAC IMPROVEMENTS

PROPOSER'S STATEMENT OF REFERENCES

Provide at least three (3) references:

1. BUSINESS NAME
   ADDRESS
   CITY, STATE
   TELEPHONE:
   INDIVIDUAL CONTACT NAME AND POSITION

2. BUSINESS NAME
   ADDRESS
   CITY, STATE
   TELEPHONE:
   INDIVIDUAL CONTACT NAME AND POSITION

3. BUSINESS NAME
   ADDRESS
   CITY, STATE
   TELEPHONE:
   INDIVIDUAL CONTACT NAME AND POSITION

END OF STATEMENT OF REFERENCES
CONTRACT FOR **CHESHIRE HIGH SCHOOL HVAC IMPROVEMENTS**

This Contract is made as of the ___ day of _________, 20___ (the "Effective Date"), by and between the Town of Cheshire, 84 South Main Street, Cheshire, Connecticut, a municipal corporation organized and existing under the laws of the State of Connecticut (the "Town"), and [name and address of successful proposer] (the "Contracting Party").

**RECITALS:**

WHEREAS, the Town has issued a Request for Proposals for the removal of old unit ventilators and provide rooftop air handling units to improve indoor air quality in the classrooms though ventilation heating and cooling and related construction. (the "RFP"), a copy of which, along with any addenda, is attached as Exhibit A:

WHEREAS, the Contracting Party submitted a proposal to the Town dated _____________ (the "Proposal"), a copy of which is attached as Exhibit B:

WHEREAS, the Town has selected the Contracting Party to perform the Work (as defined in Section 1 below); and

WHEREAS, the Town and the Contracting Party desire to enter into a formal contract for the performance of the Work.

NOW THEREFORE, in consideration of the recitals set forth above and the parties' mutual promises and obligations contained below, the parties agree as follows:

1. **Work:** The Contracting Party agrees to perform the Work described more fully in the attached Exhibits (collectively, the "Work").

   The Contracting Party also agrees to comply with all of the terms and conditions set forth herein and in the RFP, including but not only all of the terms set forth in Section 26 (the "Contract Terms") of the Standard Instructions to Bidders.

2. **Term:** [placeholder - will vary from contract to contract]

3. **Contract Includes Exhibits; Order of Construction:** The Contract includes the RFP (Exhibit A) and the Proposal (Exhibit B), which are made a part hereof. In the event of a conflict or inconsistency between or among this document, the RFP, and the Proposal, this document shall have the highest priority, the RFP the second priority, and the Proposal the third priority.

4. **Price and Payment:** [placeholder - will vary from contract to contract. If contract extends beyond current fiscal year, be sure to include non-appropriation language.]
5. **Right to Terminate** - If the Contracting Party fails to comply with any of the terms, provisions or conditions of the Contract, including the exhibits, the Town shall have the right, in addition to all other available remedies, to declare the Contract in default and, therefore, to terminate it and to resubmit the subject matter of the Contract to further public procurement. In that event, the Contracting Party shall pay the Town, as liquidated damages, the amount of any excess of the price of the new contract over the Contract price provided for herein, plus any legal or other costs or expenses incurred by the Town in terminating this Contract and securing a new contracting party.

6. **No Waiver or Estoppel** - Either party's failure to insist upon the strict performance by the other of any of the terms, provisions and conditions of the Contract shall not be a waiver or create an estoppel. Notwithstanding any such failure, each party shall have the right thereafter to insist upon the other party's strict performance, and neither party shall be relieved of such obligation because of the other party's failure to comply with or otherwise to enforce or to seek to enforce any of the terms, provisions and conditions hereof.

7. **Notice** - Any notices provided for hereunder shall be given to the parties in writing (which may be hardcopy, facsimile, or e-mail) at their respective addresses set forth below:

   If to the Town:

   *[name, address, fax and e-mail]*

8. **Execution** - This Contract may be executed in one or more counterparts, each of which shall be considered an original instrument, but all of which shall be considered one and the same agreement, and shall become binding when one or more counterparts have been signed by each of the parties hereto and delivered (including delivery by facsimile) to each of the parties.

   IN WITNESS THEREOF, the parties have executed this contract as of the last date signed below.

   **TOWN OF CHESHIRE**

   By

   Michael A. Milone
   Its Town Manager, Duly Authorized
   Date:

   *[CONTRACTING PARTY LEGAL NAME]*

   By

   Its__________, Duly Authorized
   Date:
AGREEMENT made as of the « » day of «» in the year «»
(In words, indicate day, month and year.)

BETWEEN the Owner:
(Name, legal status, address and other information)

« » « Town of Cheshire
84 South Main Street
Cheshire, CT 06410 »

and the Contractor:
(Name, legal status, address and other information)

« »
« for the following Project:
HVAC Improvements at Cheshire High School
525 South Main Street
Cheshire CT 06410
« »

The Architect:
Cheryl Newton Architects LLC
39 New London Tpke, Suite 320
Glastonbury, CT 06033

The Engineer:
Consulting Engineering Services
811 Middle Street
Middletown CT 06457

« »
« The Owner and Contractor agree as follows.

ADDITIONS, AND DELETIONS:
The author of this document
has added information
suggested by its completion.
The author may also have
revised the text of the
original AIA standard form.
An Additions and Deletions
Report that notes added
information as well as
revisions to the standard
form text is available from
the author and should be
reviewed.

This document has important
legal consequences. Consultation with an
attorney is encouraged with
respect to its completion
or modification.

The parties should complete
A101™–2017, Exhibit A,
Insurance and Bonds,
contemporaneously with this
Agreement. AIA Document
A201™–2017, General
Conditions of the Contract
for Construction, is
adopted in this document by
reference. Do not use with
other general conditions
unless this document is
modified.

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TABLE OF ARTICLES

1  THE CONTRACT DOCUMENTS
2  THE WORK OF THIS CONTRACT
3  DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION
4  CONTRACT SUM
5  PAYMENTS
6  DISPUTE RESOLUTION
7  TERMINATION OR SUSPENSION
8  MISCELLANEOUS PROVISIONS
9  ENUMERATION OF CONTRACT DOCUMENTS

EXHIBIT A   INSURANCE AND BONDS

ARTICLE 1  THE CONTRACT DOCUMENTS
The Contract Documents consist of this Agreement, Conditions of the Contract (General, Supplementary, and other Conditions), Drawings, Specifications, Addenda issued prior to execution of this Agreement, the Bidding Documents (including Owner’s Instructions to Bidders, Owner’s Invitation to Bid # and all Bidding Documents issued in conjunction therewith, including Addendum #1), Contractor’s Bid dated ________, other documents listed in this Agreement, and Modifications issued after execution of this Agreement, all of which form the Contract, and are as fully a part of the Contract as if attached to this Agreement or repeated herein. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. An enumeration of the Contract Documents, other than a Modification, appears in Article 9.

ARTICLE 2  THE WORK OF THIS CONTRACT
The Contractor shall fully execute the Work described in the Contract Documents, except as specifically indicated in the Contract Documents to be the responsibility of others.

ARTICLE 3  DATE OF COMMENCEMENT AND SUBSTANTIAL COMPLETION

§ 3.1 The date of commencement of the Work shall be:
(Check one of the following boxes.)

[ «» ] The date of this Agreement.

[ «X» ] A date set forth in a notice to proceed issued by the Owner or Architect. Contractor shall coordinate the scheduling and performance of the Work with the Owner.

[ «» ] Established as follows:
(Insert a date or a means to determine the date of commencement of the Work.)

«»

If a date of commencement of the Work is not selected, then the date of commencement shall be the date of this Agreement.

§ 3.2 The Contract Time shall be measured from the date of commencement of the Work.
§ 3.3 Substantial Completion

§ 3.3.1 Subject to adjustments of the Contract Time as provided in the Contract Documents, the Contractor shall achieve Substantial Completion of the entire Work:

(Without one of the following boxes and complete the necessary information.)

[ «» ] Not later than «ten» («10») months from the date of commencement of the Work.

[ «X»] By the following date: «_________________________________ »

TIME IS OF THE ESSENCE WITH REGARD TO THE TIMELY PERFORMANCE OF THE AGREEMENT, ACHIEVEMENT OF ALL MILESTONES, SUBSTANTIAL COMPLETION AND FINAL COMPLETION OF THE PROJECT BY THE CONTRACTOR. If, in the sole opinion of the Owner, the Contractor is not adhering to the Project schedule and/or is not supplying sufficient labor and/or equipment to complete the Work by the Substantial Completion date contained herein, upon forty-eight (48) hours written notice, the Town shall have the right to direct the Contractor to increase its labor and/or equipment to meet established project schedules without additional compensation provided the Town is not responsible or in any way liable for the Contractor not adhering to the Project schedule. Any and all such additional labor or supervision shall be at Contractor’s sole cost and expense and may include, but shall not be limited to, Town directing the Contractor to increase the workers on its crews, supply additional equipment, work overtime, work a second shift during a single day, work weekends, or any combination thereof, without any additional compensation being due to Contractor for such additional personnel. Any costs incurred or arising due to the Contractor’s failure to achieve timely Substantial Completion shall be borne solely by the Contractor.

§ 3.3.1.1 Contractor expressly agrees, notwithstanding any provision in this Agreement to the contrary, that: (i) a COVID-19 pandemic exists worldwide as of the execution date of this Agreement; (ii) the existence of such pandemic, and its effects, now, and for the duration of Contractor’s performance under the Agreement shall not in and of itself be cause for Contractor to rely upon, invoke, or avail itself to, any rights or remedies under this Agreement, at law, or in equity, for a claim, or an adjustment to the price, schedule, quantities, specifications, or other material terms of this Agreement; (iii) the material terms of this Agreement, particularly terms relating to price, schedule, quantities, availability and specifications, take into consideration, and fully account for, the existence of such pandemic and its effects, as of the date of this Agreement; and (iv) such pandemic shall not render Contractor unable to fulfill any of its obligations under the Agreement, and Contractor shall not have any claim, action, or cause of action against the Owner in connection with such pandemic, including any claim for frustration of purpose change in circumstances, economic balance, or impossibility. This provision shall survive the completion or earlier termination of this Agreement.

§ 3.3.2 Subject to adjustments of the Contract Time as provided in the Contract Documents, if portions of the Work are to be completed prior to Substantial Completion of the entire Work, the Contractor shall achieve Substantial Completion of such portions by the following dates:

<table>
<thead>
<tr>
<th>Portion of Work</th>
<th>Substantial Completion Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>« »</td>
<td>« »</td>
</tr>
</tbody>
</table>

§ 3.3.3 If the Contractor fails to achieve Substantial Completion as provided in this Section 3.3, liquidated damages, if any, shall be assessed as set forth in Section 4.5.

ARTICLE 4 CONTRACT SUM

§ 4.1 The Owner shall pay the Contractor the Contract Sum in current funds for the Contractor’s performance of the Contract. The Contract Sum shall be « « » ($ « »), subject to additions and deductions as provided in the Contract Documents.

§ 4.2 Alternates

§ 4.2.1 Alternates, if any, included in the Contract Sum:

<table>
<thead>
<tr>
<th>Item</th>
<th>Price</th>
</tr>
</thead>
<tbody>
<tr>
<td>«None »</td>
<td>« »</td>
</tr>
</tbody>
</table>
§ 4.2.2 Subject to the conditions noted below, the following alternates may be accepted by the Owner following execution of this Agreement. Upon acceptance, the Owner shall issue a Modification to this Agreement. (Insert below each alternate and the conditions that must be met for the Owner to accept the alternate.)

<table>
<thead>
<tr>
<th>Item</th>
<th>Price</th>
<th>Conditions for Acceptance</th>
</tr>
</thead>
<tbody>
<tr>
<td>«N/A »</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

§ 4.3 Allowances, if any, included in the Contract Sum: (Identify each allowance.)

<table>
<thead>
<tr>
<th>Item</th>
<th>Price</th>
</tr>
</thead>
<tbody>
<tr>
<td>«As indicated in the Bid Proposal »</td>
<td></td>
</tr>
</tbody>
</table>

§ 4.4 Unit prices, if any: (Identify the item and state the unit price and quantity limitations, if any, to which the unit price will be applicable.)

<table>
<thead>
<tr>
<th>Item</th>
<th>Units and Limitations</th>
<th>Price per Unit ($0.00)</th>
</tr>
</thead>
<tbody>
<tr>
<td>«As indicated in the Bid Proposal »</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

§ 4.5 Liquidated damages, if any: (Insert terms and conditions for liquidated damages, if any.)

«See A201 as modified. »

§ 4.6 Other: (Insert provisions for bonus or other incentives, if any, that might result in a change to the Contract Sum.) « »

ARTICLE 5 PAYMENTS

§ 5.1 Progress Payments

§ 5.1.1 Based upon Applications for Payment submitted to the Architect by the Contractor and Certificates for Payment issued by the Architect, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

§ 5.1.2 The period covered by each Application for Payment shall be one calendar month ending on the last day of the month, or as follows:

« »

§ 5.1.3 Provided that an Application for Payment is received by the Architect not later than the «25th » day of a month, the Owner shall make payment of the amount certified to the Contractor not later than thirty (30) days after the Owner approves the Application for Payment. If an Application for Payment is received by the Architect after the application date fixed above, payment of the amount certified shall be made by the Owner not later than «thirty » ( «30 » ) days after the approves the Application for Payment certified by the Architect. (Federal, state or local laws may require payment within a certain period of time.)

§ 5.1.4 Each Application for Payment shall be based on the most recent schedule of values submitted by the Contractor in accordance with the Contract Documents. The schedule of values shall allocate the entire Contract Sum among the various portions of the Work. The schedule of values shall be prepared in such form, and supported by such data to substantiate its accuracy, as the Architect may require. This schedule of values shall be used as a basis for reviewing the Contractor’s Applications for Payment.

§ 5.1.5 Applications for Payment shall show the percentage of completion of each portion of the Work as of the end of the period covered by the Application for Payment.
§ 5.1.6 In accordance with AIA Document A201™–2017, General Conditions of the Contract for Construction, and subject to other provisions of the Contract Documents, the amount of each progress payment shall be computed as follows:

§ 5.1.6.1 The amount of each progress payment shall first include:
.1 That portion of the Contract Sum properly allocable to completed Work;
.2 That portion of the Contract Sum properly allocable to materials and equipment delivered and suitably stored at the site for subsequent incorporation in the completed construction, or, if approved in advance by the Owner, suitably stored off the site at a location agreed upon in writing; and
.3 That portion of Construction Change Directives that the Architect determines, in the Architect’s professional judgment, to be reasonably justified.

§ 5.1.6.2 The amount of each progress payment shall then be reduced by:
.1 The aggregate of any amounts previously paid by the Owner;
.2 The amount, if any, for Work that remains uncorrected and for which the Architect has previously withheld a Certificate for Payment as provided in Article 9 of AIA Document A201–2017;
.3 Any amount for which the Contractor does not intend to pay a Subcontractor or material supplier, unless the Work has been performed by others the Contractor intends to pay;
.4 For Work performed or defects discovered since the last payment application, any amount for which the Architect may withhold payment, or nullify a Certificate of Payment in whole or in part, as provided in Article 9 of AIA Document A201–2017; and
.5 Retainage withheld pursuant to Section 5.1.7.

§ 5.1.7 Retainage
§ 5.1.7.1 For each progress payment made prior to Substantial Completion of the Work, the Owner may withhold the following amount, as retainage, from the payment otherwise due:
(Insert a percentage or amount to be withheld as retainage from each Application for Payment. The amount of retainage may be limited by governing law.)

« Five percent (5%) »

§ 5.1.7.1.1 The following items are not subject to retainage:
(Insert any items not subject to the withholding of retainage, such as general conditions, insurance, etc.)

«N/A »

§ 5.1.7.2 Reduction or limitation of retainage, if any, shall be as follows:
(If the retainage established in Section 5.1.7.1 is to be modified prior to Substantial Completion of the entire Work, including modifications for Substantial Completion of portions of the Work as provided in Section 3.3.2, insert provisions for such modifications.)

«At the Owner’s sole discretion. »

§ 5.1.7.3 Except as set forth in this Section 5.1.7.3, upon Substantial Completion of the Work, the Contractor may submit an Application for Payment that includes the retainage withheld from prior Applications for Payment pursuant to this Section 5.1.7. The Application for Payment submitted at Substantial Completion shall not include retainage as follows:
(Insert any other conditions for release of retainage upon Substantial Completion.)

Owner shall be entitled to retain two hundred percent (200%) of the estimated cost to complete punchlist items to reach Final Completion.

« »

§ 5.1.8 If final completion of the Work is materially delayed through no fault of the Contractor, the Owner shall pay the Contractor any additional amounts in accordance with Article 9 of AIA Document A201–2017.

§ 5.1.9 Except with the Owner’s prior approval, the Contractor shall not make advance payments to suppliers for materials or equipment which have not been delivered and stored at the site.
§ 5.2 Final Payment
§ 5.2.1 Final payment, constituting the entire unpaid balance of the Contract Sum, shall be made by the Owner to the Contractor when

.1 the Contractor has fully performed the Contract except for the Contractor’s responsibility to correct Work as provided in Article 12 of AIA Document A201–2017, and to satisfy other requirements, if any, which extend beyond final payment; and

.2 a final Certificate for Payment has been issued by the Architect and all conditions precedent to final payment have been satisfied.

§ 5.2.2 The Owner’s final payment to the Contractor shall be made no later than 30 days after the issuance of the Architect’s final Certificate for Payment, or as follows:

§ 5.3 Intentionally Deleted.

ARTICLE 6 DISPUTE RESOLUTION
§ 6.1 Initial Decision Maker
The Architect will serve as the Initial Decision Maker pursuant to Article 15 of AIA Document A201–2017, unless the parties appoint below another individual, not a party to this Agreement, to serve as the Initial Decision Maker. (If the parties mutually agree, insert the name, address and other contact information of the Initial Decision Maker, if other than the Architect.)

§ 6.2 Binding Dispute Resolution
For any Claim subject to, but not resolved by, mediation pursuant to Article 15 of AIA Document A201–2017, the method of binding dispute resolution shall be as follows: (Check the appropriate box.)

[ « ] Arbitration pursuant to Section 15.4 of AIA Document A201–2017
[ « ] Litigation in a court of competent jurisdiction
[ «X» ] Other (Specify)

«Litigation in Connecticut Superior Court in and for the Judicial District of New Haven unless the Owner, in its sole discretion, elects to arbitrate a dispute.»

If the Owner and Contractor do not select a method of binding dispute resolution, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, Claims will be resolved by litigation in a court of competent jurisdiction.

ARTICLE 7 TERMINATION OR SUSPENSION
§ 7.1 The Contract may be terminated by the Owner or the Contractor as provided in Article 14 of AIA Document A201–2017.

§ 7.1.1 Intentionally Deleted.

§ 7.2 The Work may be suspended by the Owner as provided in Article 14 of AIA Document A201–2017.
ARTICLE 8  MISCELLANEOUS PROVISIONS

§ 8.1 Where reference is made in this Agreement to a provision of AIA Document A201–2017 or another Contract Document, the reference refers to that provision as amended or supplemented by other provisions of the Contract Documents.

§ 8.2 The Owner’s representative:
(Name, address, email address, and other information)

«To be named by the Owner in writing within ten (10) days of the execution of this Agreement. »
« »
« »
« »
« »
« »

§ 8.3 The Contractor’s representative:
(Name, address, email address, and other information)

«To be named by the Contractor in writing within ten (10) days of the execution of this Agreement »
« »
« »
« »
« »
« »

§ 8.4 Neither the Owner’s nor the Contractor’s representative shall be changed without ten days’ prior notice to the other party.

§ 8.5 Insurance and Bonds
§ 8.5.1 The Owner and the Contractor shall purchase and maintain insurance as set forth in AIA Document A101™–2017, Standard Form of Agreement Between Owner and Contractor where the basis of payment is a Stipulated Sum, Exhibit A, Insurance and Bonds, and elsewhere in the Contract Documents.

§ 8.5.2 The Contractor shall provide bonds as set forth in AIA Document A101™–2017 Exhibit A, and elsewhere in the Contract Documents.

§ 8.6 Notice in electronic format, pursuant to Article 1 of AIA Document A201–2017, may be given in accordance with AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, if completed, or as otherwise set forth below:
(If other than in accordance with AIA Document E203–2013, insert requirements for delivering notice in electronic format such as name, title, and email address of the recipient and whether and how the system will be required to generate a read receipt for the transmission.)

« »

§ 8.7 Other provisions:
« »

ARTICLE 9  ENUMERATION OF CONTRACT DOCUMENTS

§ 9.1 This Agreement is comprised of the following documents:
.1 AIA Document A101™–2017, Standard Form of Agreement Between Owner and Contractor
.2 AIA Document A101™–2017, Exhibit A, Insurance and Bonds
.3 AIA Document A201™–2017, General Conditions of the Contract for Construction, as modified
.4 AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, dated as indicated below:
(Insert the date of the E203-2013 incorporated into this Agreement.)
.5 Drawings Dated February 4, 2022

<table>
<thead>
<tr>
<th>Number</th>
<th>Title</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>See List of Drawings</td>
<td></td>
</tr>
</tbody>
</table>

.6 Specifications

<table>
<thead>
<tr>
<th>Section</th>
<th>Title</th>
<th>Date</th>
<th>Pages</th>
</tr>
</thead>
<tbody>
<tr>
<td>See Table of Contents</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

.7 Addenda, if any:

<table>
<thead>
<tr>
<th>Number</th>
<th>Date</th>
<th>Pages</th>
</tr>
</thead>
<tbody>
<tr>
<td>« »</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Portions of Addenda relating to bidding or proposal requirements are not part of the Contract Documents unless the bidding or proposal requirements are also enumerated in this Article 9.

.8 Other Exhibits:

(7) Supplementary and other Conditions of the Contract:

<table>
<thead>
<tr>
<th>Document</th>
<th>Title</th>
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.9 Other documents, if any, listed below:

(List here any additional documents that are intended to form part of the Contract Documents. AIA Document A201™–2017 provides that the advertisement or invitation to bid, Instructions to Bidders, sample forms, the Contractor’s bid or proposal, portions of Addenda relating to bidding or proposal requirements, and other information furnished by the Owner in anticipation of receiving bids or proposals, are not part of the Contract Documents unless enumerated in this Agreement. Any such documents should be listed here only if intended to be part of the Contract Documents.)

« Town of Cheshire, Invitation to Bid
Instructions to Bidders, AIA A701, and Supplemental Instructions to Bidders
State of CT, Prevailing Wage Rates
Contractor’s Bid Proposal including all Addenda
HVAC Improvements at Cheshire High School Drawings and Specifications Dated February 4, 2022

This Agreement entered into as of the day and year first written above.

« »

OWNER (Signature)

« » (Printed name and title)

CONTRACTOR (Signature)

« » (Printed name and title)
for the following PROJECT:
(Name and location or address)

HVAC Improvements at Cheshire High School
525 South Main Street
Cheshire CT 06410

THE OWNER:
(Name, legal status and address)

«Town of Cheshire
84 South Main Street
Cheshire, CT 06410

THE ARCHITECT:
Cheryl Newton Architects LLC
39 New London Tpke, Suite 320
Glastonbury, CT 06033

THE ENGINEER:
Consulting Engineering Services
811 Middle Street
Middletown CT 06457

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ARTICLE 1   GENERAL PROVISIONS
§ 1.1 Basic Definitions
§ 1.1.1 The Contract Documents
The Contract Documents are enumerated in the Agreement between the Owner and Contractor (hereinafter the Agreement) and consist of the Agreement, Conditions of the Contract (General, Supplementary and other Conditions), Drawings, Specifications, Addenda issued prior to execution of the Contract, the Bidding Documents (including the Owner’s Invitation to Bid #__________ and Instructions to Bidders), Contractor’s Proposal, other documents listed in the Agreement, and Modifications issued after execution of the Contract. A Modification is (1) a written amendment to the Contract signed by both parties, (2) a Change Order, (3) a Construction Change Directive, or (4) a written order for a minor change in the Work issued by the Architect. Unless specifically enumerated in the Agreement, the Contract Documents do not include sample forms, other information furnished by the Owner in anticipation of receiving bids or proposals, or portions of Addenda relating to bidding or proposal requirements.

§ 1.1.2 The Contract
The Contract Documents form the Contract for Construction. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior negotiations, representations, or agreements, either written or oral. The Contract may be amended or modified only by a Modification. The Contract Documents shall not be construed to create a contractual relationship of any kind (1) between the Contractor and the Architect or the Architect’s consultants, (2) between the Owner and a Subcontractor or a Sub-subcontractor, (3) between the Owner and the Architect or the Architect’s consultants, or (4) between any persons or entities other than the Owner and the Contractor. The Architect shall, however, be entitled to performance and enforcement of obligations under the Contract intended to facilitate performance of the Architect’s duties. Subcontractors are not intended thirty-party beneficiaries to the Contract and shall have no direct cause of action against the Owner.

In the event of conflicts or discrepancies among the Contract Documents, interpretations will be based on the following priorities:

.1 Modifications, with those of later date having precedence over those of earlier date.
.2 The Agreement, including any amendment to the Agreement included in the bid package.
.3 Addenda to the Specifications and Drawings, with those of later date having precedence over those of earlier date.
.4 The General Conditions of the Contract for Construction.
.5 Specifications and Drawings.

Further, stated dimensions shall take precedence over scaled dimensions; large-scale detail drawings shall take precedence over small-scale drawings; schedules shall take precedence over other data on the drawings.

In the case of an inconsistency between Drawings and Specifications or within either Document in describing the Work, the better quality, greater quantity, or more costly work shall be provided in accordance with the Architect’s interpretation.

§ 1.1.3 The Work
The term “Work” means the construction and services required by the Contract Documents, whether performed on or off the site of the Project and whether completed or partially completed, and includes all other labor, materials, equipment, and services provided or to be provided by the Contractor, its Subcontractors, Sub-Subcontractors, material suppliers or any other entity for whom the Contractor is responsible to fulfill the Contractor’s obligations. The Work may constitute the whole or a part of the Project.

§ 1.1.4 The Project
The Project is the total construction of which the Work performed under the Contract Documents may be the whole or a part and which may include construction by the Owner and by Separate Contractors.

§ 1.1.5 The Drawings
The Drawings are the graphic and pictorial portions of the Contract Documents showing the design, location and dimensions of the Work, generally including plans, elevations, sections, details, schedules, and diagrams.
§ 1.1.6 The Specifications
The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, systems, standards and workmanship for the Work, and performance of related services.

§ 1.1.7 Instruments of Service
Instruments of Service are representations, in any medium of expression now known or later developed, of the tangible and intangible creative work performed by the Architect and the Architect’s consultants under their respective professional services agreements. Instruments of Service may include, without limitation, studies, surveys, models, sketches, drawings, specifications, and other similar materials.

§ 1.1.8 Contractor’s Standard of Care
The Contractor shall be responsible for the performance of the Work as an independent contractor and in a good and workmanlike manner (i) consistent with the Contract Documents; (ii) consistent with the instructions, guidance and direction of the Owner and Architect; (iii) consistent with the highest prevailing applicable professional or industry standards; (iv) consistent with sound practices; (v) as expeditiously as is consistent with such professional skill and care and the orderly progress of the Work and with the Contract Documents and the instructions, guidance and direction of the Owner and Architect; (vi) in a manner that will not exceed the Contract Sum as set forth in the Agreement, and (vii) in strict compliance with applicable laws (the standards of this Section 1.1.8 shall be referred to herein as the "Contractor's Standard of Care"). The Contractor shall exercise the Contractor's Standard of Care in performing all aspects of the Work. All references in the Contract Documents to the knowledge, inference, reliance, awareness, determination, belief, observation, recognition or discovery of the Contractor or reference to any similar term shall include the constructive knowledge, inference, reliance, awareness, determination, belief, observation and recognition attributed to the Contractor ("constructive knowledge"). Such constructive knowledge shall include the knowledge, inference, reliance, awareness, determination, belief, observation and recognition the Contractor would have obtained upon the exercise of the Contractor's Standard of Care.

§ 1.1.9 Initial Decision Maker
The Initial Decision Maker is the person identified in the Agreement to render initial decisions on Claims in accordance with Section 15.2. The Initial Decision Maker shall not show partiality to the Owner or Contractor and shall not be liable for results of interpretations or decisions rendered in good faith.

§ 1.2 Correlation and Intent of the Contract Documents
§ 1.2.1 The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complementary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall be required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the indicated results.

.1 Before ordering materials or doing any Work, the Contractor and each Subcontractor shall verify measurements at the Project site and shall be responsible for the correctness of such measurements. No extra charge or compensation will be allowed on account of minor differences between actual dimensions and the dimensions indicated on the Drawings. Any difference which may be found shall be submitted to the Architect for resolution before proceeding with the Work.

.2 If a minor change in the Work is found necessary due to actual field conditions, the Contractor shall submit detailed drawings of such departure for the approval by the Architect before making the change.

§ 1.2.1.2 The Architect may, as he deems desirable, issue additional drawings or instructions indicating in greater detail the construction or design of the various parts of the Work reasonably inferable from the Contract Documents; such drawings or instructions may be effected by notice to the Contractor without modification of the contract Time or contract Sum. If the Contractor claims additional cost or delay on account of such additional drawings or instructions, he shall give notice as provided in Subparagraph 15.1.
§ 1.2.1.1 The invalidity of any provision of the Contract Documents shall not invalidate the Contract or its remaining provisions. If it is determined that any provision of the Contract Documents violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Contract Documents shall be construed, to the fullest extent permitted by law, to give effect to the parties’ intentions and purposes in executing the Contract.

§ 1.2.2 Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade.

§ 1.2.3 Unless otherwise stated in the Contract Documents, words that have well-known technical or construction industry meanings are used in the Contract Documents in accordance with such recognized meanings.

§ 1.2.4 The Contractor and all Subcontractors shall refer to all of the Drawings, including those showing primarily the Work of the mechanical, electrical and other specialized trades, and to all of the sections of the Specifications, and shall perform all Work reasonably inferable there from as being necessary to produce the indicated results.

§ 1.3 Capitalization
Terms capitalized in these General Conditions include those that are (1) specifically defined, (2) the titles of numbered articles, or (3) the titles of other documents published by the American Institute of Architects.

§ 1.4 Interpretation
In the interest of brevity the Contract Documents frequently omit modifying words such as “all” and “any” and articles such as “the” and “an,” but the fact that a modifier or an article is absent from one statement and appears in another is not intended to affect the interpretation of either statement.

§ 1.5 Ownership and Use of Drawings, Specifications, and Other Instruments of Service
§ 1.5.1 The Instruments of Service, including the Drawings and Specifications, are and shall be the property of the Owner. The Contractor, Subcontractors, Sub-subcontractors, and suppliers shall not own or claim a copyright in the Instruments of Service. Submittal or distribution to meet official regulatory requirements or for other purposes in connection with the Project is not to be construed as publication in derogation of the reserved rights of the Owner.

§ 1.5.2 The Contractor, Subcontractors, Sub-subcontractors, and suppliers are authorized to use and reproduce the Instruments of Service provided to them, subject to any protocols established pursuant to Sections 1.7 and 1.8, solely and exclusively for execution of the Work. All copies made under this authorization shall bear the copyright notice, if any, shown on the Instruments of Service. The Contractor, Subcontractors, Sub-subcontractors, and suppliers may not use the Instruments of Service for any purpose outside the scope of the Work without the specific written consent of the Owner.

§ 1.5.3 Prior to execution of the Agreement, the Contractor evaluated and satisfied itself as to the condition and limitations under which the Work is to be performed, including, without limitation, (i) the location, condition, layout, and nature of the Project site and surrounding areas, (ii) generally prevailing climatic conditions, (iii) anticipated labor supply and costs, (iv) availability and cost of materials, tools, and equipment, and (v) other similar issues. The Owner assumes no responsibility or liability for the physical condition or safety of the Project site or any improvements located on the Project site. The Contractor shall be solely responsible for providing a safe place for the performance of the Work. The Owner shall not be required to make any adjustment in either the Contract Sum or the Contract Time in connection with any failure by the Contractor or any Subcontractor to have complied with the requirements of this Subparagraph 1.5.3.

§ 1.6 Notice
§ 1.6.1 Except as otherwise provided in Section 1.6.2, where the Contract Documents require one party to notify or give notice to the other party, such notice shall be provided in writing to the designated representative of the party to whom the notice is addressed and shall be deemed to have been duly served if delivered in person, by mail, by courier, or by electronic transmission if a method for electronic transmission is set forth in the Agreement.
§ 1.6.2 Notice of Claims as provided in Section 15.1.3 shall be provided in writing and shall be deemed to have been duly served only if delivered to the designated representative of the party to whom the notice is addressed by certified or registered mail, or by courier providing proof of delivery.

§ 1.7 Digital Data Use and Transmission
The parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties will use AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.

§ 1.8 Building Information Models Use and Reliance
Any use of, or reliance on, all or a portion of a building information model without agreement to protocols governing the use of, and reliance on, the information contained in the model and without having those protocols set forth in AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, and the requisite AIA Document G202™–2013, Project Building Information Modeling Protocol Form, shall be at the using or relying party’s sole risk and without liability to the other party and its contractors or consultants, the authors of, or contributors to, the building information model, and each of their agents and employees.

§ 1.9 Any information obtained by the Contractor from the Owner or Architect may not be used, published, distributed, sold or divulged by the Contractor or its Subcontractor or Sub-subcontractors for such party’s own purposes or for the benefit of any person, firm, corporation or other entity other than the Owner, without the prior written consent of the Owner. Any information obtained by the Contractor of its Subcontractors or Sub-Subcontractors that is designated by the Owner in accordance with applicable law as confidential shall not be disclosed to any other parties without the prior written consent of the Owner.

ARTICLE 2 OWNER
§ 2.1 General
§ 2.1.1 INTENTIONALLY OMITTED
§ 2.1.2 INTENTIONALLY OMITTED
§ 2.2 Evidence of the Owner’s Financial Arrangements
§ 2.2.1 INTENTIONALLY OMITTED

§ 2.3 Information and Services Required of the Owner
§ 2.3.1 Except for permits and fees that are the responsibility of the Contractor under the Contract Documents, including those required under Section 3.7.1, the Owner shall secure and pay for necessary approvals, easements, assessments and charges required for construction, use or occupancy of permanent structures or for permanent changes in existing facilities. The Owner has agreed to waive the fees of all required building permits related to the completion of this project.

§ 2.3.2 The Owner shall retain an architect lawfully licensed to practice architecture, or an entity lawfully practicing architecture, in the jurisdiction where the Project is located. That person or entity is identified as the Architect in the Agreement and is referred to throughout the Contract Documents as if singular in number.

§ 2.3.3 If the employment of the Architect terminates, the Owner shall employ a successor to whom the Contractor has no reasonable objection and whose status under the Contract Documents shall be that of the Architect.

§ 2.3.4 The Owner shall furnish surveys describing physical characteristics, legal limitations and utility locations for the site of the Project, and a legal description of the site.

§ 2.3.5 The Owner shall furnish information or services required of the Owner by the Contract Documents with reasonable promptness. The Owner shall also furnish any other information or services under the Owner’s control and relevant to the Contractor’s performance of the Work with reasonable promptness after receiving the Contractor’s written request for such information or services.
§ 2.4 Owner’s Right to Stop the Work
If the Contractor fails to correct Work that is not in accordance with the requirements of the Contract Documents as required by Section 12.2 or fails to carry out Work in accordance with the Contract Documents, the owner may issue a written order to the Contractor to stop the Work, or any portion thereof, however, the right of the Owner to stop the Work shall not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity, except to the extent required by Section 6.1.3.

§ 2.5 Owner’s Right to Carry Out the Work
If the Contractor defaults or neglects to carry out the Work in accordance with the Contract Documents and fails within a seven-day period after receipt of notice from the Owner to commence and continue correction of such default or neglect with diligence and promptness, the Owner may, without prejudice to other remedies the Owner may have, correct such default or neglect. The Architect may, pursuant to Section 9.5.1, withhold or nullify a Certificate for Payment in whole or in part, to the extent reasonably necessary to reimburse the Owner for the reasonable cost of correcting such deficiencies, including Owner’s expenses and compensation for the Architect’s additional services made necessary by such default, neglect, or failure. If current and future payments are not sufficient to cover such amounts, the Contractor shall pay the difference to the Owner. If the Contractor disagrees with the actions of the Owner or the Architect, or the amounts claimed as costs to the Owner, the Architect may file a Claim pursuant to Article 15. The Owner’s right to stop the Work is in addition to and not in restriction or derogation of any and all remedies available to the Owner. The Owner shall have full access to and the right to inspect all portions of the Work for quality, progress, and conformance to the Contract Documents. Any testing or inspections (including commissioning) performed by or on behalf of the Owner shall in no way relieve or replace the obligations of the Contractor in its fulfillment of its obligations hereunder. Any commissioning activities are at the sole discretion of the Owner and shall not be a requirement of the Agreement.

§ 2.6 In no event shall the Owner have control over, charge or any responsibility for construction means, methods, techniques, sequences, or procedures or for safety precautions and programs in connection with the Work, notwithstanding any of the rights and authority granted the Owner in the Contract Documents.

ARTICLE 3 CONTRACTOR
§ 3.1 General
§ 3.1.1 The Contractor is the person or entity identified as such in the Agreement and is referred to throughout the Contract Documents as if singular in number. The Contractor shall be lawfully licensed, if required in the jurisdiction where the Project is located. The Contractor shall designate in writing a representative who shall have express authority to bind the Contractor with respect to all matters under this Contract. The term “Contractor” means the Contractor or the Contractor’s authorized representative.

§ 3.1.2 The Contractor shall perform the Work in accordance with the Contract Documents.

§ 3.1.3 The Contractor shall not be relieved of its obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Architect in the Architect’s administration of the Contract, or by tests, inspections or approvals required or performed by persons or entities other than the Contractor.

§ 3.1.4 The Contractor shall comply with the Conditions and all local, state, and federal laws, rules and regulations applicable to the Contractor, including without limitation those relating to equal opportunity, labor, wage (including prevailing wage laws) and employment.

§ 3.2 Review of Contract Documents and Field Conditions by Contractor
§ 3.2.1 Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become generally familiar with local conditions under which the Work is to be performed, conducted its own due diligence, and correlated personal observations with requirements of the Contract Documents.

§ 3.2.2 Because the Contract Documents are complementary and extensive, the Contractor shall, before starting each portion of the Work, carefully study and compare the various Contract Documents relative to that portion of the Work, as well as the information furnished by the Owner pursuant to Section 2.3.4, shall take field measurements of
any existing conditions related to that portion of the Work, and shall observe any conditions at the site affecting it. These obligations are for the purpose of facilitating coordination and construction by the Contractor and are not for the purpose of discovering errors, omissions, or inconsistencies in the Contract Documents; however, the Contractor shall promptly report to the Architect any errors, inconsistencies or omissions discovered by or made known to the Contractor as a request for information in such form as the Architect may require. It is recognized that the Contractor’s review is made in the Contractor’s capacity as a contractor and not as a licensed design professional, unless otherwise specifically provided in the Contract Documents. The exactness of grades, elevations, dimensions, or locations given on any Drawings issued by the Architect, or surveys furnished by the Owner, is not guaranteed by the Architect or the Owner. The Contractor shall, therefore, satisfy itself as to the accuracy of all grades, elevations, dimensions, and locations. Any errors due to the Contractor’s failure to so verify all such grades, elevations, dimensions, or locations shall be promptly rectified by the Contractor without any additional cost to the Owner.

§ 3.3 Supervision and Construction Procedures

§ 3.3.1 The Contractor shall supervise and direct the Work, using the Contractor’s best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract. If the Contract Documents give specific instructions concerning construction means, methods, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite safety thereof and shall be solely responsible for the jobsite safety of such means, methods, techniques, sequences, or procedures. The Contractor shall correct Work incorrectly done at the Contractor’s own expense.

§ 3.3.2 The Contractor shall supervise and direct the Work, using the Contractor’s best skill and attention. The Contractor shall be solely responsible for, and have control over, construction means, methods, techniques, sequences, and procedures, and for coordinating all portions of the Work under the Contract. If the Contractor determines that such means, methods, techniques, sequences, or procedures, the Contractor shall evaluate the jobsite safety thereof and shall be solely responsible for the jobsite safety of such means, methods, techniques, sequences, or procedures. The Contractor shall correct Work incorrectly done at the Contractor’s own expense.

§ 3.3.3 Owner assumes no contractual liability or responsibility for the physical condition or safety of the Project site or of any improvement thereon. Except as set forth in Section 10.3, the Contractor shall be solely responsible for providing a safe place for the performance of the Work.

§ 3.3.4 If the Contractor believes that additional cost or time is involved because of clarifications or instructions the Architect issues in response to the Contractor’s notices or requests for information pursuant to Sections 3.2.2 or 3.2.3, the Contractor shall submit Claims as provided in Article 15. If the Contractor fails to perform the obligations of Sections 3.2.2 or 3.2.3, the Contractor shall pay such costs and damages to the Owner, subject to Section 15.1.7, as would have been avoided if the Contractor had performed such obligations. If the Contractor performs those obligations, the Contractor shall not be liable to the Owner or Architect for damages resulting from errors, inconsistencies, or omissions in the Contract Documents, for differences between field measurements or conditions and the Contract Documents, or for nonconformities of the Contract Documents to applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities. If the Contractor or a Subcontractor fails to submit a Claim in accordance with the requirements of Article 15, the Contractor or Subcontractor knowingly and irrevocably waives any Claim for additional compensation or time.

§ 3.3.5 The Contractor shall give the Architect timely notice of any additional Drawings, Specifications, or instructions required to define the Work in greater detail, or to permit the proper progress of the Work.

§ 3.3.6 The Contractor shall not proceed with any Work not clearly and consistently defined in detail in the Contract Documents, but shall request additional drawings or instructions from the Architect as provided in subparagraph 3.2.5. If the Contractor proceeds with such Work without obtaining further Drawings, Specifications or instructions, the Contractor shall correct Work incorrectly done at the Contractor’s own expense.

§ 3.3.7 Except as to any reported errors, inconsistencies or omissions, and as to any concealed or unknown conditions as defined in Paragraph 3.7.4. by executing the Agreement, the Contractor represents the following:

1. The Contract Documents are sufficiently complete and detailed for the Contractor to (1) perform the Work required to produce the results intended by the Contract Documents and (2) comply with all the requirements of the Contract Documents.

2. The Work required by the Contract Documents, including, without limitation, all construction details, construction means, methods, procedures and techniques necessary to perform the Work, use of materials, selection of equipment and requirements of product manufacturers are consistent with: (1) good and sound practices within the construction industry; (2) generally prevailing and accepted industry standards applicable to the Work; and (3) requirements of any warranties applicable to the Work.
methods, techniques, sequences or procedures may not be safe, the Contractor shall give timely notice to the Owner and Architect, and shall propose alternative means, methods, techniques, sequences, or procedures. The Architect shall evaluate the proposed alternative solely for conformance with the design intent for the completed construction. Unless the Architect objects to the Contractor’s proposed alternative, the Contractor shall perform the Work using its alternative means, methods, techniques, sequences, or procedures.

§ 3.3.2 The Contractor shall be responsible to the Owner for acts and omissions of the Contractor’s employees, Subcontractors and their agents and employees, and other persons or entities performing portions of the Work for, or on behalf of, the Contractor or any of its Subcontractors.

§ 3.3.3 The Contractor shall be responsible for inspection of portions of Work already performed to determine that such portions are in proper condition to receive subsequent Work.

§ 3.3.4 The Contractor shall schedule and perform the Work so as not to unreasonably interfere with any other related or unrelated work being performed by the Owner in or about the Project premises or with the Owner's continued use and operation of the Project premises as a fully operational ________________. The Contractor shall protect and prevent damage to all unfinished phases of the Work.

§ 3.4 Labor and Materials
§ 3.4.1 Unless otherwise provided in the Contract Documents, the Contractor shall provide and pay for labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for proper execution and completion of the Work, whether temporary or permanent and whether or not incorporated or to be incorporated in the Work.

§ 3.4.2 Except in the case of minor changes in the Work approved by the Architect in accordance with Section 3.12.8 or ordered by the Architect in accordance with Section 7.4, the Contractor may make substitutions only with the consent of the Owner, after evaluation by the Architect and in accordance with a Change Order or Construction Change Directive.

§ 3.4.2.1 Approval by the Owner or Architect of any such substitution shall not relieve the Contractor requesting the substitution of responsibility for any additional costs incurred by other trades for changes made necessary to accommodate the substituted item.

§ 3.4.2.2 By making requests for substitutions based on subparagraph 3.4.2 above, the Contractor:
   .1 represents that the Contractor has personally investigated the proposed substitute product and determined that it is equal or superior in all respects to that specified;
   .2 represents that the Contractor will provide the same warranty for the substitution that the Contractor would for that specified;
   .3 certifies that the cost data presented is complete and includes all related costs under this Contract except the Architect’s redesign costs, and waives all claims for additional costs related to substitution which subsequently become apparent; and
   .4 shall coordinate the installation of the accepted substitution, making such changes as may be required for the Work to be complete in all respects.

§ 3.4.3 The Contractor shall enforce strict discipline and good order among the Contractor’s employees and other persons carrying out the Work. The Contractor shall not permit employment of unfit persons or persons not properly skilled in tasks assigned to them.

§ 3.4.4 The Contractor shall be responsible for determining that all materials furnished for the Work meet all requirements of the Contract Documents. The Architect may require the Contractor to produce reasonable evidence that a material meets such requirements, such as certified reports of past tests by qualified testing laboratories, reports of studies by qualified experts, or other evidence which, in the opinion of the Architect, would lead to a reasonable certainty that any material used, or proposed to be used, in the Work meets the requirements of the Contract Documents. All such data shall be furnished at the Contractor’s expense.

§ 3.4.5 In all cases in which a manufacturer’s name, trade name or other proprietary designation is used in connection with materials or articles to be furnished under this Contract, the Contractor shall furnish the product of
the named manufacturer(s) without substitution.

§ 3.4.6 The Contractor shall only employ or use labor in connection with the Work capable of working harmoniously with all trades, crafts, and any other individuals associated with the Project. The Contractor shall also use best efforts to minimize the likelihood of any strike, work stoppage, or other labor disturbance.

§ 3.5 Warranty

§ 3.5.1 The Contractor warrants to the Owner and Architect that materials and equipment furnished under the Contract will be of good quality and new unless the Contract Documents require or permit otherwise. The Contractor further warrants that the Work will conform to the requirements of the Contract Documents and will be free from defects, except for those inherent in the quality of the Work the Contract Documents require or permit. Work, materials, or equipment not conforming to these requirements may be considered defective. The Contractor’s warranty excludes remedy for damage or defect caused by abuse, alterations to the Work not executed by the Contractor, improper or insufficient maintenance, improper operation, or normal wear and tear and normal usage. If required by the Architect, the Contractor shall furnish satisfactory evidence as to the kind and quality of materials and equipment.

§ 3.5.2 All material, equipment, or other special warranties required by the Contract Documents shall be issued in the name of the Owner, or shall be transferable to the Owner, and shall commence in accordance with Section 9.8.4.

§ 3.5.3 The Contractor agrees to assign to the Owner as a condition precedent to Substantial Completion of the Work any and all manufacturer’s warranties relating to materials and equipment installed in the Work and further agrees to perform the Work in such manner so as to preserve any and all such manufacturer’s warranties.

§ 3.5.4 The warranty provided in this Paragraph 3.5 shall be in addition to and not in limitation of any other warranty or guaranty required by the Contract Documents or otherwise prescribed by law.

§ 3.5.5 The Contractor shall procure and deliver to the Architect, no later than thirty (30) calendar days after the Date of Substantial Completion, all warranties required by the Contract Documents.

§ 3.5.6 The Warranty shall include the repair and/or replacement of all damaged materials resulting from the defective materials and/or workmanship. This shall include but not be limited to furniture, fixtures, equipment, finishes or any other affected materials or property.

§ 3.6 Taxes

The Owner is a tax-exempt entity. The Contractor shall be familiar with the current regulations of the Connecticut Department of Revenue Services and the sales or use tax on materials or supplies exempted by such regulations shall not be included as part of the bid or the Contract Sum. A sales tax certificate is available upon written request.

§ 3.7 Permits, Fees, Notices and Compliance with Laws

§ 3.7.1 Unless otherwise provided in the Contract Documents, the Contractor shall secure the building permit as well as for other permits, licenses, and inspections by government agencies necessary for proper execution and completion of the Work, including, without limitation, all building permits, subsidiary trade permits, and occupancy permits. All inspection fees as may be imposed by any municipal agency are waived by the Owner.

§ 3.7.1.1 The "Agencies" are the Department of Public Works for the Town of Cheshire (the "Department"), and all other governmental authorities having regulatory or administrative jurisdiction over the Work and/or Project and all representatives or designees of the Department or such other governmental authorities. The term "Agencies" shall also include an individuals or entities designated by the Owner to monitor or oversee compliance of the Project's design with the requirements of governmental authorities having jurisdiction over the Project.

§ 3.7.1.2 The term "Agencies" shall also include an individual or entity not described in Section 3.7.1.1 from whom the Owner intends to request certification of the Project's design, to the extent included in the Contract Documents.
§ 3.7.2 The Contractor shall comply with and give notices required by applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities applicable to performance of the Work. The Contractor shall procure and obtain all bonds required of the Owner or the Contractor by the municipality in which the Project is located or any public or private body with jurisdiction over the Project. In connection with such bonds, the Contractor shall prepare all applications, supply all necessary backup material, and furnish the surety with any required personal undertakings. The Owner will pay the price of all such bond premiums.

§ 3.7.3 If the Contractor performs Work which it knows or should know is contrary to applicable laws, statutes, ordinances, codes, rules and regulations, or lawful orders of public authorities, the Contractor shall bear responsibility for such Work and shall bear the costs attributable to correction.

§ 3.7.4 Concealed or Unknown Conditions
If the Contractor encounters conditions at the site that are (1) subsurface or otherwise concealed physical conditions that differ materially from those indicated in the Contract Documents or (2) unknown physical conditions of an unusual nature that differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, the Contractor shall promptly provide written notice to the Owner and the Architect before conditions are disturbed and no later than 14 days after first observance of the conditions. The Architect will promptly investigate such conditions and, if the Architect determines that they differ materially and cause an increase or decrease in the Contractor’s cost of, or time required for, performance of any part of the Work, will recommend that an equitable adjustment be made in the Contract Sum or Contract Time, or both. If the Architect determines that the conditions at the site are not materially different from those indicated in the Contract Documents and that no change in the terms of the Contract is justified, the Architect shall promptly notify the Owner and Contractor, stating the reasons. If either party disputes the Architect’s determination or recommendation, that party may submit a Claim as provided in Article 15.

§ 3.7.5 If, in the course of the Work, the Contractor encounters human remains or recognizes the existence of burial markers, archaeological sites or wetlands not indicated in the Contract Documents, the Contractor shall immediately suspend any operations that would affect them and shall notify the Owner and Architect. Upon receipt of such notice, the Owner shall promptly take any action necessary to obtain governmental authorization required to resume the operations. The Contractor shall continue to suspend such operations until otherwise instructed by the Owner but shall continue with all other operations that do not affect those remains or features. Requests for adjustments in the Contract Sum and Contract Time arising from the existence of such remains or features may be made as provided in Article 15.

§ 3.7.6 If any governmental body having jurisdiction over the Work requires licenses or registrations for the performance of the Work or any part thereof, the Contractor shall hold such valid licenses or registrations as may be required by law to prosecute the Work to completion. If any part of the Work for which such a license or registration is required is to be performed by Subcontractors of any tier, the Contractor shall ensure that such Subcontractors hold such valid licenses or registrations as may be required by law to prosecute said Work to completion.

§ 3.8 Allowances
§ 3.8.1 The Contractor shall include in the Contract Sum all allowances stated in the Contract Documents. Items covered by allowances shall be supplied for such amounts and by such persons or entities as the Owner may direct, but the Contractor shall not be required to employ persons or entities to whom the Contractor has reasonable objection.

§ 3.8.2 Unless otherwise provided in the Contract Documents,

1. allowances shall cover the cost to the Contractor of materials and equipment delivered at the site and all required taxes, less applicable trade discounts;

2. Contractor’s costs for unloading and handling at the site, labor, installation costs, overhead, profit, and other expenses contemplated for stated allowance amounts shall be included in the Contract Sum but not in the allowances; and

3. whenever costs are more than or less than allowances, the Contract Sum shall be adjusted accordingly by Change Order. The amount of the Change Order shall reflect (1) the difference between actual
§ 3.8.3 Materials and equipment under an allowance shall be selected by the Owner with reasonable promptness.

§ 3.9 Superintendent

§ 3.9.1 The Contractor shall employ a competent superintendent and necessary assistants who shall be in attendance at the Project site during performance of the Work. The superintendent shall represent the Contractor, and communications given to the superintendent shall be as binding as if given to the Contractor.

§ 3.9.2 The Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the name and qualifications of a proposed superintendent. Within 14 days of receipt of the information, the Architect may notify the Contractor, stating whether the Owner or the Architect (1) has reasonable objection to the proposed superintendent or (2) requires additional time for review. Failure of the Owner or Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 3.9.3 The Contractor shall not employ a proposed superintendent to whom the Owner or Architect has made reasonable objection. The Contractor shall not change the superintendent without the Owner’s consent, which shall not unreasonably be withheld or delayed.

§ 3.9.4 The Contractor shall coordinate and supervise the Work performed by Subcontractors to the end that the Work is carried out without conflict between trades and so that no trade, at any time, causes delay to the general progress of the Work. The Contractor and all Subcontractors shall at all times afford each trade, any separate contractor, or the Owner, every reasonable opportunity for the installation of Work and the storage of materials.

§ 3.9.5 Contractor shall at all times enforce strict discipline and good order among its employees (and those of its Subcontractors) and shall not employ on the Work any unfit person or anyone not skilled in the task assigned to them. All labor shall be performed by workmen skilled in their respective trades and workmanship shall be of good quality in accordance with the standards of construction set forth in the Contract Documents.

§ 3.10 Contractor’s Construction and Submittal Schedules

§ 3.10.1 The Contractor, promptly after being awarded the Contract, shall submit for the Owner’s and Architect’s information and approval a Contractor’s construction schedule for the Work. The schedule shall contain detail appropriate for the Project, including (1) the date of commencement of the Work, interim schedule milestone dates, and the date of Substantial Completion; (2) an apportionment of the Work by construction activity; and (3) the time required for completion of each portion of the Work. The schedule shall provide for the orderly progression of the Work to completion and shall not exceed time limits current under the Contract Documents. The schedule shall be revised at appropriate intervals as required by the conditions of the Work and Project. The schedule shall set forth milestone dates agreed to by the parties and the failure of the Contractor to achieve a milestone shall constitute a material default hereunder. Failure to meet a milestone date shall entitle but not require the Owner to supplement the Contractor’s forces, at the sole cost and expense of the Contractor, and the Contractor shall be solely responsible for coordinating its efforts with and supervising the work of any supplemental manpower.

§ 3.10.2 The Contractor, promptly after being awarded the Contract and thereafter as necessary to maintain a current submittal schedule, shall submit a submittal schedule for the Architect’s approval. The Architect’s approval shall not be unreasonably delayed or withheld. The submittal schedule shall (1) be coordinated with the Contractor’s construction schedule, and (2) allow the Architect reasonable time to review submittals. If the Contractor fails to submit a submittal schedule, or fails to provide submittals in accordance with the approved submittal schedule, the Contractor shall not be entitled to any increase in Contract Sum or extension of Contract Time based on the time required for review of submittals.

§ 3.10.3 The Contractor shall perform the Work in general accordance with the most recent schedules submitted to the Owner and Architect.

§ 3.10.4 The construction schedule shall be in a detailed precedence-style critical path management (“CPM”) format satisfactory to the Owner and the Architect that shall also (i) provide a graphic representation of all activities and events that will occur during performance of the Work; (ii) identify each phase of construction and occupancy; and
(iii) set forth dates that are critical in ensuring the timely and orderly completion of the Work in accordance with the requirements of the Contract Documents (hereinafter referred to as “Milestone Dates”). Upon review and acceptance by the Owner and the Architect of the Milestone Dates, the construction schedule shall be deemed part of the Contract Documents. If not accepted, the construction schedule shall be promptly revised by the Contractor in accordance with the recommendations of the Owner and the Architect and resubmitted for acceptance. The Contractor shall monitor the progress of the Work for conformance with the requirements of the construction schedule and shall promptly advise the Owner of any delays or potential delays. The accepted construction schedule shall be updated to reflect actual conditions, as set forth in Subparagraph 3.10.1 or if requested by either the Owner or the Architect. In the event any progress report indicates any delays, the Contractor shall propose an affirmative plan to correct the delay, including overtime and/or additional labor, if necessary. In no event shall any progress report constitute an adjustment in the Contract Time, any Milestone Date, or the Contract Sum unless any such adjustment is agreed to by the Owner and authorized pursuant to Change Order.

§ 3.10.5 In the event the Owner determines that the performance of the Work, as of a Milestone Date, has not progressed or reached the level of completion required by the Contract Documents, the Owner shall have the right to order the Contractor to take corrective measures necessary to expedite the progress of construction, including, without limitation, (i) working additional shifts or overtime, (ii) supplying additional manpower, equipment, and facilities, and (iii) other similar measures (hereinafter referred to collectively as “Extraordinary Measures”). Such Extraordinary Measures shall continue until the progress of the Work complies with the stage of completion required by the Contract Documents. The Owner’s right to require Extraordinary Measures is solely for the purpose of ensuring the Contractor’s compliance with the construction schedule.

1. The Contractor shall not be entitled to an adjustment in the Contract Sum in connection with Extraordinary Measures required by the Owner under or pursuant to this Subparagraph 3.10.5.

2. The Owner may exercise the rights furnished the Owner under or pursuant to this Subparagraph 3.10.5 as frequently as the Owner deems necessary to ensure that the Contractor’s performance of the Work will comply with the completion date set forth in the Contract Documents.

§ 3.10.6 The Owner shall have the right to direct a postponement or rescheduling of any date or time for the performance of any part of the Work that may interfere with the operation of the Owner’s premises or any invitees thereof. The Contractor shall, upon the Owner’s request, reschedule any portion of the Work affecting operation of the premises during hours when the premises are in operation. Any postponement or rescheduling under Subparagraph 3.10.5. may be grounds for an extension of the Contract Time if permitted under Subparagraph 8.3.1.

§ 3.10.7 The Contractor shall schedule and conduct construction and progress meetings, on a frequency required to effect coordination, to discuss such matters as procedures, progress, problems, and scheduling. The Contractor shall prepare and distribute minutes within three working days of such meetings.

§ 3.10.8 The Contractor shall record the progress of the Project, including information on each Subcontractor and each Subcontractor’s Work, as well as the entire Project, showing percentages of completion and the number and amounts of Change Orders. The Contractor will keep a daily log containing a record of weather, Subcontractors’ Work on the site, number of workers, Work accomplished, problems encountered and other similar relevant data as the Owner may require. Upon request, Contractor shall make the logs available to the Owner and the Architect.

§ 3.11 Documents and Samples at the Site
The Contractor shall make available, at the Project site, the Contract Documents, including Change Orders, Construction Change Directives, and other Modifications, in good order and marked currently to indicate field changes and selections made during construction, and the approved Shop Drawings, Product Data, Samples, and similar required submittals. These shall be in electronic form or paper copy, available to the Architect and Owner, and delivered to the Architect for submittal to the Owner upon completion of the Work as a record of the Work as constructed.
§ 3.12 Shop Drawings, Product Data and Samples

§ 3.12.1 Shop Drawings are drawings, diagrams, schedules, and other data specially prepared for the Work by the Contractor or a Subcontractor, Sub-subcontractor, manufacturer, supplier, or distributor to illustrate some portion of the Work.

§ 3.12.2 Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams, and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

§ 3.12.3 Samples are physical examples that illustrate materials, equipment, or workmanship, and establish standards by which the Work will be judged.

§ 3.12.4 Shop Drawings, Product Data, Samples, and similar submittals are not Contract Documents. Their purpose is to demonstrate how the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents for those portions of the Work for which the Contract Documents require submittals. Review by the Architect is subject to the limitations of Section 4.2.7. Informational submittals upon which the Architect is not expected to take responsive action may be so identified in the Contract Documents. Submittals that are not required by the Contract Documents may be returned by the Architect without action. The Contractor’s approval shall be noted on the submitted item or in its transmittal letter, together with written notice of any deviation in the submitted item from the requirements of the Work and of the Contract Documents. In collaboration with the Architect, Contractor shall establish and implement procedures for expediting the processing and approval of Shop Drawings, Product Data, Samples, and other submittals.

§ 3.12.5 The Contractor shall review for compliance with the Contract Documents, approve, and submit to the Architect, Shop Drawings, Product Data, Samples, and similar submittals required by the Contract Documents, in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness and in such sequence as to cause no delay in the Work or in the activities of the Owner or of Separate Contractors. Each Shop Drawing, Product Data, Sample, and similar submittals shall have a cover sheet identifying the project name and address, contractor information, drawing and/or specification reference, submission date and contents of the submittal. Ample space shall be provided on this cover sheet to allow for the Contractor’s and Architect’s review stamps. The Contractor’s approval shall be noted on the submitted items or in its transmittal letter, together with written notice of any deviation in the submitted item from the requirements of the Work and of the Contract Documents.

§ 3.12.6 By submitting and approving Shop Drawings, Product Data, Samples, and similar submittals, the Contractor represents to the Owner and Architect that the Contractor has (1) reviewed and approved them, (2) determined and verified materials, field measurements and field construction criteria related thereto, or will do so, and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents. The Contractor shall indicate approval on the submittals as evidence of such review and coordinate submittals made to the Architect without such indications of approval may be returned to the Contractor for resubmission. The accuracy of all such information is the responsibility of the Contractor. In approving Shop Drawings, Product Data, Samples, and similar submittals, the Architect shall be entitled to rely upon the Contractor’s representation that such information is accurate and in compliance with the Contract.

§ 3.12.7 The Contractor shall perform no portion of the Work for which the Contract Documents require submittal and review of Shop Drawings, Product Data, Samples, or similar submittals, until the respective submittal has been approved by the Architect. If the Contractor procures, performs, or installs portions of the Work without required approvals, the Contractor does so at its own risk and such Work may be removed or replaced with approved Work at no cost to the Owner.

§ 3.12.8 The Work shall be in accordance with approved submittals except that the Contractor shall not be relieved of responsibility for deviations from the requirements of the Contract Documents by the Architect’s approval of Shop Drawings, Product Data, Samples, or similar submittals, unless the Contractor has specifically notified the Architect of such deviation at the time of submittal and (1) the Architect has given written approval to the specific deviation as a minor change in the Work, or (2) a Change Order or Construction Change Directive has been issued authorizing the deviation. Any submittals forwarded to the Architect for review that include a deviation from the requirements of the Contract Documents or is not the specific make, model or manufacturer that was listed in the Contract Documents. The Contractor shall verify materials, field measurements and field construction criteria related thereto, or will do so, and (3) checked and coordinated the information contained within such submittals with the requirements of the Work and of the Contract Documents. The Contractor shall indicate approval on the submittals as evidence of such review and coordinate submittals made to the Architect without such indications of approval may be returned to the Contractor for resubmission. The accuracy of all such information is the responsibility of the Contractor. In approving Shop Drawings, Product Data, Samples, and similar submittals, the Architect shall be entitled to rely upon the Contractor’s representation that such information is accurate and in compliance with the Contract.
Documents shall have a completed Substitution Request Form attached to the submittal. This Substitution Request Form shall be provided by the Owner. Unless such deviation is identified by utilizing the Substitution Request Form, the Contractor shall not be relieved of the responsibility for the specific requirements of the Contract Documents even though the subject submittal was approved by the Architect. The Contractor shall not be relieved of responsibility for the Contractor’s subcontractor’s or vendor’s errors or omissions in Shop Drawings, Product Data, Samples, or similar submittals, by the Architect’s approval thereof.

§ 3.13.4 Without limitation of any other provision of the Contract Documents, the Contractor shall use best efforts to

§ 3.13.3 Except for those reasonably required for safety purposes, the Contractor and any entity for whom the Contractor is responsible shall not erect any sign on the Project site without the prior written consent of the Owner.

§ 3.13.2 Only materials and equipment that are to be used directly in the Work shall be brought to and stored on the Project site by the Contractor. After equipment is no longer required for the Work, it shall be promptly removed from the Project site. Protection of construction materials and equipment stored at the Project site from weather, theft, damage, and all other adversity is solely the responsibility of the Contractor. The Contractor shall ensure that the Work, at all times, is performed in a manner that affords reasonable access, both vehicular and pedestrian, to the site of the Work and all adjacent areas. The Work shall be performed, to the fullest extent reasonably possible, in such a manner that occupied areas adjacent to the site of the Work shall at all time remain free from all debris and building materials.

§ 3.13.1 The Contractor shall confine operations at the site to areas permitted by applicable laws, statutes, ordinances, codes, rules and regulations, lawful orders of public authorities, and the Contract Documents and shall not unreasonably encumber the site with materials or equipment.

§ 3.13 Use of Site

§ 3.13.4 Without limitation of any other provision of the Contract Documents, the Contractor shall use best efforts to
§ 3.14 Cutting and Patching
§ 3.14.1 The Contractor shall be responsible for cutting, fitting, or patching required to complete the Work or to make its parts fit together properly. All areas requiring cutting, fitting, or patching shall be restored to the condition existing prior to the cutting, fitting, or patching, unless otherwise required by the Contract Documents.

§ 3.14.2 The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or Separate Contractors by cutting, patching, or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter construction by the Owner or a Separate Contractor except with written consent of the Owner and of the Separate Contractor. Consent shall not be unreasonably withheld. The Contractor shall not unreasonably withhold, from the Owner or a Separate Contractor, its consent to cutting or otherwise altering the Work.

§ 3.15 Cleaning Up
§ 3.15.1 The Contractor shall keep the premises and surrounding area free from accumulation of waste materials and rubbish caused by operations under the Contract. At completion of the Work, the Contractor shall remove waste materials, rubbish, the Contractor’s tools, construction equipment, machinery, and surplus materials from and about the Project and shall clean and/or remove all stains, spots, work, blemishes, foreign matter and dirt from other surfaces not part of the Work but where such conditions resulted from the Contractor’s operations.

§ 3.15.2 If the Contractor fails to clean up as provided in the Contract Documents, the Owner may do so and the Owner shall be entitled to reimbursement from the Contractor for the full cost of such cleanup.

§ 3.16 Access to Work
The Contractor shall provide the Owner and Architect with access to the Work in preparation and progress wherever located.

§ 3.17 Royalties, Patents and Copyrights
The Contractor shall pay all royalties and license fees. The Contractor shall defend suits or claims for infringement of copyrights and patent rights and shall hold the Owner and Architect harmless from loss on account thereof, but shall not be responsible for defense or loss when a particular design, process, or product of a particular manufacturer or manufacturers is required by the Contract Documents, or where the copyright violations are contained in Drawings, Specifications, or other documents prepared by the Owner or Architect. However, if an infringement of a copyright or patent is discovered by, or made known to, the Contractor, the Contractor shall be responsible for the loss unless the information is promptly furnished to the Architect.

§ 3.18 Indemnification
§ 3.18.1 To the fullest extent permitted by law, the Contractor shall indemnify, defend and hold harmless the Owner, Architect, Architect’s consultants, and agents and employees of any of them from and against claims, damages, losses, and expenses, including but not limited to attorneys’ fees, arising out of or resulting from performance of the Work, provided that such claim, damage, loss, or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property (other than the Work itself), but only to the extent caused by the negligent acts or omissions of the Contractor, a Subcontractor, anyone directly or indirectly employed by them, or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by a party indemnified hereunder. Such obligation shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that would otherwise exist as to a party or person described in this Section 3.18.

§ 3.18.2 The Contractor’s indemnity obligations under this Paragraph 3.18 shall also specifically include, without limitation, all fines, penalties, damages, liability, costs, expenses (including, without limitation, reasonable attorneys’ fees), and punitive damages (if any) arising out of, or in connection with, any (i) violation of or failure to comply with any law, statute, ordinance, rule, regulation, code, or requirement of a public authority that bears upon the performance of the Work by the Contractor, a Subcontractor, or any person or entity for whom either is
responsible, (ii) means, methods, procedures, techniques, or sequences of execution or performance of the Work, and (iii) failure to secure and pay for permits, fees, approvals, licenses, and inspections as required under the Contract Documents, or any violation of any permit or other approval of a public authority applicable to the Work, by the Contractor, a Subcontractor, or any person or entity for whom either is responsible.

§ 3.18.3 The Contractor acknowledges that the subject property upon which the Project is being performed is not lienable because it is municipal government property used for governmental purposes. The Contractor shall indemnify, defend and hold harmless the Owner and the Architect against any and all mechanic’s liens placed on the premises or on Owner’s interest in the premises by any Subcontractor of any tier or material supplier. In the event that a Subcontractor of any tier or material supplier places a mechanic’s lien on the premises, the Contractor shall, with thirty (30) days of the filing of any mechanic’s lien, substitute a bond for such lien or cause the lien to be discharged. If the Contractor shall fail to do so, the Owner may, at its option and at the expense of the Contractor, bond such lien or cause the lien to be discharged, and the Contractor will reimburse the Owner for all costs and expenses incurred, including but not limited to attorneys’ fees and court costs.

§ 3.18.4 The Contractor shall indemnify, defend, and hold harmless the Owner and the Architect from and against any additional costs or expenses incurred by Owner, including attorneys’ fees and court costs, as a result of any claim or cause of action by any Subcontractor or supplier of any tier asserted directly against the Owner to recover payment for labor or materials supplied to the Project, unless such claim or cause of action arises from the failure of the Owner to make payments in accordance with the applicable provisions of the Contract Documents.

§ 3.18.5 The Contractor shall indemnify and hold harmless the Owner, its agents and employees from and against any costs and expenses, including attorneys’ fees and court costs, incurred in enforcing any of the Contractor’s defense, indemnity, and hold harmless obligations under this Contract.

§ 3.18.6 The Contractor, for itself, its insurers and all subcontractors and their insurers, shall waive governmental immunity as a defense and shall not use the defense of governmental immunity in the adjustment of claims or in the defense of any suit, action or claim brought against the Owner. Nothing herein shall limit the Owner from utilizing the defense of governmental immunity.

§ 3.19 MEETINGS
The Contractor shall send a qualified representative to periodic progress meetings held at such time and at such place as the Architect or the Owner shall designate in accordance with the Contract Documents and to such other meetings as are necessary to comply with the Contract Documents.
not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or procedures, or for the safety precautions and programs in connection with the Work, since these are solely the Contractor’s rights and responsibilities under the Contract Documents.

§ 4.2.3 On the basis of the site visits, the Architect will keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and promptly report to the Owner (1) known deviations from the Contract Documents, (2) known deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work. The Architect will not be responsible for the Contractor’s failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect will not have control over or charge of, and will not be responsible for acts or omissions of, the Contractor, Subcontractors, or their agents or employees, or any other persons or entities performing portions of the Work.

§ 4.2.4 Communications
The Owner and Contractor shall include the Architect in all communications that relate to or affect the Architect’s services or professional responsibilities. The Owner shall promptly notify the Architect of the substance of any direct communications between the Owner and the Contractor otherwise relating to the Project. Communications by and with the Architect’s consultants shall be through the Architect. Communications by and with Subcontractors and suppliers shall be through the Contractor. Communications by and with Separate Contractors shall be through the Owner. The Contract Documents may specify other communication protocols.

§ 4.2.5 Based on the Architect’s evaluations of the Contractor’s Applications for Payment, the Architect will review and certify the amounts due the Contractor and will issue Certificates for Payment in such amounts.

§ 4.2.6 The Architect has authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect will have authority to require inspection or testing of the Work in accordance with Sections 13.4.2 through 13.4.4, whether or not the Work is fabricated, installed or completed.

§ 4.2.7 The Architect will review and approve, or take other appropriate action upon, the Contractor’s submittals such as Shop Drawings, Product Data, and Samples, but only for the limited purpose of assuring conformity with information given and the design concept expressed in the Contract Documents. The Architect’s action will be taken in accordance with the submittal schedule approved by the Architect or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time in the Architect’s professional judgment to permit adequate review. Review of such submittals is not conducted for the purpose of determining the accuracy and completeness of other details such as dimensions and quantities, or for substantiating instructions for installation or performance of equipment or systems, all of which remain the responsibility of the Contractor as required by the Contract Documents. The Architect’s review of the Contractor’s submittals shall not relieve the Contractor of the obligations under Sections 3.3, 3.5, and 3.12. The Architect’s review shall not constitute approval of safety precautions or of any construction means, methods, techniques, sequences, or procedures. The Architect’s approval of a specific item shall not indicate approval of an assembly of which the item is a component.

§ 4.2.8 The Architect will prepare Change Orders and Construction Change Directives, and may order minor changes in the Work as provided in Section 7.4. The Architect will investigate and make determinations and recommendations regarding concealed and unknown conditions as provided in Section 3.7.4.

§ 4.2.9 The Architect will conduct inspections to determine the date or dates of Substantial Completion and the date of final completion; issue Certificates of Substantial Completion pursuant to Section 9.8; receive and forward to the Owner, for the Owner’s review and records, written warranties and related documents required by the Contract Documents and assembled by the Contractor pursuant to Section 9.10; and issue a final Certificate for Payment pursuant to Section 9.10.

§ 4.2.10 The Architect will provide one or more Project representatives to assist in carrying out the Architect’s responsibilities at the site. The Owner shall notify the Contractor of any change in the duties, responsibilities and limitations of authority of the Project representatives.

§ 4.2.11 The Architect will interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect’s response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness.
§ 4.2.12 Interpretations and decisions of the Architect will be consistent with the intent of, and reasonably inferable from, the Contract Documents and will be in writing or in the form of drawings. When making such interpretations and decisions, the Architect will endeavor to secure faithful performance by both Owner and Contractor, will not show partiality to either, and will not be liable for results of these interpretations or decisions rendered in good faith which were necessitated by a reason other than an act or omission of the Architect.

§ 4.2.13 The Architect’s decisions on matters relating to aesthetic effect will be final if consistent with the intent expressed in the Contract Documents.

§ 4.2.14 The Architect will review and respond to requests for information about the Contract Documents. The Architect’s response to such requests will be made in writing within any time limits agreed upon or otherwise with reasonable promptness. Requests for information shall include, at a minimum, a detailed written statement that indicates the specific element of the Contract Documents in need of clarification and the nature of the clarification requested. If appropriate, the Architect will prepare and issue supplemental Drawings and Specifications in response to the requests for information.

§ 4.2.15 Each Subcontract executed by the Contractor shall include language that instructs the Subcontractor that the Subcontractor is to submit written information requests regarding Contract Document interpretation only to the Contractor and not the Architect. The Contractor shall timely review each such information request and only as necessary, submit to the Architect any information request that in the Contractor’s professional judgment is not clearly and unambiguously answered in the Contract Documents.

ARTICLE 5 SUBCONTRACTORS
§ 5.1 Definitions
§ 5.1.1 A Subcontractor is a person or entity who has a direct contract with the Contractor to perform a portion of the Work at the site. The term “Subcontractor” is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or an authorized representative of the Subcontractor. The term “Subcontractor” does not include a Separate Contractor or the subcontractors of a Separate Contractor.

§ 5.1.2 A Sub-subcontractor is a person or entity who has a direct or indirect contract with a Subcontractor to perform a portion of the Work at the site. The term “Sub-subcontractor” is referred to throughout the Contract Documents as if singular in number and means a Sub-subcontractor or an authorized representative of the Sub-subcontractor.

§ 5.2 Award of Subcontracts and Other Contracts for Portions of the Work
§ 5.2.1 Unless otherwise stated in the Contract Documents, the Contractor, as soon as practicable after award of the Contract, shall notify the Owner and Architect of the persons or entities proposed for each principal portion of the Work, including those who are to furnish materials or equipment fabricated to a special design. Within 14 days of receipt of the information, the Owner or Architect may notify the Contractor whether the Owner or the Architect (1) has reasonable objection to any such proposed person or entity or (2) either requires additional time for review. Failure of the Architect to provide notice within the 14-day period shall constitute notice of no reasonable objection.

§ 5.2.1.1 To facilitate and expedite the investigations of such proposed persons or entities, the Contractor shall submit a statement in writing in sufficient detail to establish that each has the capacity to carry out the portion of the Work such person or entity is proposing to provide. All such submittals shall include a list of principal personnel of any such entity, and an analysis of the financial condition, construction plant, equipment and facilities of any such person or entity. The Contractor shall terminate, at no cost to Owner, any contract with a person or entity to whom the Owner has a reasonable objection to any such proposed person or entity or to whom the Owner has a reasonable objection if such proposed and rejected subcontractor or such terminated.

§ 5.2.2 The Contractor shall not contract with a proposed person or entity to whom the Owner or Architect has made reasonable and timely objection. The Contractor shall not be required to contract with anyone to whom the Contractor has made reasonable objection.

§ 5.2.3 If the Owner or Architect has reasonable objection to a person or entity proposed by the Contractor, the Contractor shall propose another to whom the Owner or Architect has no reasonable objection. If the proposed but
§ 5.3 Contingent Assignment of Subcontracts

§ 5.4 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that the subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided

§ 5.4.1 that each Subcontractor receives a payment from the Contractor which encompasses labor or materials furnished by such Subcontractor, and a provision requiring each Subcontractor to promptly any claim or demand by a Sub-subcontractor claiming that any amount is due to such Subcontractor or claiming any default by such Subcontractor in any of its obligations to such Sub-subcontractor which notice the Contractor shall promptly relay to the Owner.

§ 5.3.5 The Contractor shall include in each of the subcontracts a provision requiring each Subcontractor to pay amounts due to any Sub-Subcontractors, whether for labor performed or materials furnished, within thirty (30) days after such labor or material has been included in requisition submitted by such Contractor and paid by Owner. The Contractor shall promptly give notice to the Owner of any claim or demand by a Subcontractor claiming that any amount is due to such Subcontractor or claiming any default by the Contractor in any of the Contractor’s obligations to such Subcontractor.

§ 5.3.6 The Contractor shall be fully responsible for coordinating and expediting the work of all Subcontractors, and shall employ the necessary and qualified personnel to produce the required quality of labor and materials and to prevent delays in the progress of the Project. The Contractor shall afford each trade with all reasonable opportunities for the installation of its work and for the storage and handling of its materials. The Contractors shall include in the Contractor’s bid, any work, in connection with the mechanical trades, to be done by other trades under the Contractor’s direct control.

§ 5.3.7 Within thirty (30) calendar days after payment to Contractor by the Owner, the Contractor shall pay any amounts due any Subcontractor, whether for labor performed or materials furnished when such labor or material has been included in requisition submitted by such Contractor and paid by Owner. The Contractor shall promptly give notice to the Owner of any claim or demand by a Subcontractor claiming that any amount is due to such Subcontractor or claiming any default by the Contractor in any of the Contractor’s obligations to such Subcontractor.

§ 5.4 Contingent Assignment of Subcontracts

§ 5.4.1 Each subcontract agreement for a portion of the Work is assigned by the Contractor to the Owner, provided that
assignment is effective only after termination of the Contract by the Owner for cause pursuant to Section 14.2 and only for those subcontract agreements that the Owner accepts by notifying the Subcontractor and Contractor; and

assignment is subject to the prior rights of the surety, if any, obligated under bond relating to the Contract.

When the Owner accepts the assignment of a subcontract agreement, the Owner assumes the Contractor’s rights and obligations under the subcontract but does not accept and shall not be liable for Contractor’s obligations prior to the effective date of the assignment. The Contractor agrees to execute any and all other documents required to affect this assignment.

§ 5.4.2 Upon such assignment, if the Work has been suspended for more than 30 days, the Subcontractor’s compensation shall be equitably adjusted for increases in direct costs resulting from the suspension, provided, however, that no such adjustment will be made to the compensation of a Subcontractor who is compensated as a proportion of the total project cost or a Subcontractor who is in default of its subcontract at the time of assignment.

§ 5.4.3 Upon assignment to the Owner under this Section 5.4, the Owner may further assign the subcontract to a successor contractor or other entity.

ARTICLE 6 CONSTRUCTION BY OWNER OR BY SEPARATE CONTRACTORS

§ 6.1 Owner’s Right to Perform Construction and to Award Separate Contracts

§ 6.1.1 The term “Separate Contractor(s)” shall mean other contractors retained by the Owner under separate agreements. The Owner reserves the right to perform construction or operations related to the Project with the Owner’s own forces, to supplement the Contractor’s forces and to award separate contracts in connection with other portions of the Project or other construction or operations on the site. If the Contractor claims that delay or is involved because of such action by the Owner, the Contractor shall make such Claim as is permitted in Articles 8 and 15.

§ 6.1.2 When separate contracts are awarded for different portions of the Project or other construction or operations on the site, the term “Contractor” in the Contract Documents in each case shall mean the Contractor who executes each separate Owner-Contractor Agreement.

§ 6.1.3 The Owner shall provide for coordination of the activities of the Owner’s own forces and of each Separate Contractor with the Work of the Contractor, who shall cooperate with them. The Contractor shall participate with any Separate Contractors and the Owner in reviewing their construction schedules. The Contractor shall make any revisions to its construction schedule deemed necessary after a joint review and mutual agreement. The construction schedules shall then constitute the schedules to be used by the Contractor, Separate Contractors, and the Owner until subsequently revised.

§ 6.1.4 INTENTIONALLY OMITTED

§ 6.2 Mutual Responsibility

§ 6.2.1 The Contractor shall afford the Owner and Separate Contractors reasonable opportunity for introduction and storage of their materials and equipment and performance of their activities, and shall connect and coordinate the Contractor’s construction and operations with theirs as required by the Contract Documents.

§ 6.2.2 If part of the Contractor’s Work depends for proper execution or results upon construction or operations by the Owner or a Separate Contractor, the Contractor shall, prior to proceeding with that portion of the Work, promptly notify the Architect of apparent discrepancies or defects in the construction or operations by the Owner or Separate Contractor that would render it unsuitable for proper execution and results of the Contractor’s Work. Failure of the Contractor to notify the Architect of apparent discrepancies or defects prior to proceeding with the Work shall constitute an acknowledgment that the Owner’s or Separate Contractor’s completed or partially completed construction is fit and proper to receive the Contractor’s Work. The Contractor shall not be responsible for discrepancies or defects in the construction or operations by the Owner or Separate Contractor that are not apparent.
§ 6.2.3 The Contractor shall reimburse the Owner for costs the Owner incurs that are payable to a Separate Contractor because of the Contractor’s delays, improperly timed activities or defective construction. The Owner shall be responsible to the Contractor for costs the Contractor incurs because of a Separate Contractor’s delays, improperly timed activities, damage to the Work or defective construction.

§ 6.2.4 The Contractor shall promptly remedy damage that the Contractor wrongfully causes to completed or partially completed construction or to property of the Owner or Separate Contractor as provided in Section 10.2.5. If such separate contractor sues or initiates an arbitration proceeding against the Owner on account of any damage alleged to have been caused by the Contractor, the Owner shall notify the Contractor, who shall defend such proceedings at the Contractor’s expense, and if any judgment or award against the Owner arises therefrom, the Contractor shall pay or satisfy it and shall reimburse the Owner for all attorneys’ fees and court or arbitration costs which the Owner has incurred.

§ 6.2.5 The Owner and each Separate Contractor shall have the same responsibilities for cutting and patching as are described for the Contractor in Section 3.14.

§ 6.3 Owner’s Right to Clean Up

If a dispute arises among the Contractor, Separate Contractors, and the Owner as to the responsibility under their respective contracts for maintaining the premises and surrounding area free from waste materials and rubbish, the Owner may clean up and the Architect will allocate the cost among those responsible.

ARTICLE 7   CHANGES IN THE WORK

§ 7.1 General

§ 7.1.1 Changes in the Work may be accomplished after execution of the Contract, and without invalidating the Contract, by Change Order, Construction Change Directive or order for a minor change in the Work, subject to the limitations stated in this Article 7 and elsewhere in the Contract Documents. The decision as to whether the Change Work is executed via a Change Order, Construction Change Directive, or a minor change in the Work is the decision of the Owner.

§ 7.1.2 A Change Order shall be based upon agreement among the Owner, Contractor, and Architect. A Construction Change Directive requires agreement by the Owner and Architect and may or may not be agreed to by the Contractor. An order for a minor change in the Work may be issued by the Architect alone.

§ 7.1.3 Changes in the Work shall be performed under applicable provisions of the Contract Documents. The Contractor shall proceed promptly with changes in the Work, unless otherwise provided in the Change Order, Construction Change Directive, or order for a minor change in the Work.

§ 7.1.4 Except as permitted in Paragraph 7.3, a change in the Contract Sum or the Contract Time shall be accomplished only by a written Change Order executed before the Work is performed. Accordingly, no course of conduct or dealings between the parties, nor express or implied acceptance of alterations or additions to the Work, and no claim that Owner has been unjustly enriched by any alteration of or addition to the Work, whether or not there is, in fact, any unjust enrichment to the Work, shall be the basis of any claim to an increase in any amounts due under the Contract Documents or a change in any time period provided for in the Contract Documents.

§ 7.2 Change Orders

§ 7.2.1 A Change Order is a written instrument prepared by the Architect and signed by the Owner, Contractor, and Architect stating their agreement upon all of the following:

.1 The change in the Work;
.2 The amount of the adjustment, if any, in the Contract Sum; and
.3 The extent of the adjustment, if any, in the Contract Time.

§ 7.2.2 Agreement on any Change Order shall constitute a final settlement of all matters relating to the change in the Work that is the subject of the Change Order, including, but not limited to, all direct and indirect costs associated with such change and any and all adjustments to the Contract Sum and the Contract Time.
§7.2.3 Proposed changes in the Work requested during the construction phase shall be priced by the Contractor and submitted to the Architect and Owner for review, in such form as the Architect and Owner may require, within ten (10) calendar days following the Contractor’s receipt of the request. The Contractor shall promptly revise and resubmit such proposal if the Architect and Owner determine that it is not in compliance with the requirements of this Article, or that contains errors of fact or mathematical errors. If required by the Architect or Owner, in order to establish the exact cost of new Work added or previously required Work omitted, the Contractor shall obtain and furnish to the Architect and Owner bona fide proposals from recognized suppliers for furnishing and material included in such Work. Such proposals shall be furnished at the Contractor’s expense.

§7.2.4 The Contractor’s proposal for a change in the Work (Change Order Proposal) shall be itemized completely and shall include: Specific number of calendar days for additional time (if applicable); all material costs and quantities accompanied by the original manufacturer invoices; labor wages; unit prices; subcontractor costs; mark ups; equipment costs, profit, overhead, general conditions, fees, bond costs and approved daily time sheet tickets for work performed under the utilization of labor rates. The Architect’s and Owner’s refusal to approve a Change Order or Change Order Proposal due to the Contractor’s lack of itemized backup information shall not be used to substantiate a claim for additional time.

§7.2.5 If the method utilized to execute the Change in the Work is based on labor rates, unit prices and material costs, then actual daily time sheets / tickets, approved by the Superintendent and the Owner, must accompany the Change Order, Construction Change Directive, or minor change in the Work. Not including the actual daily time sheets / tickets, approved by the Superintendent and the Owner, with the Change Order, Construction Change Directive, or minor change in the Work may be cause for their rejection.

§7.2.6 The amount of credit to be allowed by the Contractor to the Owner for a deletion or change which results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both addition and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

§7.3 Construction Change Directives

§7.3.1 A Construction Change Directive is a written order prepared by the Architect and signed by the Owner and Architect, directing a change in the Work prior to agreement on adjustment, if any, in the Contract Sum or Contract Time, or both. The Owner may by Construction Change Directive, without invalidating the Contract, order changes in the Work within the general scope of the Contract consisting of additions, deletions, or other revisions, the Contract Sum and Contract Time being adjusted accordingly. The Owner may also by Construction Change Directive order work to be performed that has been interpreted by the Owner and Architect to be part of the Work but is disputed by the Contractor through submission of a Claim.

§7.3.2 A Construction Change Directive shall be used in the absence of total agreement on the terms of a Change Order or work interpreted by the Owner or Architect to be part of the Contract.

§7.3.3 If the Construction Change Directive provides for an adjustment to the Contract Sum, the adjustment shall be based on one of the following methods:

1. Mutual acceptance of a lump sum properly itemized and supported by sufficient substantiating data to permit evaluation;
2. Unit prices and rates stated in the Contract Documents or subsequently agreed upon;
3. Cost to be determined in a manner agreed upon by the parties and a mutually acceptable fixed or percentage fee; or
4. As provided in Section 7.3.4.

§7.3.4 If the Contractor does not respond promptly or disagrees with the method for adjustment in the Contract Sum, the Architect shall determine the adjustment on the basis of reasonable expenditures and savings of those performing the Work attributable to the change, including, in case of an increase in the Contract Sum, an amount for overhead and profit as set forth in the Agreement, or if no such amount is set forth in the Agreement, a reasonable amount. In such case, and also under Section 7.3.3.3, the Contractor shall keep and present, in such form as the Architect may prescribe, an itemized accounting together with appropriate supporting data. Unless otherwise provided in the Contract Documents, costs for the purposes of this Section 7.3.4 shall be limited to the following:
.1 Costs of labor, including applicable payroll taxes, fringe benefits required by agreement or custom, workers’ compensation insurance, and other employee costs approved by the Architect;
.2 Costs of materials, supplies, and equipment, including cost of transportation, whether incorporated or consumed;
.3 Rental costs of machinery and equipment, exclusive of hand tools, whether rented from the Contractor or others;
.4 Costs of premiums for all bonds and insurance, permit fees, and sales, use, or similar taxes, directly related to the change; and
.5 Costs of supervision and field office personnel directly attributable to the change.

§ 7.3.5 If the Contractor disagrees with the adjustment in the Contract Time, the Contractor may make a Claim in accordance with applicable provisions of Article 15.

§ 7.3.6 Upon receipt of a Construction Change Directive, the Contractor shall promptly proceed with the change in the Work involved and advise the Architect, in writing, of the Contractor’s agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum or Contract Time.

§ 7.3.7 A Construction Change Directive signed by the Contractor indicates the Contractor’s agreement therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

§ 7.3.8 The amount of credit to be allowed by the Contractor to the Owner for a deletion or change that results in a net decrease in the Contract Sum shall be actual net cost as confirmed by the Architect. When both additions and credits covering related Work or substitutions are involved in a change, the allowance for overhead and profit shall be figured on the basis of net increase, if any, with respect to that change.

§ 7.3.9 Pending final determination of the total cost of a Construction Change Directive to the Owner, the Contractor may request payment for Work completed under the Construction Change Directive in Applications for Payment. The Architect will make an interim determination for purposes of monthly certification for payment for those costs and certify for payment the amount that the Architect determines, in the Architect’s professional judgment, to be necessary for the Contractor to proceed with the Work. The Architect’s interim determination of cost shall adjust the Contract Sum on the same basis as a Change Order, subject to the right of either party to disagree and assert a Claim in accordance with Article 15.

§ 7.3.10 When the Owner and Contractor agree with a determination made by the Architect concerning the adjustments in the Contract Sum and Contract Time, or otherwise reach agreement upon the adjustments, such agreement shall be effective immediately and the Architect will prepare a Change Order. Change Orders may be issued for all or any part of a Construction Change Directive.

§ 7.4 Minor Changes in the Work
The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. The Architect’s order for minor changes shall be in writing. If the Contractor believes that the proposed minor change in the Work will affect the Contract Sum or Contract Time, the Contractor shall notify the Architect and shall not proceed to implement the change in the Work. If the Contractor performs the Work set forth in the Architect’s order for a minor change without prior notice to the Architect that such change will affect the Contract Sum or Contract Time, the Contractor waives any adjustment to the Contract Sum or extension of the Contract Time.

ARTICLE 8   TIME
§ 8.1 Definitions
§ 8.1.1 Unless otherwise provided, Contract Time is the period of time, including authorized adjustments, allotted in the Contract Documents for Substantial Completion of the Work.

§ 8.1.2 The date of commencement of the Work is the date established in the Agreement. TIME IS OF THE ESSENCE of all Milestone Dates, the Substantial Completion date and the Final Completion date in the accepted Construction Schedule, as such Schedule may be revised and approved by the Owner.
§ 8.1.3 The date of Substantial Completion is the date certified by the Architect in accordance with Section 9.8.

§ 8.1.4 The term “day” as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

§ 8.2 Progress and Completion
§ 8.2.1 Time limits stated in the Contract Documents are of the essence of the Contract. By executing the Agreement, the Contractor confirms that the Contract Time is a reasonable period for performing the Work.

§ 8.2.2 The Contractor shall not commence the Work prior to receiving written notice to commence from the Owner or prior to the effective date of insurance required to be furnished by the Contractor and Owner.

§ 8.2.3 The Contractor shall proceed expeditiously with adequate forces and shall achieve Substantial Completion within the Contract Time.

§ 8.2.4 The Contractor shall proceed expeditiously in accordance with the construction schedule with adequate forces and shall achieve Substantial Completion within the Contract Time. The Contractor shall at all times ensure that each Subcontractor is providing and maintaining sufficient skilled workmen, materials and equipment to achieve Substantial Completion within the Contract Time. Absent Change Orders signed by the Owner or a delay for which the Contractor is entitled to an extension of time by § 8.3.1, the Contractor shall not make any claims for additional payment of straight time, overtime or premium time in undertaking to achieve Substantial Completion of the Work in accordance with the construction schedule. The burden of lost time and costs related to any Subcontractor’s nonperformance shall not be charged to Owner.

§ 8.3 Delays and Extensions of Time
§ 8.3.1 If the Contractor is delayed at any time in the commencement or progress of the Work by (1) an act or neglect of the Owner or Architect, of an employee of either, or of a Separate Contractor; (2) by changes ordered in the Work; (3) by labor disputes, fire, unusual delay in deliveries, unavoidable casualties, adverse weather conditions documented in accordance with Section 15.1.6.2, or other causes beyond the Contractor’s control; (4) by delay authorized by the Owner pending mediation and binding dispute resolution; or (5) by other causes that the Contractor asserts, and the Architect determines, justify delay, then the Contract Time shall be extended for such reasonable time as the Architect may determine. Nothing in this Section 8.3.1 shall absolve the Architect of liability for delays due to the negligence of the Architect or its employees or consultants, or failure to comply with the agreement between the Owner and the Architect or the Contract Documents by the Architect or by the Architect’s employees or consultants. Under no circumstances shall Owner be responsible or liable for any delay damages, including any Eichleay or other type of extended overhead or lost profit claims or damages, idle equipment costs, lost productivity or labor inefficiency costs, acceleration damages, suspension damages, consequential damages, incidental damages, or lost opportunity costs. Contractor acknowledges that it is aware of and considered this provision when submitting and pricing its Proposal and Contractor accepts the risk of delays.

§ 8.3.2 Claims relating to time shall be made in accordance with applicable provisions of Article 15. Extensions of time shall be Contractor’s sole remedy in the event of delays.

§ 8.3.3 Notwithstanding anything to the contrary in the Contract Documents, an extension of the Contract Time, to the extent permitted under Subparagraph 8.3.1, shall be the sole and exclusive remedy of the Contractor for any delay, hindrance, disruption, interference or obstruction to the Work (collectively referred to in this Subparagraph 8.3.3 as “Delays”). Except as provided in Section 6.2.6 of the Contract, in no event shall the Contractor be entitled to any compensation or recovery of any damages in connection with any Delay, including, without limitation, consequential damages, loss of efficiency or productivity costs, acceleration costs, lost opportunity costs, impact damages, extended overhead costs, or other similar remuneration.

§ 8.3.4 TIME IS OF THE ESSENCE in the completion of the Work by the Contractor.
§ 8.3.5 No extension of time, or increase in the Contract Sum, shall be granted because of seasonal variations in temperature, humidity or precipitation, which conditions, excepting force majeure, shall be wholly at the risk of the Contractor.
§ 8.3.6 The Contractor shall not be entitled to an adjustment of the Contract Time on account of delays: (i) that it could have avoided or mitigated using its best professional efforts; (ii) that do not impact the critical path; (iii) for which there is available float in the chain of activities affected by the delay; (iv) that were caused by or could have been reasonably anticipated by the Contractor or those for whom it is responsible; or (v) that could have been mitigated or avoided by the Contractor’s timely notice to the Owner as required hereunder.

ARTICLE 9 PAYMENTS AND COMPLETION

§ 9.1 Contract Sum

§ 9.1.1 The Contract Sum is stated in the Agreement and, including authorized adjustments, is the total amount payable by the Owner to the Contractor for performance of the Work under the Contract Documents.

§ 9.1.2 If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are materially changed so that application of such unit prices to the actual quantities causes substantial inequity to the Owner or Contractor, the applicable unit prices shall be equitably adjusted.

§ 9.2 Schedule of Values

The Contractor shall submit a schedule of values to the Architect within thirty (30) days of the first of the Contract Award or Preconstruction Meeting, allocating the entire Contract Sum to the various portions of the Work. The schedule of values shall be prepared in the form, and supported by the data to substantiate its accuracy, required by the Architect. This schedule, unless objected to by the Architect, shall be used as a basis for reviewing the Contractor’s Applications for Payment. The description of the Work shall be sufficiently broken down to indicate labor and material costs associated with each area of Work. Any breakdown that fails to include sufficient detail, is unbalanced, or exhibits “front-loading” of the value of the Work, will be rejected. The Schedule of Values shall be revised if later determined by the Owner or Architect to be inaccurate. Any changes to the schedule of values shall be submitted to the Architect and supported by such data to substantiate its accuracy as the Architect may require, and unless objected to by the Architect, shall be used as a basis for reviewing the Contractor’s subsequent Applications for Payment.

§ 9.3 Applications for Payment

§ 9.3.1 At least ten days before the date established for each progress payment, the Contractor shall submit to the Architect an itemized Application for Payment prepared in accordance with the schedule of values, if required under Section 9.2, for completed portions of the Work. The application shall be notarized and supported by all data substantiating the Contractor’s right to payment that the Owner or Architect require, such as copies of requisitions, and releases and waivers of liens from Subcontractors and suppliers, and shall reflect retainage if provided for in the Contract Documents.

§ 9.3.1.1 As provided in Section 7.3.9, such applications may include requests for payment on account of changes in the Work that have been properly authorized by Construction Change Directives, or by interim determinations of the Architect, but not yet included in Change Orders.

§ 9.3.1.2 Applications for Payment shall not include requests for payment for portions of the Work for which the Contractor does not intend to pay a Subcontractor or supplier, unless such Work has been performed by others whom the Contractor intends to pay.

§ 9.3.1.3 Each application for payment shall be accompanied by the following, all in form and substance satisfactory to the Owner: (i) a duly executed Contractor’s partial lien waiver; (ii) duly executed partial lien waivers from all Subcontractors and, when reasonably required, from material suppliers and lower tier Subcontractors establishing payment or satisfaction of payment of all amounts requested by the Contractor on behalf of such entities or persons in any previous Application for Payment; and (iii) all information and materials required to comply with the requirements of the Contract Documents or reasonably requested by the Owner or the Architect.

§ 9.3.2 Unless otherwise provided in the Contract Documents, payments shall be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. If approved in advance by the Owner, payment may similarly be made for materials and equipment suitably stored off the site at a location agreed upon in writing. Payment for materials and equipment stored on or off the site shall be conditioned upon
compliance by the Contractor with procedures satisfactory to the Owner to establish the Owner’s title to such materials and equipment or otherwise protect the Owner’s interest, and shall include the costs of applicable insurance, storage, and transportation to the site, for such materials and equipment stored off the site.

§ 9.3.3 The Contractor warrants that title to all Work covered by an Application for Payment will pass to the Owner no later than the time of payment. The Contractor further warrants that upon submittal of an Application for Payment all Work for which Certificates for Payment have been previously issued and payments received from the Owner shall be free and clear of liens, claims, security interests, or encumbrances, in favor of the Contractor, Subcontractors, suppliers, or other persons or entities that provided labor, materials, and equipment relating to the Work.

§ 9.4 Certificates for Payment

§ 9.4.1 The Architect will, within seven days after receipt of the Contractor’s Application for Payment, either (1) issue to the Owner a Certificate for Payment in the full amount of the Application for Payment, with a copy to the Contractor; or (2) issue to the Owner a Certificate for Payment for such amount as the Architect determines is properly due, and notify the Contractor and Owner of the Architect’s reasons for withholding certification in part as provided in Section 9.5.1; or (3) withhold certification of the entire Application for Payment, and notify the Contractor and Owner of the Architect’s reason for withholding certification in whole as provided in Section 9.5.1.

§ 9.4.2 The issuance of a Certificate for Payment will constitute a representation by the Architect solely to the Owner, based on the Architect’s evaluation of the Work as provided in the Contract Documents and/or the data in the Application for Payment, that, to the best of the Architect’s knowledge, information, and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, to results of subsequent tests and inspections, to correction of minor deviations from the Contract Documents prior to completion, and to specific qualifications expressed by the Architect. However, the issuance of a Certificate for Payment will not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work; (2) reviewed construction means, methods, techniques, sequences, or procedures; or (3) made examination to ascertain how or for what purpose the Contractor has used money previously paid on account of the Contract Sum. Notwithstanding anything herein to the contrary, issuance of a Certificate for Payment by the Architect is a recommendation only; payment to the Contractor of amounts certified in a Certificate for Payment is subject to the Owner's approval.

§ 9.5 Decisions to Withhold Certification

§ 9.5.1 The Architect may withhold a Certificate for Payment in whole or in part, to the extent reasonably necessary to protect the Owner, if in the Architect’s opinion the representations to the Owner required by Section 9.4.2 cannot be made. If the Architect is unable to certify payment in the amount of the Application, the Architect will notify the Contractor and Owner as provided in Section 9.4.1. If the Contractor and Architect cannot agree on a revised amount, the Architect will promptly issue a Certificate for Payment for the amount for which the Architect is able to make such representations to the Owner. The Architect may also withhold a Certificate for Payment or, because of subsequently discovered evidence, may nullify the whole or a part of a Certificate for Payment previously issued, to such extent as may be necessary in the Architect’s opinion to protect the Owner from loss for which the Contractor is responsible, including loss resulting from acts and omissions described in Section 3.3.2, because of

1. defective Work not remedied;
2. third party claims filed or reasonable evidence indicating probable filing of such claims, unless security acceptable to the Owner is provided by the Contractor;
3. failure of the Contractor to make payments properly to Subcontractors or suppliers for labor, materials or equipment;
4. reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
5. damage to the Owner or a Separate Contractor;
6. reasonable evidence that the Work will not be completed within the Contract Time, and that the unpaid balance would not be adequate to cover actual or liquidated damages for the anticipated delay;
7. repeated failure to carry out the Work in accordance with the Contract Documents; or
8. failure to comply with or adhere to the requirements of the Contract Documents.
§ 9.5.2 When either party disputes the Architect’s decision regarding a Certificate for Payment under Section 9.5.1, in whole or in part, that party may submit a Claim in accordance with Article 15. The Owner shall not be deemed to be in default by reason of withholding payment while any of the above grounds remain uncured, nor shall any interest accrue or be payable with respect to any payments so withheld.

§ 9.5.3 When the reasons for withholding certification are removed, certification will be made for amounts previously withheld.

§ 9.5.4 If the Architect withholds certification for payment under Section 9.5.1.3, the Owner may, at its sole option, issue joint checks to the Contractor and to any Subcontractor or supplier to whom the Contractor failed to make payment for Work properly performed or material or equipment suitably delivered. If the Owner makes payments by joint check, the Owner shall notify the Architect and the Contractor shall reflect such payment on its next Application for Payment.

§ 9.6 Progress Payments
§ 9.6.1 After the Architect has issued a Certificate for Payment, the Owner shall make payment in the manner and within the time provided in the Contract Documents, and shall so notify the Architect.

§ 9.6.2 The Contractor shall pay each Subcontractor, no later than five (5) days after receipt of payment from the Owner, the amount to which the Subcontractor is entitled, reflecting percentages actually retained from payments to the Contractor on account of the Subcontractor’s portion of the Work. The Contractor shall, by appropriate agreement with each Subcontractor, require each Subcontractor to make payments to Sub-subcontractors in a similar manner. Notwithstanding anything in this Subparagraph 9.6.2 to the contrary, the Owner may elect, in the Owner’s reasonable discretion, to make any payment requested by the Contractor on behalf of a Subcontractor or material supplier of any tier jointly payable to the Contractor and such Subcontractor or material supplier, or directly payable to such Subcontractor or material supplier. The Contractor and such Subcontractor or material supplier shall be responsible for the allocation and disbursement of funds included as part of any such joint payment. In no event shall any joint payment be construed to create any (i) contract between the Owner and a subcontractor or material supplier of any tier, (ii) obligations from the Owner to such subcontractor or material supplier, or (iii) rights in such subcontractor or material supplier against the Owner. All such payments by the Owner shall be a pro tanto discharge of sums due the Contractor.

§ 9.6.3 The Architect will, on request, furnish to a Subcontractor, if practicable, information regarding percentages of completion or amounts applied for by the Contractor and action taken thereon by the Architect and Owner on account of portions of the Work done by such Subcontractor.

§ 9.6.4 The Owner has the right to request written evidence from the Contractor that the Contractor has properly paid Subcontractors and suppliers amounts paid by the Owner to the Contractor for subcontracted Work. The Owner may contact Subcontractors and suppliers to ascertain whether they have been properly paid. Neither the Owner nor Architect shall have an obligation to pay, or to see to the payment of money to, a Subcontractor or supplier, except as may otherwise be required by law.

§ 9.6.5 The Contractor’s payments to suppliers shall be treated in a manner similar to that provided in Sections 9.6.2, 9.6.3 and 9.6.4.

§ 9.6.6 A Certificate for Payment, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

§ 9.6.7 Unless the Contractor provides the Owner with a payment bond in the full penal sum of the Contract Sum, payments received by the Contractor for Work properly performed by Subcontractors or provided by suppliers shall be held by the Contractor for those Subcontractors or suppliers who performed Work or furnished materials, or both, under contract with the Contractor for which payment was made by the Owner. Nothing contained herein shall require money to be placed in a separate account and not commingled with money of the Contractor, create any fiduciary liability or tort liability on the part of the Contractor for breach of trust, or entitle any person or entity to an award of punitive damages against the Contractor for breach of the requirements of this provision.
§ 9.6.8 Provided the Owner has fulfilled its payment obligations under the Contract Documents, the Contractor shall defend and indemnify the Owner from all loss, liability, damage or expense, including reasonable attorney’s fees and litigation expenses, arising out of any lien claim or other claim for payment by any Subcontractor or supplier of any tier. Upon receipt of notice of a lien claim or other claim for payment, the Owner shall notify the Contractor. If approved by the applicable court, when required, the Contractor may substitute a surety bond for the property against which the lien or other claim for payment has been asserted.

§ 9.7 Failure of Payment
If the Architect does not issue a Certificate for Payment or provide the Contractor with a written explanation for the reason for withholding such Certificate for Payment, through no fault of the Contractor, within seven days after receipt of the properly submitted Application for Payment, or if the Owner does not pay the Contractor or provide the Contractor with a written explanation of the reason for withholding payment within seven days after the date established in the Contract Documents, the amount certified by the Architect or if the Owner does not so pay an amount awarded by binding dispute resolution, then the Contractor may, upon seven additional days’ notice to the Owner and Architect, stop the Work until payment of the amount owing or an explanation of the reason for withholding such payments has been received. The Contract Time shall be extended appropriately and the Contract Sum shall be increased by the amount of the Contractor’s reasonable costs of shutdown, delay and start-up, plus interest as provided for in the Contract Documents.

§ 9.8 Substantial Completion
§ 9.8.1 Substantial Completion is the stage in the progress of the Work when the Work or designated portion thereof is sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work for its intended use. As a condition precedent to Substantial Completion, the Contractor shall assemble and deliver to the Owner (1) all maintenance and operating manuals; (2) marked sets of field record drawings and specifications reflecting as-built conditions; (3) drawings reflecting the location of any concealed utilities, mechanical or electrical systems and components; (4) any special guaranties or warranties required by the Contract Documents; (5) all guaranties and warranties from Subcontractors, vendors, suppliers or manufacturers; (6) a list of the names, addresses and telephone numbers of all subcontractors and any other persons providing guaranties or warranties; (7) a permanent Certificate of Occupancy; (8) Operating permits for any mechanical equipment; and (9) any other permits, approvals, licenses, and other documents from any governmental authority having jurisdiction thereof necessary for the beneficial use and occupancy of the Project.

§ 9.8.2 When the Contractor considers that the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall notify the Architect and the Owner in writing and shall prepare and submit to the Architect (1) a comprehensive list of items to be completed or corrected prior to final payment and (2) all Certificates of Occupancy and applicable permits required by the Contract Documents, endorsed by the Contractor and in a form reasonably acceptable to the Architect and Owner. Promptly after receiving such notice, the Architect will conduct a preliminary review to determine whether or not the Documents are generally complete and correct. If the Architect finds on the basis of this review that the Contractor’s notice and supporting documents are not generally complete or correct, the Architect will return them to the Contractor for revision and resubmittal, describing in general the additions or corrections required. If the Architect finds on one preliminary review of the Contractor’s resubmittal that the resubmitted notice and supporting documents are still not generally complete and correct, the Contractor shall again correct and resubmit them, and shall, in addition, reimburse the Owner for the cost of any change in the Architect’s services resulting from such a second and any subsequent preliminary reviews. When the Architect finds on the basis of a preliminary review that the Contractor’s notice and supporting documents are substantially complete, the Architect will proceed as stated in Section 9.8.3 below. Failure to include an item on such list does not alter the responsibility of the Contractor to complete all Work in accordance with the Contract Documents.

§ 9.8.3 Upon receipt of the Contractor’s list, the Architect will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Architect’s inspection discloses any item, whether or not included on the Contractor’s list, which is not sufficiently complete in accordance with the Contract Documents so that the Owner can occupy or utilize the Work or designated portion thereof for its intended use, the Contractor shall, before issuance of the Certificate of Substantial Completion, complete or correct such item upon notification by the Architect. In such case, the Contractor shall then submit a request for another inspection by the Architect to determine Substantial Completion.
§ 9.9 Partial Occupancy or Use

§ 9.9.1 The Owner may occupy or use any completed or partially completed portion of the Work at any stage when such portion is designated by separate agreement with the Contractor, provided such occupancy or use is consented to by the insurer and authorized by public authorities having jurisdiction over the Project. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, if any, security, maintenance, heat, utilities, damage to the Work and insurance, and have agreed in writing concerning the period for correction of the Work and commencement of warranties required by the Contract Documents. When the Contractor considers a portion substantially complete, the Contractor shall prepare and submit a list to the Architect as provided under Section 9.8.2. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by written agreement between the Owner and Contractor or, if no agreement is reached, by decision of the Architect.

§ 9.9.2 Immediately prior to such partial occupancy or use, the Owner, Contractor, and Architect shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work.

§ 9.9.3 Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

§ 9.10 Final Completion and Final Payment

§ 9.10.1 Upon receipt of the Contractor’s notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Architect will promptly make such inspection. When the Architect finds the Work acceptable under the Contract Documents and the Contract fully performed, the Architect will promptly issue a final Certificate for Payment stating that to the best of the Architect’s knowledge, information and belief, and on the basis of the Architect’s on-site visits and inspections, the Work has been completed in accordance with the Contract Documents and that the entire balance found to be due the Contractor and noted in the final Certificate is due and payable. The Architect’s final Certificate for Payment will constitute a further representation that conditions listed in Section 9.10.2 as precedent to the Contractor’s being entitled to final payment have been fulfilled.

§ 9.10.2 Neither final payment nor any remaining retained percentage shall become due until the Contractor submits to the Architect (1) an affidavit that payrolls, bills for materials and equipment, and other indebtedness connected with the Work for which the Owner or the Owner’s property might be responsible or encumbered (less amounts withheld by Owner) have been paid or otherwise satisfied, (2) a certificate evidencing that insurance required by the Contract Documents to remain in force after final payment is currently in effect and will not be canceled or allowed to expire until at least 30 days’ prior written notice has been given to the Owner, (3) a written statement that the Contractor knows of no substantial reason that the insurance will not be renewable to cover the period required by the Contract Documents, (4) consent of surety, if any, to final payment and (5), statements in a form satisfactory to the Owner that in consideration of all prior payments and of final payment, the Contractor and its Subcontractors release and forever discharge the Owner from all mechanic’s liens, claims, demands, obligations and liabilities of every kind arising out of or relating to the Contract or the Project other than those Claims specifically enumerated in the statement. If a Subcontractor refuses to furnish a release or waiver required by the Owner, the Contractor may
furnish a bond satisfactory to the Owner to indemnify the Owner against such lien. If such lien remains unsatisfied after payments are made, the Contractor shall refund to the Owner all money that the Owner may be compelled to pay in discharging such lien, including all costs and attorneys’ fees.

§ 9.10.3 If, after Substantial Completion of the Work, final completion thereof is materially delayed through no fault of the Contractor or by issuance of Change Orders affecting final completion, and the Architect so confirms, the Owner shall, upon application by the Contractor and certification by the Architect, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed, corrected, and accepted. If the remaining balance for Work not fully completed or corrected is less than retainage stipulated in the Contract Documents, and if bonds have been furnished, the written consent of the surety to payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the Contractor to the Architect prior to certification of such payment. Such payment shall be made under terms and conditions governing final payment, except that it shall not constitute a waiver of Claims.

§ 9.10.4 INTENTIONALLY OMITTED

§ 9.10.5 Acceptance of final payment by the Contractor, a Subcontractor, or a supplier, shall constitute a waiver of claims by that payee except those previously made in writing and identified by that payee as unsettled at the time of final Application for Payment.

ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

§ 10.1 Safety Precautions and Programs

The Contractor shall be responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the performance of the Contract.

§ 10.2 Safety of Persons and Property

§ 10.2.1 The Contractor shall take reasonable precautions for safety of, and shall provide reasonable protection to prevent damage, injury, or loss to

.1 employees on the Work and other persons who may be affected thereby;

.2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody, or control of the Contractor, a Subcontractor, or a Sub-subcontractor; and

.3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.

§ 10.2.2 The Contractor shall comply with, and give notices required by the Conditions and applicable laws, statutes, ordinances, codes, rules and regulations, and lawful orders of public authorities, bearing on safety of persons or property or their protection from damage, injury, or loss. Contractor acknowledges that public health, safety, and security are of the utmost importance in connection with its performance of the Work. Contractor shall, at all times, implement and maintain commercially reasonable safety, health, and security protocol with respect to its personnel on site, including implementing best practices as defined by the United States Centers for Disease Control and state and local public health agencies to avoid exposure to and protection against severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) aka COVID-19. Contractor shall also take such actions as are necessary to protect the health, safety and security of the occupants and users of the subject property in connection with the Work and the Project, including adherence to guidelines promulgated by the State of Connecticut.

§ 10.2.3 The Contractor shall implement, erect, and maintain, as required by existing conditions and performance of the Contract, reasonable safeguards for safety and protection, including posting danger signs and other warnings against hazards; promulgating safety regulations; and notifying the owners and users of adjacent sites and utilities of the safeguards. The Contractor shall also be responsible, at the Contractor’s sole cost and expense, for all measures necessary to protect any property adjacent to the Project and improvements thereon. Any damage to such property or improvements shall be promptly repaired by the Contractor at its sole cost and expense.

§ 10.2.4.1 When there are indications that the use of explosives or other hazardous material, equipment or unusual methods is necessary for execution of the Work, the Contractor shall give the Owner and Architect reasonable advance notice of the conditions.

§ 10.2.4.2 The Contractor shall be solely responsible for the handling, storage and use of explosive or other hazardous
materials when their use is permitted.

§ 10.2.4.3 The Contractor shall not bring explosives onto the site or use such in the Work without the prior written permission of the Architect and the Owner. For such use, the Contractor shall obtain necessary permits with copies to the Architect and the Owner. The Contractor shall furnish the Owner and Architect with certificates indicating proper and adequate insurance.

§ 10.2.4 When use or storage of explosives or other hazardous materials or equipment, or unusual methods are necessary for execution of the Work, the Contractor shall exercise utmost care and carry on such activities under supervision of properly qualified personnel.

§ 10.2.5 The Contractor shall promptly remedy damage and loss to property referred to in subparagraphs 10.2.1.2, 10.2.1.3 and 10.2.1.4. If the damage or loss is due in whole or in part to the Contractor’s failure to take the precautions required by this paragraph 10.2, the Contractor shall bear the cost. The foregoing obligations of the Contractor are in addition to the Contractor’s obligations under Section 3.18.

§ 10.2.6 The Contractor shall designate a responsible member of the Contractor’s organization at the site whose duty shall be the prevention of accidents. This person shall be the Contractor’s superintendent unless otherwise designated by the Contractor in writing to the Owner and Architect.

§ 10.2.7 The Contractor shall not permit any part of the construction or site to be loaded so as to cause damage or create an unsafe condition.

§ 10.2.8 The Contractor shall at all times provide protection against weather (snow, rain, wind, storms or heat) so as to maintain all Work, materials, apparatus and fixtures free from damage. At the end of the day’s work, all new Work likely to be damaged shall be reasonably protected against such weather.

§ 10.2.9 The Contractor shall provide adequate fire protection for all operations associated with the Work, and such protection must meet all applicable federal (including OSHA), State and municipal regulations.

§ 10.2.10 The Contractor shall remove and replace with new work at the Contractor’s own expense, any Work damaged by failure to provide protection.

§ 10.2.11 The Contractor shall be responsible, to the extent not covered by insurance, for damage, loss, or liability due to theft or vandalism to the Work and stored materials when work is not in progress at night, on weekends or holidays.

§ 10.2.12 No visitors shall be allowed on the work site without prior written permission from the Owner.

§ 10.2.13 Cutting and welding to be performed in or immediately adjacent to existing spaces shall not be performed without written approval of the Owner for each instance.

§ 10.2.14 All employees at the worksite shall have successfully completed a course in construction safety and health approved by the United States Occupational Safety and Health Administration that is at least 10 hours in duration at the time the employee begins work. The Contractor and all Subcontractors shall furnish documentation of successful completion of said course with the first certified payroll report for each employee. The Contractor shall indemnify and hold harmless the Owner from any and all fines, costs and expenses, including but not limited to reasonable attorney’s fees, incurred by Owner due to the Contractor’s violation of such Acts, standards and/or regulations. Such indemnity shall not be construed to limit the indemnity required under Subparagraph 3.18.1.

§ 10.2.15 The Contractor shall comply with the requirements of the Occupational Safety and Health Act and the Construction Safety Act of 1969, including all standards and regulations which have been promulgated by the governmental authorities which administer such Acts and said requirements, standards and regulations are incorporated herein by reference. The Contractor shall be directly responsible for compliance therewith on the part of its agents, employees, subcontractors, and material suppliers and shall directly receive and be responsible for all citations, assessments, fines, or penalties which may be incurred by reason of its agents, employees, material suppliers or subcontractors, to so comply.
§ 10.2.16 The Contractor shall at all times protect excavations, trenches, buildings, and materials from rainwater, ground water, ice, snow, back-up or leakage of sewers, drains, or other piping, and from water of any other origin and shall remove promptly any accumulation of water. The Contractor shall provide and operate all pumps, piping, and other equipment necessary to this end.

§ 10.2.17 MOLD GROWTH. The Contractor shall establish and maintain a program and safeguards to prevent growth of mold.

§ 10.2.18 Contractor and its Subcontractors shall not make news releases or publicize or issue advertising pertaining to the Work of this Agreement without first obtaining the written approval of the Owner.

§ 10.2.19 If either party suffers injury or damage to person or property because of an act or omission of the other party, or of others for whose acts such party is legally responsible, notice of the injury or damage, whether or not insured, shall be given to the other party within a reasonable time not exceeding 21 days after discovery. The notice shall provide sufficient detail to enable the other party to investigate the matter.

§ 10.2.20 The Contractor shall promptly report in writing to the Owner and Architect all accidents arising out of or in connection with the Work that cause death, personal injury, or property damage, giving full details and statements of any witnesses. In addition, if death, serious personal injuries, or serious damages are caused, the accident shall be reported immediately by telephone or messenger to the Owner and the Architect.

§ 10.2.21 The Contractor shall provide and maintain in good operating condition suitable and adequate fire protection equipment and services and shall comply with all reasonable recommendations regarding fire protection made by the representatives of the fire insurance company carrying insurance on the Work or by the local fire chief or fire marshal. The area within the site limits shall be kept orderly and clean, and all combustible rubbish shall be promptly removed from the site.

§ 10.2.22 When all or a portion of the Work is suspended for any reason, the Contractor shall securely fasten down all coverings and protect the Work, as necessary, from injury by any cause.

§ 10.2.23 The Contractor shall at all times protect excavations, trenches, buildings and materials, from rainwater, ground water, backup or leakage of sewers, drains and other piping, and shall remove promptly any accumulation of water. The Contractor shall provide and operate all pumps, piping and other equipment necessary to this end.

§ 10.2.24 The Contractor shall remove snow and ice which might result in damage or delay to the Work.

§ 10.2.25 During the progress of the Work and at all times prior to the date of Substantial Completion or occupancy of the Work by the Owner, whichever is earlier, the Contractor shall provide temporary heat, ventilation, and enclosure, adequate to permit the Work to proceed in a timely fashion, and to prevent damage to completed Work or Work in progress, or to materials stored on the premises. The permanent heating and ventilation systems may be used for these purposes when available and appropriate, but the fuel cost shall be paid by the Owner.

§ 10.3 Hazardous Materials and Substances

§ 10.3.1 The Contractor is responsible for compliance with any requirements included in the Contract Documents regarding hazardous materials or substances. If the Contractor encounters a hazardous material or substance not addressed in the Contract Documents the Contractor shall immediately report the condition to the Owner and the Architect in writing and take reasonable precautions to prevent foreseeable bodily injury or death to persons resulting from a material or substance, including but not limited to asbestos or polychlorinated biphenyl (PCB). If such reasonable precautions will be inadequate to prevent foreseeable bodily injury and death, the Contractor shall immediately stop Work in the affected area and notify the Owner and Architect of the condition.

§ 10.3.2 Upon receipt of the Contractor’s notice, the Owner shall obtain the services of a licensed laboratory to verify the presence or absence of the material or substance reported by the Contractor and, in the event such material or substance is found to be present, to cause it to be rendered harmless. When the material or substance has been rendered harmless, any Work that has been stopped in the affected area shall resume. By Change Order, the Contract...
Time shall be extended appropriately. Termination of the Contract by the Owner due to the discovery of Hazardous Materials on the Project site shall be Termination for Cause. The term "rendered harmless" shall be interpreted to mean that levels of hazardous materials including, but not limited to asbestos and polychlorinated biphenyl, are less than any applicable exposure standards set forth in OSHA regulations. In no event, however, shall the Owner have any responsibility for any substance or material that is brought to the Project site by the Contractor, any Subcontractor or any materialman or supplier or any entity for whom any of them is responsible. The Contractor agrees not to use any fill or other materials to be incorporated into the Work which are hazardous, toxic or comprised of any items that are hazardous or toxic except to the extent provided in Section 10.3.7.

§ 10.3.3 The Contractor shall not be liable for pre-existing, environmental matters on, under or about the premises which constitute the Project, including without limitation, those relating to fines, orders, injunctions, penalties, damages, contribution, cost recovery compensation, losses or injuries resulting from the release or threatened release of hazardous materials, special wastes or other contaminants into the environment, the development or growth of mold within or on any structures, air quality levels, and to the generation, use, storage, transportation or illegal disposal of solid wastes, hazardous materials, special wastes or other contaminants. This disclaimer of liability shall apply to all such claims against the Contractor, whether direct or indirect, including without limitation, third party claims for which the Owner is seeking indemnification from the Contractor, excluding however, any such claims that are caused by the negligence of the Contractor or subcontractor for which the Contractor is responsible.

§ 10.3.4 The Owner shall not be responsible under this Section 10.3 for hazardous materials or substances the Contractor brings to the site unless such materials or substances are required by the Contract Documents. The Owner shall be responsible for hazardous materials or substances required by the Contract Documents, except to the extent of the Contractor’s fault or negligence in the use and handling of such materials or substances.

§ 10.3.5 The Contractor shall reimburse the Owner for the cost and expense the Owner incurs (1) for remediation of hazardous materials or substances the Contractor brings to the site and negligently handles, or (2) where the Contractor fails to perform its obligations under Section 10.3.1, except to the extent that the cost and expense are due to the Owner’s fault or negligence.

§ 10.3.6 If, without negligence or intentional acts on the part of the Contractor, the Contractor is held liable by a government agency for the cost of remediation of a hazardous material or substance solely by reason of properly performing Work as required by the Contract Documents, the Owner shall reimburse the Contractor for all cost and expense thereby incurred.

§ 10.3.7 The Contractor will be solely responsible for compliance with laws and regulations governing the handling, storage, use or disposal of hazardous materials or wastes used, stored, generated, or disposed of in connection with construction of the Work, and shall obtain all permits and approvals, give all required notices, and observe all applicable procedures prescribed by the U.S. Environmental Protection Agency, the State of Connecticut and other governmental authorities having jurisdiction with respect to such activities. At Owner’s request, Contractor shall furnish the Owner promptly with evidence satisfactory to Owner demonstrating the Contractor’s compliance with such procedures, the giving of such notices, and the issuance of such permits and approvals, and shall indemnify Owner and hold Owner harmless with respect to any loss, damage or liability resulting from Contractor’s failure to observe such procedures, give such notices, or obtain such permits and approvals. Contractor will be responsible for removal and disposal only of such “hazardous material” as is required to be removed by the Contract Documents or any such materials placed on the site by the Contractor or any party for which the Contractor is responsible.

§ 10.3.8 All material and equipment furnished under the Contract shall be free of asbestos and polychlorinated biphenyl (PCB). Any material or equipment containing these hazardous materials shall be considered defective and shall be removed by the Contractor at the Contractor's sole expense.
§ 10.4 Emergencies
In an emergency affecting safety of persons or property, the Contractor shall act, at the Contractor’s discretion, to prevent threatened damage, injury, or loss. Additional compensation or extension of time claimed by the Contractor on account of an emergency shall be determined as provided in Article 15 and Article 7. The Contractor shall promptly notify insurers as applicable, the Architect and the Owner of the nature of the emergency. Immediately thereafter, the Contractor shall submit to the Architect and the Owner a written report including a description of circumstances of the emergency and details of action taken.

ARTICLE 11 INSURANCE AND BONDS
§ 11.1 Contractor’s Insurance and Bonds
§ 11.1.1 The Contractor shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Contractor shall purchase and maintain the required insurance from an insurance company or insurance companies that are acceptable to the Owner and that are lawfully authorized to issue insurance in Connecticut. The Owner, Architect, and Architect’s consultants shall be named as additional insureds under the Contractor’s commercial general liability policy or as otherwise described in the Contract Documents.

The insurance required shall be maintained without interruption from the date of commencement of the Work until the date of final payment and termination of any coverage required to be maintained after final payment, and with respect to Contractor's completed operations coverages, as specified in the Contract Documents.

§ 11.1.2 The Contractor shall provide surety bonds of the types, for such penal sums, and subject to such terms and conditions as required by the Contract Documents. The Contractor shall purchase and maintain the required bonds from a company or companies that are acceptable to the Owner and that are lawfully authorized to issue surety bonds in Connecticut.

§ 11.1.3 Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract, the Contractor shall promptly furnish a copy of the bonds or shall authorize a copy to be furnished.

§ 11.1.4 Notice of Cancellation or Expiration of Contractor’s Required Insurance. Within three (3) business days of the date the Contractor becomes aware of an impending or actual cancellation or expiration of any insurance required by the Contract Documents, the Contractor shall provide notice to the Owner of such impending or actual cancellation or expiration. Upon receipt of notice from the Contractor, the Owner shall, unless the lapse in coverage arises from an act or omission of the Owner, have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by the Contractor. The furnishing of notice by the Contractor shall not relieve the Contractor of any contractual obligation to provide any required coverage.

§ 11.1.5 The limits specified in the Contract Documents are minimum requirements and shall not be construed in any way as limits of liability or as constituting acceptance by the Owner of responsibility for losses in excess of such limits. The Contractor shall be responsible for all deductibles applicable to any insurance. No acceptance and/or approval of any insurance by Owner shall be construed as relieving or excusing Contractor from any liability or obligation imposed by the provisions of the Contract Documents.

§ 11.1.6 The Contractor shall not commence the Work under the Contract nor permit any Subcontractor to commence work on a subcontract until all the insurance required is obtained. The Contractor may carry, at its own expense, such additional coverage as it may deem necessary. The Contractor shall not be deemed to be relieved of any responsibility by the fact it carries insurance. Should the Contractor at any time neglect or refuse to provide the insurance required herein or should such insurance be cancelled or should the full annual aggregate or any policy not be available to satisfy the requirements of the Contract, the Owner shall have the right to procure such insurance and the cost thereof shall be deducted from monies then due or thereafter to become due the Contractor.
§ 11.2 Owner’s Insurance

§ 11.2.1 The Owner shall purchase and maintain insurance of the types and limits of liability, containing the endorsements, and subject to the terms and conditions, as described in the Agreement or elsewhere in the Contract Documents. The Owner shall purchase and maintain the required insurance from an insurance company or insurance companies lawfully authorized to issue insurance in Connecticut.

§ 11.2.2 Failure to Purchase Required Property Insurance. If the Owner does not intend to purchase and maintain the required property insurance, with all of the coverages and in the amounts described in the Agreement or elsewhere in the Contract Documents, the Owner shall inform the Contractor in writing prior to commencement of the Work. Upon receipt of notice from the Owner, the Contractor may delay commencement of the Work and may obtain insurance that will protect the interests of the Contractor, Subcontractors, and Sub-Subcontractors in the Work. When the failure to provide coverage has been cured or resolved, the Contract Sum and Contract Time shall be equitably adjusted. In the event the Owner fails to procure coverage, the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent the loss to the Owner would have been covered by the insurance to have been procured by the Owner. The cost of the insurance shall be charged to the Owner by a Change Order. If the Owner does not provide written notice, and the Contractor is damaged by the failure or neglect of the Owner to purchase or maintain the required insurance, the Owner shall reimburse the Contractor for all reasonable costs and damages attributable thereto.

§ 11.2.3 Notice of Cancellation or Expiration of Owner’s Required Property Insurance. Within three (3) business days of the date the Owner becomes aware of an impending or actual cancellation or expiration of any property insurance required by the Contract Documents, the Owner shall provide notice to the Contractor of such impending or actual cancellation or expiration. Unless the lapse in coverage arises from an act or omission of the Contractor: (1) the Contractor, upon receipt of notice from the Owner, shall have the right to stop the Work until the lapse in coverage has been cured by the procurement of replacement coverage by either the Owner or the Contractor; (2) the Contract Time and Contract Sum shall be equitably adjusted; and (3) the Owner waives all rights against the Contractor, Subcontractors, and Sub-subcontractors to the extent any loss to the Owner would have been covered by the insurance had it not expired or been cancelled. If the Contractor purchases replacement coverage, the cost of the insurance shall be charged to the Owner by an appropriate Change Order. The furnishing of notice by the Owner shall not relieve the Owner of any contractual obligation to provide required insurance.

§ 11.3 Waivers of Subrogation

§ 11.3.1 The Owner and Contractor waive all rights against (1) each other and any of their subcontractors, sub-subcontractors, agents, and employees, each of the other; (2) the Architect and Architect’s consultants; and (3) Separate Contractors, if any, and any of their subcontractors, sub-subcontractors, agents, and employees, for damages caused by fire, or other causes of loss, to the extent those losses are covered by property insurance required by the Agreement or other property insurance applicable to the Project, except such rights as they have to proceeds of such insurance. The Owner or Contractor, as appropriate, shall require similar written waivers in favor of the individuals and entities identified above from the Architect, Architect’s consultants, Separate Contractors, subcontractors, and sub-subcontractors. The policies of insurance purchased and maintained by each person or entity agreeing to waive claims pursuant to this section 11.3.1 shall not prohibit this waiver of subrogation. This waiver of subrogation shall be effective as to a person or entity (1) even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, (2) even though that person or entity did not pay the insurance premium directly or indirectly, or (3) whether or not the person or entity had an insurable interest in the damaged property.

§ 11.3.2 If during the Project construction period the Owner insures properties, real or personal or both, at or adjacent to the site by property insurance under policies separate from those insuring the Project, or if after final payment property insurance is to be provided on the completed Project through a policy or policies other than those insuring the Project during the construction period, to the extent permissible by such policies, the Owner waives all rights in accordance with the terms of Section 11.3.1 for damages caused by fire or other causes of loss covered by this separate property insurance.

§ 11.4 Loss of Use, Business Interruption, and Delay in Completion Insurance

The Owner, at the Owner’s option, may purchase and maintain insurance that will protect the Owner against loss of use of the Owner’s property, or the inability to conduct normal operations, due to fire or other causes of loss. The Owner waives all rights of action against the Contractor and Architect for loss of use of the Owner’s property, due to fire or other hazards however caused.
§11.5 Adjustment and Settlement of Insured Loss
§ 11.5.1 A loss insured under the property insurance required by the Agreement shall be adjusted by the Owner as fiduciary and made payable to the Owner in good faith for the insureds, as their interests may appear, subject to requirements of any applicable mortgagee clause and of Section 11.5.2. The Owner shall pay the Architect and Contractor their just shares of insurance proceeds received by the Owner, and by appropriate agreements the Architect and Contractor shall make payments to their consultants and Subcontractors in similar manner.

§ 11.5.2 Prior to settlement of an insured loss, the Owner shall notify the Contractor of the terms of the proposed settlement as well as the proposed allocation of the insurance proceeds. The Contractor shall have 14 days from receipt of notice to object to the proposed settlement or allocation of the proceeds. If the Contractor does not object, the Owner shall settle the loss and the Contractor shall be bound by the settlement and allocation. Upon receipt, the Owner shall deposit the insurance proceeds in a separate account and make the appropriate distributions. Thereafter, if no other agreement is made or the Owner does not terminate the Contract for convenience, the Owner and Contractor shall execute a Change Order for reconstruction of the damaged or destroyed Work in the amount allocated for that purpose. If the Contractor timely objects to either the terms of the proposed settlement or the allocation of the proceeds, the Owner may proceed to settle the insured loss, and any dispute between the Owner and Contractor arising out of the settlement or allocation of the proceeds shall be resolved pursuant to Article 15. Pending resolution of any dispute, the Owner may issue a Construction Change Directive for the reconstruction of the damaged or destroyed Work.

ARTICLE 12 UNCOVERING AND CORRECTION OF WORK
§ 12.1 Uncovering of Work
§ 12.1.1 If a portion of the Work is covered contrary to the Architect’s request or to requirements specifically expressed in the Contract Documents, it must, if requested in writing by the Architect, be uncovered for the Architect’s examination and be replaced at the Contractor’s expense without change in the Contract Time.

§ 12.1.2 If a portion of the Work has been covered that the Architect has not specifically requested to examine prior to its being covered, the Architect may request to see such Work and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, the Contractor shall be entitled to an equitable adjustment to the Contract Sum and Contract Time as may be appropriate. If such Work is not in accordance with the Contract Documents, the costs of uncovering the Work, and the cost of correction, shall be at the Contractor’s expense.

§ 12.2 Correction of Work
§ 12.2.1 Before Substantial Completion
The Contractor shall promptly and at its own expense correct Work rejected by the Architect or failing to conform to the requirements of the Contract Documents, discovered before Substantial Completion and whether or not fabricated, installed or completed. Costs of correcting such rejected Work, including additional testing and inspections, the cost of uncovering and replacement, and compensation for the Architect’s services and expenses made necessary thereby, shall be at the Contractor’s expense. This obligation shall survive termination of the Contract under Paragraph 14 of the General Conditions. Nothing in this Section 12.2.1 shall absolve the Architect of its liability for failure to fulfill its obligations under the agreement between the Owner and the Architect.

§ 12.2.2 After Substantial Completion
§ 12.2.2.1 In addition to the Contractor’s obligations under Section 3.5, if, within one year after the date of Substantial Completion of the Work or designated portion thereof or after the date for commencement of warranties established under Section 9.9.1, or by terms of any applicable special warranty required by the Contract Documents, any of the Work is found to be not in accordance with the requirements of the Contract Documents, the Contractor shall correct it promptly after receipt of notice from the Owner to do so, unless the Owner has previously given the Contractor a written acceptance of such condition. The Owner shall give such notice promptly after discovery of the condition. During the one-year period for correction of Work, if the Owner fails to notify the Contractor and give the Contractor an opportunity to make the correction, the Owner waives the rights to require correction by the Contractor and to make a claim for breach of warranty. If the Contractor fails to correct nonconforming Work within a reasonable time during that period after receipt of notice from the Owner or Architect, the Owner may correct it in accordance with Section 2.5.
§ 12.2.2.2 The one-year period for correction of Work shall be extended with respect to portions of Work first performed after Substantial Completion by the period of time between Substantial Completion and the actual completion of that portion of the Work.

§ 12.2.2.3 The one-year period for correction of Work shall not be extended by corrective Work performed by the Contractor pursuant to this Section 12.2. Upon completion of any work under or pursuant to this Section 12.2, the one-year correction period in connection with the Work requiring correction shall be renewed and recommence.

§ 12.2.3 The Contractor shall remove from the site portions of the Work that are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

§ 12.2.4 The Contractor shall bear the cost of correcting destroyed or damaged construction of the Owner or Separate Contractors, whether completed or partially completed, caused by the Contractor’s correction or removal of Work that is not in accordance with the requirements of the Contract Documents.

§ 12.2.5 Nothing contained in this Section 12.2 shall be construed to establish a period of limitation with respect to other obligations the Contractor has under the Contract Documents. Establishment of the one-year period for correction of Work as described in Section 12.2.2 relates only to the specific obligation of the Contractor to correct the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor’s liability with respect to the Contractor’s obligations other than specifically to correct the Work.

§ 12.2.6 AUDITS
Upon request of the Owner or the Architect, the Contractor will cooperate, and secure the cooperation of all Subcontractors and Sub-subcontractors and assist the Owner and Architect during any audit of the Project conducted by the Owner at any time after Substantial Completion.

§ 12.3 Acceptance of Nonconforming Work
If the Owner prefers to accept Work that is not in accordance with the requirements of the Contract Documents, the Owner may do so instead of requiring its removal and correction, in which case the Contract Sum will be reduced as appropriate and equitable. Such adjustment shall be effected whether or not final payment has been made.

ARTICLE 13 MISCELLANEOUS PROVISIONS
§ 13.1 Governing Law
The Contract shall be governed by the law of the State of Connecticut.

§ 13.2 Successors and Assigns
§ 13.2.1 The Owner and Contractor respectively bind themselves, their partners, successors, assigns, and legal representatives to covenants, agreements, and obligations contained in the Contract Documents. The Contractor may not assign the Contract without the Owner's prior written consent, which consent the Owner may withhold in its absolute discretion. If the Contractor attempts to make an assignment without such consent, the Contractor shall nevertheless remain legally responsible for all of the Contractor’s obligations under the Contract.

§ 13.2.2 Contractor shall execute all consents reasonably required to facilitate an assignment by the Owner.

§ 13.3 Rights and Remedies
§ 13.3.1 Duties and obligations imposed by the Contract Documents and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights, and remedies otherwise imposed or available by law or in equity.

§ 13.3.2 No action or failure to act by the Owner, Architect, or Contractor shall constitute a waiver of a right or duty afforded them under the Contract, nor shall such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed upon in writing.

§ 13.3.3 No provision contained in the Contract Documents shall create or give to third parties any claim or right of action against the Owner or the Contractor except as specifically provided herein.
§ 13.4 Tests and Inspections

§ 13.4.1 Tests, inspections, certifications and approvals of portions of the Work shall be made as required by the Contract Documents and by the Conditions, applicable laws, statutes, ordinances, codes, rules, and regulations or lawful orders of public authorities. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections, and approvals with an independent testing laboratory or entity acceptable to the Owner, or with the appropriate public authority, and shall bear all related costs of tests, inspections, and approvals. The Contractor shall give the Architect timely notice of when and where tests and inspections are to be made so that the Architect may be present for such procedures. The Owner shall bear costs of tests, inspections, or approvals that do not become requirements until after bids are received or negotiations concluded. The Owner shall directly arrange and pay for tests, inspections, or approvals where building codes or applicable laws or regulations so require.

§ 13.4.2 If the Architect, Owner, or public authorities having jurisdiction determine that portions of the Work require additional testing, inspection, or approval not included under Section 13.4.1, the Architect will, upon written authorization from the Owner, instruct the Contractor to make arrangements for such additional testing, inspection, or approval, by an entity acceptable to the Owner, and the Contractor shall give timely notice to the Architect of when and where tests and inspections are to be made so that the Architect may be present for such procedures. Such costs, except as provided in Section 13.4.3 and 13.4.4, shall be at the Owner’s expense.

§ 13.4.3 If inspections and tests conducted under this Section 13.4 reveal failure in a portion of the Work, the Owner may order the inspection and testing, at the Contractor’s expense, of any and all portions of the Work that are identical or similar to the failing portion.

§ 13.4.4 Required certificates of testing, certification, inspection or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Architect.

§ 13.4.5 If the Architect is to observe tests, inspections, or approvals required by the Contract Documents, the Architect will do so promptly and, where practicable, at the normal place of testing.

§ 13.4.6 Tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

§ 13.4.7 If any of the Work is required to be inspected or approved by any public authority, the Contractor shall cause such inspection or approval to be performed. No inspection performed or failed to be performed by the Owner hereunder shall be a waiver of any of the Contractor’s obligations hereunder or be construed as an approval or acceptance of the work or any parts thereof.

§ 13.5 Interest

INTENTIONALLY OMITTED

§ 13.6 Wherever possible, each provision of this Agreement shall be interpreted in a manner as to be effective and valid under applicable law. If, however, any provision of this Agreement, or portion thereof, is prohibited by law or found invalid under any law, only such provision or portion therefore shall be ineffective, without in any manner invalidating or affecting the remaining provisions of this Agreement or valid portion of such provision, which are hereby deemed severable.

§ 13.7 The parties expressly understand and agree that any provision in this Contract related to job site safety, supervision, inspections or compliance with ordinances, laws, statutes, rules, regulations and/or protocols are solely for the benefit of the Contractor and Owner and do not create any rights, claims, or causes of action in third parties, separate contractors, Subcontractors or Sub-subcontractors, or any of their employees performing work on or at the Project. Nothing in this Agreement is intended to confer any rights in any other contractor, Subcontractor of any tier material supplier, or their employees, as there are no intended third party beneficiaries of this Agreement.

§ 13.8 Each and every provision of law and clause required by law to be inserted in this contract shall be deemed to be inserted herein and the contract shall be read and enforced as though it were included herein.

§ 13.9 If the Contractor is a "nonresident contractor" as defined in Section 12-430(7)(A) of the Connecticut General Statutes, as revised, the Contractor shall comply fully with the provisions of Section 12-430(7) and, prior to commencing the Work, shall furnish the Owner with a copy of the requisite certificate of compliance set forth in

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subparagraph (E) of Section 12-430(7). Contractor agrees to indemnify Owner as to any and all taxes, interest and penalties that the State of Connecticut asserts are due with respect to the Contractor’s activities.

§ 13.10 Contractor shall comply with the requirements of Connecticut General Statutes Section 31-52. Specifically, Contractor agrees that in the employment of labor to perform the work specified herein, preference shall be given to citizens of the United States, who are, and continuously for at least three months prior to the date hereof have been, residents of the labor market area, as established by the Labor Commissioner, in which such work is to be done, and if no such qualified person is available, then to citizens who have continuously resided in the county in which the work is to be performed for at least three months prior to the date hereof, and then to citizens of the state who have continuously resided in the state at least three months prior to the date hereof.

§ 13.11 The wages paid on an hourly basis to any person performing the work of any mechanic, laborer or worker on the work herein contracted to be done and the amount of payment or contribution paid or payable on behalf of each such person to any employee welfare fund, as defined in Section 31-53 of the Connecticut General Statutes, shall be at a rate equal to the rate customary or prevailing for the same work in the same trade or occupation in the town in which such public works project is being constructed. Any contractor who is not obligated by agreement to make payment or contribution on behalf of such persons to any such employee welfare fund shall pay to each mechanic, laborer or worker as part of such person's wages the amount of payment or contribution for such person's classification on each pay day.

§ 13.12 Contractor and each of its Subcontractors shall furnish proof that each employee performing the work of a mechanic, laborer or worker on the Project has completed a course of at least ten (10) hours in construction safety and health approved by the federal Occupational Safety and Health Administration (OSHA) or has completed a new miner training program approved by the Federal Mine Safety and Health Administration. Such proof shall be provided with the certified payroll submitted for the first week each such employee, mechanic, laborer, or worker, begins work on the Project.

§ 13.13 Contractor hereby confirms that it has complied with the obligations under the Immigration Reform and Control Act (IRCA) and that the workers provided under this Agreement are authorized for employment in the United States. Contractor further confirms that it has properly completed I-9's for all of its workers assigned to the Project and that it will require each of its Subcontractors to confirm that they have properly completed I-9's for all of their workers assigned to the Project. Contractor agrees to indemnify, defend, and hold harmless the Owner in the event that any of the workers assigned to the Project are found not to be authorized to work under the law or in the event that there is a determination that the obligations set forth under IRCA, including the obligation to correctly prepare and maintain I-9's, have not been complied with, including but not limited to all damages, fines and penalties, punitive damages, attorneys’ fees and costs.

§ 13.14 Since the Contractor was required to be prequalified by the Connecticut Department of Administrative Services in the bidding for this Project, in the event the surety assumes the contract or obtains a bid or bids for completion of the contract, the surety shall ensure that the contractor chosen to complete the contract is prequalified pursuant to section 4a-100 of the Connecticut General Statutes in the requisite classification and has the aggregate work capacity rating and single project limit necessary to complete the contract.

§ 13.15 Each payment application shall be accompanied by a statement showing the status of all pending Change Orders, pending Change Directives and approved changes to the Contract. Such statement shall identify the pending Change Orders and pending Change Directives were initiated, additional cost and/or time associated with their performance and a description of any work completed. The Contractor shall require each of its Subcontractors and suppliers to include a similar statement with each of their payment applications or invoices.

ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

§ 14.1 Termination by the Contractor

§ 14.1.1 The Contractor may terminate the Contract if the Work is stopped for a period of 30 consecutive days through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, for any of the following reasons:
§ 14.1.2 The Contractor may terminate the Contract if, through no act or fault of the Contractor, a Subcontractor, a Sub-subcontractor, their agents or employees, or any other persons or entities performing portions of the Work, repeated suspensions, delays, or interruptions of the entire Work by the Owner as described in Section 14.3, constitute in the aggregate more than 100 percent of the total number of days scheduled for completion, or 120 days in any 365-day period, whichever is less.

§ 14.1.3 If one of the reasons described in Section 14.1.1 or 14.1.2 exists, the Contractor may, upon thirty (30) additional days’ notice to the Owner and Architect, terminate the Contract and recover from the Owner payment for Work executed. The notice of termination must state with specificity the means by which the Owner may cure its nonperformance, and the Contractor shall not terminate this Agreement if, within thirty (30) days of the notice, the Owner substantially undertakes such curative measures.

§ 14.1.4 INTENTIONALLY OMITTED

§ 14.2 Termination by the Owner for Cause
§ 14.2.1 The Owner may, without prejudice to any right or remedy available to the Owner under the Contract Documents or at law or in equity terminate the Contract if the Contractor:

.1 institutes proceedings or consents to proceedings requesting relief or arrangement under the Federal Bankruptcy Act or any similar or applicable Federal or state law, or if a petition under any Federal or state bankruptcy or insolvency law is filed against the Contractor and such petition is not dismissed within sixty (60) days from the date of said filing, or if the Contractor admits in writing its inability to pay its debts generally as they become due, or if it makes a general assignment for the benefit of its creditors, or if a receiver, liquidator, trustee or assignee is appointed on account of bankruptcy or insolvency, or if a receiver of all or any substantial portion of the Contractor's properties is appointed;

.2 abandons the Work; or if it fails, except in cases for which extension of time prosecute promptly and diligently the Work;

.3 fails to supply enough properly skilled workers or proper materials for the Work;

.4 submits an Application for Payment, sworn statement, waiver of lien, affidavit or document of any nature whatsoever which is intentionally falsified;

.5 fails to make payment to Subcontractors for materials or labor in accordance with the Contract Documents and the respective agreements between the Contractor and the Subcontractors;

.6 disregards the Conditions, applicable laws, statutes, ordinances, codes; rules and regulations, or lawful orders of a public and appropriate authority;

.7 otherwise commits a substantial breach of a provision of the Contract Documents or

.8 if a mechanic's or materialmen's lien or notice of lien is filed against any part of the Work or the site of the Project and not promptly bonded or insured over by the Contractor after the receipt of notice thereof in a manner reasonably satisfactory to the Owner.

§ 14.2.2 When any of the reasons described in Section 14.2.1 exist, may, without prejudice to any other rights or remedies of the Owner and after giving the Contractor and the Contractor’s surety, if any, seven days’ notice, terminate employment of the Contractor and may, subject to any prior rights of the surety:

.1 Exclude the Contractor from the site and take possession of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;

.2 Accept assignment of subcontracts pursuant to Section 5.4; and
Finish the Work by whatever reasonable method the Owner may deem expedient. Upon written request of the Contractor, the Owner shall furnish to the Contractor a detailed accounting of the costs incurred by the Owner in finishing the Work.

§ 14.2.3 When the Owner terminates the Contract for one of the reasons stated in Section 14.2.1, the Contractor shall not be entitled to receive further payment until the Work is finished.

§ 14.2.4 If the unpaid balance of the Contract Sum exceeds costs of finishing the Work, including compensation for the Architect’s services and expenses made necessary thereby, and other damages incurred by the Owner and not expressly waived, such excess shall be paid to the Contractor. If such costs and damages exceed the unpaid balance, the Contractor shall pay the difference to the Owner. The amount to be paid to the Contractor or Owner, as the case may be, shall be certified by the Initial Decision Maker, upon application, and this obligation for payment shall survive termination of the Contract.

§ 14.2.5 If the Owner terminates the Contractor for cause and it is thereafter determined that the Owner did not have the right to terminate the Contractor for cause, such termination for cause shall automatically be converted into a termination for convenience under Article 14.4 hereto.

§ 14.3 Suspension by the Owner for Convenience

§ 14.3.1 The Owner may, without cause, order the Contractor in writing to suspend, delay or interrupt the Work, in whole or in part for such period of time as the Owner may determine.

§ 14.3.2 The Contract Sum and Contract Time shall be adjusted for increases in the direct costs and time caused by suspension, delay, or interruption under Section 14.3.1. No adjustment shall be made to the extent

.1 that performance is, was, or would have been, so suspended, delayed, or interrupted, by another cause for which the Contractor is responsible; or

.2 that an equitable adjustment is made or denied under another provision of the Contract.

§ 14.4 Termination by the Owner for Convenience

§ 14.4.1 The Owner may, at any time, terminate the Contract for the Owner’s convenience and without cause.

§ 14.4.2 Upon receipt of notice from the Owner of such termination for the Owner’s convenience, the Contractor shall

.1 cease operations as directed by the Owner in the notice;

.2 take actions necessary, or that the Owner may direct, for the protection and preservation of the Work; and

.3 except for Work directed to be performed prior to the effective date of termination stated in the notice, terminate all existing subcontracts and purchase orders and enter into no further subcontracts and purchase orders.

§ 14.4.3 Upon such termination, the Contractor shall recover as its sole remedy payment for Work properly performed in connection with the terminated portion of the Work prior to the effective date of termination and for items properly and timely fabricated off the Project site, delivered and stored in accordance with the Owner’s instructions. The Contractor hereby waives and forfeits all other claims for payment and damages, including, without limitation, anticipated profits and consequential damages. In no event shall Contractor claim or be entitled to payment of overhead or profit on Work not performed. The Owner shall be credited for (i) payments previously made to the Contractor for the terminated portion of the Work, (ii) claims that the Owner has against the Contractor under the Contract, and (iii) the value of the materials, supplies, equipment, or other items that are to be disposed of by the Contractor that are part of the Contract Sum.

ARTICLE 15 CLAIMS AND DISPUTES

§ 15.1 Claims

§ 15.1.1 Definition

A Claim is a demand or assertion by one of the parties seeking, as a matter of right, payment of money, a change in the Contract Time, or other relief with respect to the terms of the Contract. The term “Claim” also includes other disputes and matters in question between the Owner and Contractor arising out of or relating to the Contract. The responsibility to substantiate Claims shall rest with the party making the Claim. This Section 15.1.1 does not require the Owner to file a Claim in order to impose liquidated damages in accordance with the Contract Documents. Failure to give such timely written notice will bar any claims by the Contractor. The Owner’s prior written consent
to proceed with any Work for which the Contractor will claim it is entitled to additional compensation is a condition precedent to recovery for such work. Any notice of Claim must clearly identify the alleged cause and the nature of the Claim and include date and information then available to the claimant that will facilitate prompt verification and evaluation of the Claim.

§ 15.1.2 Time Limits on Claims
The Owner and Contractor shall commence all Claims and causes of action against the other and arising out of or related to the Contract, whether in contract, tort, breach of warranty or otherwise, in accordance with the requirements of the binding dispute resolution method selected in the Agreement and within the period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Contractor waive all Claims and causes of action not commenced in accordance with this Section 15.1.2.

§ 15.1.3 Notice of Claims
§ 15.1.3.1 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered prior to expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party and to the Initial Decision Maker with a copy sent to the Architect, if the Architect is not serving as the Initial Decision Maker. Claims by either party under this Section 15.1.3.1 shall be initiated within 21 days after occurrence of the event giving rise to such Claim or within 21 days after the claimant first recognizes the condition giving rise to the Claim, whichever is later. Failure by the Contractor to give such notice within the time specified shall greatly prejudice the Owner, and the failure to submit proper and timely notice shall constitute a waiver and abandonment of such Claim.

§ 15.1.3.2 Claims by either the Owner or Contractor, where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2, shall be initiated by notice to the other party. In such event, no decision by the Initial Decision Maker is required.

§ 15.1.4 Continuing Contract Performance
§ 15.1.4.1 Pending final resolution of a Claim, except as otherwise agreed in writing or as provided in Section 9.7 and Article 14, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments in accordance with the Contract Documents. The Owner shall have no obligation to make payments to the Contractor on or against such claims, disputes, or other matters in question during the pendency of any mediation, arbitration, or other proceedings to resolve such matters. Owner shall continue to make payments of undisputed amounts.

§ 15.1.4.2 The Contract Sum and Contract Time shall be adjusted in accordance with the Initial Decision Maker’s decision, subject to the right of either party to proceed in accordance with this Article 15. The Architect will issue Certificates for Payment in accordance with the decision of the Initial Decision Maker.

§ 15.1.5 Claims for Additional Cost
If the Contractor wishes to make a Claim for an increase in the Contract Sum, notice as provided in Section 15.1.3 shall be given before proceeding to execute the portion of the Work that is the subject of the Claim. Prior notice is not required for Claims relating to an emergency endangering life or property arising under Section 10.4.

§ 15.1.6 Claims for Additional Time
§ 15.1.6.1 If the Contractor wishes to make a Claim for an increase in the Contract Time, written notice as provided herein shall be given. The Contractor’s Claim shall include an estimate of cost and of probable effect of delay on progress of the Work. The Contractor shall have the burden of demonstrating the effect of the claimed delay on the Contract Time, and shall furnish the Owner and the Architect with such documentation relating thereto as the Owner and the Architect may reasonably require. In the case of a continuing delay, only one Claim is necessary. Any request seeking an extension of time contain:

.1 a detailed description of the nature of each cause of delay, the date or dates upon which each cause of delay began and ended (as known or as projected), the number of days of delay attributable to each such cause, and the impact of such delay upon the construction schedule;

.2 the construction schedule in effect at the start of the delay, showing that the portion of the Work that was, or will be, delayed is on the critical path and that no float remains or will be available for the delayed activities at the start of the delay;
§ 15.1.6.2 If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time, could not have been reasonably anticipated, and had an adverse effect on the scheduled construction.

§ 15.1.7 Waiver of Claims for Consequential Damages

INTENTIONALLY OMITTED

§ 15.1.6 LIQUIDATED DAMAGES

It is mutually agreed that if the Contractor fails to reach Substantial Completion of the Work by ten (10) months from the Owner’s Notice to Proceed, the Owner will be damaged; and because the amount of the Owner’s damages is difficult if not impossible to definitely ascertain and prove, it is hereby agreed that the amount of such damages shall be One Thousand Five Hundred Dollars ($1,500) for each Day, or part thereof, of delay in substantially completing the Work. The Contractor agrees that said sum shall be deducted from monies due the Contractor under the Contract, or, if no money is due the Contractor, the Contractor hereby agrees to pay the Owner as liquidated damages, and not by way of penalty, such total sum as shall be due for such delay.

§ 15.2 Initial Decision

§ 15.2.1 Claims, excluding those where the condition giving rise to the Claim is first discovered after expiration of the period for correction of the Work set forth in Section 12.2.2 or arising under Sections 10.3, 10.4, and 11.5, shall be referred to the Initial Decision Maker for initial decision. The Architect will serve as the Initial Decision Maker, unless otherwise indicated in the Agreement. Except for those Claims excluded by this Section 15.2.1, an initial decision shall be required as a condition precedent to mediation of any Claim. If an initial decision has not been rendered within 30 days after the Claim has been referred to the Initial Decision Maker, the party asserting the Claim may demand mediation and binding dispute resolution without a decision having been rendered. Unless the Initial Decision Maker and all affected parties agree, the Initial Decision Maker will not decide disputes between the Contractor and persons or entities other than the Owner.

§ 15.2.2 The Initial Decision Maker will review Claims and within ten days of the receipt of a Claim take one or more of the following actions: (1) request additional supporting data from the claimant or a response with supporting data from the other party, (2) reject the Claim in whole or in part, (3) approve the Claim, (4) suggest a compromise, or (5) advise the parties that the Initial Decision Maker is unable to resolve the Claim if the Initial Decision Maker lacks sufficient information to evaluate the merits of the Claim or if the Initial Decision Maker concludes that, in the Initial Decision Maker’s sole discretion, it would be inappropriate for the Initial Decision Maker to resolve the Claim.

§ 15.2.3 In evaluating Claims, the Initial Decision Maker may, but shall not be obligated to, consult with or seek information from either party or from persons with special knowledge or expertise who may assist the Initial Decision Maker in rendering a decision. The Initial Decision Maker may request the Owner to authorize retention of such persons at the Owner’s expense.

§ 15.2.4 If the Initial Decision Maker requests a party to provide a response to a Claim or to furnish additional supporting data, such party shall respond, within ten days after receipt of the request, and shall either (1) provide a response on the requested supporting data, (2) advise the Initial Decision Maker when the response or supporting data will be furnished, or (3) advise the Initial Decision Maker that no supporting data will be furnished. Upon receipt of the response or supporting data, if any, the Initial Decision Maker will either reject or approve the Claim in whole or in part.

§ 15.2.5 The Initial Decision Maker will render an initial decision approving or rejecting the Claim, or indicating that the Initial Decision Maker is unable to resolve the Claim. This initial decision shall (1) be in writing; (2) state the reasons therefor; and (3) notify the parties and the Architect, if the Architect is not serving as the Initial Decision Maker, of any change in the Contract Sum or Contract Time or both. The initial decision shall be final and binding on the parties but subject to mediation and, if the parties fail to resolve their dispute through mediation, to binding dispute resolution.

§ 15.2.6 Either party may file for mediation of an initial decision at any time, subject to the terms of Section 15.2.6.1.
§ 15.2.6.1 Either party may demand or file for mediation of a Claim.

§ 15.2.7 In the event of a Claim against the Contractor, the Owner may, but is not obligated to, notify the surety, if any, of the nature and amount of the Claim. If the Claim relates to a possibility of a Contractor’s default, the Owner may, but is not obligated to, notify the surety and request the surety’s assistance in resolving the controversy.

§ 15.3 Mediation

§ 15.3.1 Claims, disputes, or other matters in controversy arising out of or related to the Contract, except those waived as provided for in Sections 9.10.4 shall be subject to mediation as a condition precedent to binding dispute resolution.

§ 15.3.2 The parties shall endeavor to resolve their Claims by mediation which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of the Agreement. A request for mediation shall be made in writing, delivered to the other party to the Contract, and filed with the person or entity administering the mediation. The request may be made concurrently with the filing of binding dispute resolution proceedings but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration is stayed pursuant to this Section 15.3.2, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.

§ 15.3.3 Either party may, within 30 days from the date that mediation has been concluded without resolution of the dispute or 60 days after mediation has been demanded without resolution of the dispute, demand in writing that the other party file for binding dispute resolution. If such a demand is made and the party receiving the demand fails to file for binding dispute resolution within 60 days after receipt thereof, then both parties waive their rights to binding dispute resolution proceedings with respect to the initial decision.

§ 15.3.4 The parties shall share the mediator’s fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

§ 15.4 Arbitration

§ 15.4.1 All claims, disputes and other matters in question between the Owner and the Contractor arising out of or related to the Contract or the breach thereof, except for claims which have been waived by the making and acceptance of final payments, shall be decided, at the sole option of the Owner, by one of the following dispute resolution procedures: (1) arbitration in accordance with rules agreed to by the Owner and the Contractor, (2) arbitration in accordance with the Construction Industry Arbitration Rules of the American Arbitration Association then obtaining, or (3) litigation.

§ 15.4.2 If a demand for arbitration is filed by the Contractor, the Owner will advise the Contractor within thirty days after the receipt of such a demand for arbitration if the Owner elects to arbitrate or rejects arbitration; such election, once made, shall be binding. The filing of a demand for arbitration by the Owner shall be deemed an election to arbitrate and shall constitute the exercise of the option of the Owner to proceed with arbitration. The Owner, but not the Contractor, may join or consolidate with any arbitration with the Contractor any disputes with the Architect, any Subcontractor, or any other party having an interest in the proceeding. This agreement to arbitrate shall be specifically enforceable under applicable law in any court having jurisdiction thereof. The award rendered by the arbitrator or arbitrators shall be final and judgment may be entered upon it in accordance with the applicable law in any court having jurisdiction thereof.

§ 15.4.3 The Contractor agrees to continue performance of the Contract Work and shall proceed in accordance with the directives of the Owner, under protest, in the event of a dispute or controversy. Failure to so proceed shall constitute a material breach of the Contract, regardless of the ultimate decision on the dispute, it being understood and agreed that any controversy between the parties shall not be deemed a basis to delay or suspend the Contract Work, unless directed otherwise by the Owner.

§ 15.4.4 Consolidation or Joinder

§ 15.4.4.1 INTENTIONALLY OMITTED

§ 15.4.2 INTENTIONALLY OMITTED

§ 15.4.3 INTENTIONALLY OMITTED
Minimum Rates and Classifications for Building Construction

**Connecticut Department of Labor**
Wage and Workplace Standards Division

By virtue of the authority vested in the Labor Commissioner under provisions of Section 31-53 of the General Statutes of Connecticut, as amended, the following are declared to be the prevailing rates and welfare payments and will apply only where the contract is advertised for bid within 20 days of the date on which the rates are established. Any contractor or subcontractor not obligated by agreement to pay to the welfare and pension fund shall pay this amount to each employee as part of his/her hourly wages.

Project Number: 2223-10  
Project Town: Cheshire

**CLASSIFICATION**

<table>
<thead>
<tr>
<th>Classification</th>
<th>Hourly Rate</th>
<th>Benefits</th>
</tr>
</thead>
<tbody>
<tr>
<td>1b) Asbestos/Toxic Waste Removal Laborers: Asbestos removal and encapsulation (except its removal from mechanical systems which are not to be scrapped), toxic waste removers, blasters.<strong>See Laborers Group 7</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1c) Asbestos Worker/Heat and Frost Insulator</td>
<td>44.57</td>
<td>31.79</td>
</tr>
<tr>
<td>2) Boilermaker</td>
<td>45.21</td>
<td>29.05</td>
</tr>
<tr>
<td>3a) Bricklayer, Cement Mason, Concrete Finisher (including caulking), Stone Masons</td>
<td>39.4</td>
<td>34.62 + a</td>
</tr>
<tr>
<td>3b) Tile Setter</td>
<td>37.1</td>
<td>30.52</td>
</tr>
<tr>
<td>3c) Tile and Stone Finishers</td>
<td>30.0</td>
<td>25.30</td>
</tr>
<tr>
<td>3d) Marble &amp; Terrazzo Finishers</td>
<td>31.07</td>
<td>24.23</td>
</tr>
<tr>
<td>3e) Plasterer</td>
<td>41.9</td>
<td>28.75</td>
</tr>
</tbody>
</table>

------LABORERS------

As of: January 20, 2023
4) Group 1: Laborers (common or general), acetylene burners, concrete specialists, wrecking laborers, fire watchers.

4a) Group 2: Mortar mixers, plaster tender, power buggy operators, powdermen, fireproofer/mixer/nozzleman (Person running mixer and spraying fireproof only).

4b) Group 3: Jackhammer operators/pavement breaker, mason tender (brick), mason tender (cement/concrete), forklift operators and forklift operators (masonry).

4c) **Group 4: Pipelayers (Installation of water, storm drainage or sewage lines outside of the building line with P6, P7 license) (the pipelayer rate shall apply only to one or two employees of the total crew who primary task is to actually perform the mating of pipe sections) P6 and P7 rate is $26.80.

4d) Group 5: Air track operator, sand blaster and hydraulic drills.

4e) Group 6: Blasters, nuclear and toxic waste removal.

4f) Group 7: Asbestos/lead removal and encapsulation (except it's removal from mechanical systems which are not to be scrapped).

4g) Group 8: Bottom men on open air caisson, cylindrical work and boring crew.

4h) Group 9: Top men on open air caisson, cylindrical work and boring crew.

4i) Group 10: Traffic Control Signalman


As of: January 20, 2023
<table>
<thead>
<tr>
<th>Industry</th>
<th>Hourly Rate</th>
<th>Job Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>5a) Millwrights</td>
<td>37.02</td>
<td></td>
</tr>
<tr>
<td>6) Electrical Worker (including low voltage wiring) (Trade License required: E1,2 L-5,6 C-5,6 T-1,2 L-1,2 V-1,2,7,8,9)</td>
<td>40.6</td>
<td>32.21 + 3% of gross wage</td>
</tr>
<tr>
<td>7a) Elevator Mechanic (Trade License required: R-1,2,5,6)</td>
<td>61.42</td>
<td>37.335 + a + b</td>
</tr>
</tbody>
</table>

##### LINE CONSTRUCTION

<table>
<thead>
<tr>
<th>Job Description</th>
<th>Hourly Rate</th>
<th>Job Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Groundman</td>
<td>26.5</td>
<td>6.5% + 9.00</td>
</tr>
<tr>
<td>Linemen/Cable Splicer</td>
<td>48.19</td>
<td>6.5% + 22.00</td>
</tr>
<tr>
<td>8) Glazier (Trade License required: FG-1,2)</td>
<td>40.78</td>
<td>23.40 + a</td>
</tr>
<tr>
<td>9) Ironworker, Ornamental, Reinforcing, Structural, and Precast Concrete Erection</td>
<td>39.7</td>
<td>38.77 + a</td>
</tr>
</tbody>
</table>

##### OPERATORS

| Group 1: Crane Handling or Erecting Structural Steel or Stone; Hoisting Engineer (2 drums or over). (Trade License Required) | 50.27 | 26.80 + a                                             |
| Group 1a: Front End Loader (7 cubic yards or over); Work Boat 26 ft. and Over | 46.07 | 26.80 + a                                             |
| Group 2: Cranes (100 ton rate capacity and over); Bauer Drill/Caisson. (Trade License Required) | 49.91 | 26.80 + a                                             |
| Group 2a: Cranes (under 100 ton rated capacity). | 49.06 | 26.80 + a                                             |
| Group 2b: Excavator over 2 cubic yards; Pile Driver ($3.00 premium when operator controls hammer) | 45.71 | 26.80 + a                                             |

*As of:* January 20, 2023
Group 3: Excavator; Gradall; Master Mechanic; Hoisting Engineer (all types of equipment where a drum and cable are used to hoist or drag material regardless of motive power of operation), Rubber Tire Excavator (Drott-1085 or similar); Grader Operator; Bulldozer Finegrade. (slopes, shaping, laser or GPS, etc.). (Trade License Required)

Group 4: Trenching Machines; Lighter Derrick; CMI Machine or Similar; Koehring Loader (Skooper); Goldhofer.

Group 5: Specialty Railroad Equipment; Asphalt Spreader, Asphalt Reclaiming Machine; Line Grinder; Concrete Pumps; Drills with Self Contained Power Units; Boring Machine; Post Hole Digger; Auger; Pounder; Well Digger; Milling Machine (over 24 mandrel).

Group 5 continued: Side Boom; Combination Hoe and Loader; Directional Driller.

Group 6: Front End Loader (3 up to 7 cubic yards); Bulldozer (rough grade dozer).

Group 7: Asphalt Roller; Concrete Saws and Cutters (ride on types); Vermeer Concrete Cutter; Stump Grinder; Scraper; Snooper; Skidder; Milling Machine (24" and under mandrel).

Group 8: Mechanic; Grease Truck Operator; Hydroblaster; Barrier Mover; Power Stone Spreader; Welding; Work Boat Under 26 ft.; Transfer Machine; Rigger Foreman.

Group 9: Front End Loader (under 3 cubic yards); Skid Steer Loader regardless of attachments; (Bobcat or Similar); Forklift, Power Chipper; Landscape Equipment (including Hydroseeder); Vacuum Excavation Truck and Hydrovac Excavation Truck (27 HG pressure or greater).

Group 10: Vibratory hammer; ice machine; diesel and air, hammer, etc.

Group 11: Conveyor, earth roller, power pavement breaker (whiphammer), robot demolition equipment.

As of: January 20, 2023
Group 12: Wellpoint Operator. 39.63 26.80 + a

Group 13: Compressor Battery Operator. 38.97 26.80 + a

Group 14: Elevator Operator; Tow Motor Operator (solid tire no rough terrain). 37.66 26.80 + a

Group 15: Generator Operator; Compressor Operator; Pump Operator; Welding Machine Operator; Heater Operator. 37.2 26.80 + a

Group 16: Maintenance Engineer. 36.46 26.80 + a

Group 17: Portable Asphalt Plant Operator; Portable Crusher Plant Operator; Portable Concrete Plant Operator; Portable Grout Plant Operator; Portable Water Filtration Plant Operator. 41.39 26.80 + a

Group 18: Power Safety Boat; Vacuum Truck; Zim Mixer; Sweeper; (Minimum for any job requiring a CDL license); Rigger; Signalman. 38.61 26.80 + a

------PAINTERS (Including Drywall Finishing)------

10a) Brush and Roller 37.22 23.40

10b) Taping Only/Drywall Finishing 37.97 23.40

10c) Paperhanger and Red Label 37.72 23.40

10e) Blast and Spray 40.22 23.40

11) Plumber (excluding HVAC pipe installation) (Trade License required: P-1,2,6,7,8,9 J-1,2,3,4 SP-1,2) 47.03 34.05

12) Well Digger, Pile Testing Machine 37.26 24.05 + a

As of: January 20, 2023
<table>
<thead>
<tr>
<th></th>
<th>Description</th>
<th>Hourly Rate</th>
<th>Commission %</th>
</tr>
</thead>
<tbody>
<tr>
<td>13)</td>
<td>Roofer (composition)</td>
<td>40.7</td>
<td>23.50</td>
</tr>
<tr>
<td>14)</td>
<td>Roofer (slate &amp; tile)</td>
<td>41.2</td>
<td>23.50</td>
</tr>
<tr>
<td>15)</td>
<td>Sheetmetal Worker  (Trade License required for HVAC and Ductwork: SM-1,SM-2,SM-3,SM-4,SM-5,SM-6)</td>
<td>40.89</td>
<td>41.72</td>
</tr>
<tr>
<td>16)</td>
<td>Pipefitter (Including HVAC work) (Trade License required: S-1,2,3,4,5,6,7,8 B-1,2,3,4 D-1,2,3,4, G-1, G-2, G-8 &amp; G-9)</td>
<td>47.03</td>
<td>34.05</td>
</tr>
<tr>
<td></td>
<td>-----TRUCK DRIVERS-----</td>
<td></td>
<td></td>
</tr>
<tr>
<td>17a)</td>
<td>2 Axle, Helpers</td>
<td>31.16</td>
<td>28.78 + a</td>
</tr>
<tr>
<td>17b)</td>
<td>3 Axle, 2 Axle Ready Mix</td>
<td>31.27</td>
<td>28.78 + a</td>
</tr>
<tr>
<td>17c)</td>
<td>3 Axle Ready Mix</td>
<td>31.33</td>
<td>28.78 + a</td>
</tr>
<tr>
<td>17d)</td>
<td>4 Axle</td>
<td>31.39</td>
<td>28.78 + a</td>
</tr>
<tr>
<td>17e)</td>
<td>4 Axle Ready Mix</td>
<td>31.44</td>
<td>28.78 + a</td>
</tr>
<tr>
<td>17f)</td>
<td>Heavy Duty Trailer (40 Tons and Over)</td>
<td>33.66</td>
<td>28.78 + a</td>
</tr>
<tr>
<td>17g)</td>
<td>Specialized Earth Moving Equipment (Other Than Conventional Type on-the-Road Trucks and Semi-Trailers, Including Euclids)</td>
<td>31.44</td>
<td>28.78 + a</td>
</tr>
<tr>
<td>17h)</td>
<td>Heavy Duty Trailer up to 40 tons</td>
<td>32.39</td>
<td>28.78 + a</td>
</tr>
</tbody>
</table>

*As of:* January 20, 2023
17i) Snorkle Truck  31.54  28.78 + a

18) Sprinkler Fitter (Trade License required: F-1,2,3,4)  47.55  28.96 + a

19) Theatrical Stage Journeyman  25.76  7.34

Welders: Rate for craft to which welding is incidental.
*Note: Hazardous waste removal work receives additional $1.25 per hour for truck drivers.
**Note: Hazardous waste premium $3.00 per hour over classified rate

- Crane with 150 ft. boom (including jib) - $1.50 extra
- Crane with 200 ft. boom (including jib) - $2.50 extra
- Crane with 250 ft. boom (including jib) - $5.00 extra
- Crane with 300 ft. boom (including jib) - $7.00 extra
- Crane with 400 ft. boom (including jib) - $10.00 extra

All classifications that indicate a percentage of the fringe benefits must be calculated at the percentage rate times the "base hourly rate”.

Apprentices duly registered under the Commissioner of Labor’s regulations on "Work Training Standards for Apprenticeship and Training Programs” Section 31-51-d-1 to 12, are allowed to be paid the appropriate percentage of the prevailing journeymen hourly base and the full fringe benefit rate, providing the work site ratio shall not be less than one full-time journeyperson instructing and supervising the work of each apprentice in a specific trade.

The Prevailing wage rates applicable to this project are subject to annual adjustments each July 1st for the duration of the project. Each contractor shall pay the annual adjusted prevailing wage rate that is in effect each July 1st, as posted by the Department of Labor.

It is the contractor's responsibility to obtain the annual adjusted prevailing wage rate increases directly from the Department of Labor's website.

The annual adjustments will be posted on the Department of Labor’s Web page: www.ct.gov/dol. For those without internet access, please contact the division listed below.

The Department of Labor will continue to issue the initial prevailing wage rate schedule to the Contracting Agency for the project.

Effective October 1, 2005 - Public Act 05-50: any person performing the work of any mechanic, laborer, or worker shall be paid prevailing wage

As of:  January 20, 2023
All Persons who perform work ON SITE must be paid prevailing wage for the appropriate mechanic, laborer, or worker classification.

All certified payrolls must list the hours worked and wages paid to All Persons who perform work ON SITE regardless of their ownership i.e.: (Owners, Corporate Officers, LLC Members, Independent Contractors, et. al)

Reporting and payment of wages is required regardless of any contractual relationship alleged to exist between the contractor and such person.

--Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clause (29 CFR 5.5 (a) (1) (ii)).

Please direct any questions which you may have pertaining to classification of work and payment of prevailing wages to the Wage and Workplace Standards Division, telephone (860)263-6790.

As of: January 20, 2023
THIS IS A PUBLIC WORKS PROJECT
Covered by the
PREVAILING WAGE LAW
CT General Statutes Section 31-53

If you have QUESTIONS regarding your wages
CALL (860) 263-6790

Section 31-55 of the CT State Statutes requires every contractor or subcontractor performing work for the state to post in a prominent place the prevailing wages as determined by the Labor Commissioner.
Sec. 31-53b. Construction safety and health course. New miner training program.   
Proof of completion required for mechanics, laborers and workers on public works projects. Enforcement. Regulations. Exceptions. (a) Each contract for a public works project entered into on or after July 1, 2009, by the state or any of its agents, or by any political subdivision of the state or any of its agents, described in subsection (g) of section 31-53, shall contain a provision requiring that each contractor furnish proof with the weekly certified payroll form for the first week each employee begins work on such project that any person performing the work of a mechanic, laborer or worker pursuant to the classifications of labor under section 31-53 on such public works project, pursuant to such contract, has completed a course of at least ten hours in duration in construction safety and health approved by the federal Occupational Safety and Health Administration or, has completed a new miner training program approved by the Federal Mine Safety and Health Administration in accordance with 30 CFR 48 or, in the case of telecommunications employees, has completed at least ten hours of training in accordance with 29 CFR 1910.268.

(b) Any person required to complete a course or program under subsection (a) of this section who has not completed the course or program shall be subject to removal from the worksite if the person does not provide documentation of having completed such course or program by the fifteenth day after the date the person is found to be in noncompliance. The Labor Commissioner or said commissioner's designee shall enforce this section.

(c) Not later than January 1, 2009, the Labor Commissioner shall adopt regulations, in accordance with the provisions of chapter 54, to implement the provisions of subsections (a) and (b) of this section. Such regulations shall require that the ten-hour construction safety and health courses required under subsection (a) of this section be conducted in accordance with federal Occupational Safety and Health Administration Training Institute standards, or in accordance with Federal Mine Safety and Health Administration Standards or in accordance with 29 CFR 1910.268, as appropriate. The Labor Commissioner shall accept as sufficient proof of compliance with the provisions of subsection (a) or (b) of this section a student course completion card issued by the federal Occupational Safety and Health Administration Training Institute, or such other proof of compliance said commissioner deems appropriate, dated no earlier than five years before the commencement date of such public works project.

(d) This section shall not apply to employees of public service companies, as defined in section 16-1, or drivers of commercial motor vehicles driving the vehicle on the public works project and delivering or picking up cargo from public works projects provided they perform no labor relating to the project other than the loading and unloading of their cargo.

(P.A. 06-175, S. 1; P.A. 08-83, S. 1.)
History: P.A. 08-83 amended Subsec. (a) by making provisions applicable to public works project contracts entered into on or after July 1, 2009, replacing provision re total cost of work with reference to Sec. 31-53(g), requiring proof in certified payroll form that new mechanic, laborer or worker has completed a 10-hour or more construction safety course and adding provision re new miner training program, amended Subsec. (b) by substituting "person" for "employee" and adding "or program", amended Subsec. (c) by adding "or in accordance with Federal Mine Safety and Health Administration Standards" and setting new deadline of January 1, 2009, deleted former Subsec. (d) re "public building", added new Subsec. (d) re exemptions for public service company employees and delivery drivers who perform no labor other than delivery and made conforming and technical changes, effective January 1, 2009.
THE 10-HOUR OSHA CONSTRUCTION SAFETY AND HEALTH COURSE

(applicable to public building contracts entered into on or after July 1, 2007, where the total cost of all work to be performed is at least $100,000)

(1) This requirement was created by Public Act No. 06-175, which is codified in Section 31-53b of the Connecticut General Statutes (pertaining to the prevailing wage statutes);

(2) The course is required for public building construction contracts (projects funded in whole or in part by the state or any political subdivision of the state) entered into on or after July 1, 2007;

(3) It is required of private employees (not state or municipal employees) and apprentices who perform manual labor for a general contractor or subcontractor on a public building project where the total cost of all work to be performed is at least $100,000;

(4) The ten-hour construction course pertains to the ten-hour Outreach Course conducted in accordance with federal OSHA Training Institute standards, and, for telecommunications workers, a ten-hour training course conducted in accordance with federal OSHA standard, 29 CFR 1910.268;

(5) The internet website for the federal OSHA Training Institute is http://www.osha.gov/fso/ote/training/edcenters/fact_sheet.html;

(6) The statutory language leaves it to the contractor and its employees to determine who pays for the cost of the ten-hour Outreach Course;

(7) Within 30 days of receiving a contract award, a general contractor must furnish proof to the Labor Commissioner that all employees and apprentices performing manual labor on the project will have completed such a course;

(8) Proof of completion may be demonstrated through either: (a) the presentation of a bona fide student course completion card issued by the federal OSHA Training Institute; or (2) the presentation of documentation provided to an employee by a trainer certified by the Institute pending the actual issuance of the completion card;

(9) Any card with an issuance date more than 5 years prior to the commencement date of the construction project shall not constitute proof of compliance;
(10) Each employer shall affix a copy of the construction safety course completion card to the certified payroll submitted to the contracting agency in accordance with Conn. Gen. Stat. § 31-53(f) on which such employee’s name first appears;

(11) Any employee found to be in non-compliance shall be subject to removal from the worksite if such employee does not provide satisfactory proof of course completion to the Labor Commissioner by the fifteenth day after the date the employee is determined to be in noncompliance;

(12) Any such employee who is determined to be in noncompliance may continue to work on a public building construction project for a maximum of fourteen consecutive calendar days while bringing his or her status into compliance;

(13) The Labor Commissioner may make complaint to the prosecuting authorities regarding any employer or agent of the employer, or officer or agent of the corporation who files a false certified payroll with respect to the status of an employee who is performing manual labor on a public building construction project;

(14) The statute provides the minimum standards required for the completion of a safety course by manual laborers on public construction contracts; any contractor can exceed these minimum requirements; and

(15) Regulations clarifying the statute are currently in the regulatory process, and shall be posted on the CTDOL website as soon as they are adopted in final form.

(16) Any questions regarding this statute may be directed to the Wage and Workplace Standards Division of the Connecticut Labor Department via the internet website of http://www.ctdol.state.ct.us/wgwkstnd/wgmenu.htm; or by telephone at (860)263-6790.

THE ABOVE INFORMATION IS PROVIDED EXCLUSIVELY AS AN EDUCATIONAL RESOURCE, AND IS NOT INTENDED AS A SUBSTITUTE FOR LEGAL INTERPRETATIONS WHICH MAY ULTIMATELY ARISE CONCERNING THE CONSTRUCTION OF THE STATUTE OR THE REGULATIONS.
November 29, 2006

Notice
To All Mason Contractors and Interested Parties
Regarding Construction Pursuant to Section 31-53 of the
Connecticut General Statutes (Prevailing Wage)

The Connecticut Labor Department Wage and Workplace Standards Division is empowered to enforce the prevailing wage rates on projects covered by the above referenced statute.

Over the past few years the Division has withheld enforcement of the rate in effect for workers who operate a forklift on a prevailing wage rate project due to a potential jurisdictional dispute.

The rate listed in the schedules and in our Occupational Bulletin (see enclosed) has been as follows:

**Forklift Operator:**

- **Laborers (Group 4) Mason Tenders** - operates forklift solely to assist a mason to a maximum height of nine feet only.

- **Power Equipment Operator (Group 9)** - operates forklift to assist any trade and to assist a mason to a height over nine feet.

The U.S. Labor Department conducted a survey of rates in Connecticut but it has not been published and the rate in effect remains as outlined in the above Occupational Bulletin.

*Since this is a classification matter and not one of jurisdiction, effective January 1, 2007 the Connecticut Labor Department will enforce the rate on each schedule in accordance with our statutory authority.*

Your cooperation in filing appropriate and accurate certified payrolls is appreciated.
NOTICE

TO ALL CONTRACTING AGENCIES

Please be advised that Connecticut General Statutes Section 31-53, requires the contracting agency to certify to the Department of Labor, the total dollar amount of work to be done in connection with such public works project, regardless of whether such project consists of one or more contracts.

Please find the attached “Contracting Agency Certification Form” to be completed and returned to the Department of Labor, Wage and Workplace Standards Division, Public Contract Compliance Unit.

Inquiries can be directed to 860.263.6790.
CONNNETICUT DEPARTMENT OF LABOR
WAGE AND WORKPLACE STANDARDS DIVISION

Contracting Agency Certification Form

I, ____________________________, acting in my official capacity as ________________,
Authorized Representative ________________, Title
for ____________________________, located at ____________________________,
Contracting Agency ____________________________, Address

do hereby certify that the total dollar amount of work to be done in connection with
__________________________, located at ____________________________,
Project name and number ____________________________, Address
shall be $______________, which includes all work, regardless of whether such project
contains of one or more contracts.

Contractor Information

Name: _____________________________________________________________
Address: __________________________________________________________
Authorized Representative: ___________________________________________
Approximate Starting Date: __________________________
Approximate Completion Date: __________________________

__________________________  __________________________
Signature Date

Return to:
Connecticut Department of Labor
Wage & Workplace Standards Division
200 Folly Brook Blvd.
Wethersfield, CT 06109

Rate Schedule Issued (Date): ________________
I, __________________________ of __________________________

Officer, Owner, Authorized Rep. Company Name

do hereby certify that the __________________________

Company Name

 __________________________

Street

 __________________________

City

and all of its subcontractors will pay all workers on the

__________________________

Project Name and Number

__________________________

Street and City

the wages as listed in the schedule of prevailing rates required for such project (a copy of which is attached hereto).

__________________________

Signed

Subscribed and sworn to before me this ____________ day of ________________, ______.

__________________________

Notary Public

Return to:
Connecticut Department of Labor
Wage & Workplace Standards Division
200 Folly Brook Blvd.
Wethersfield, CT 06109

Rate Schedule Issued (Date): ___________________
In accordance with Section 31-53b(a) of the C.G.S., each contractor shall provide a copy of the OSHA 10 Hour Construction Safety and Health Card for each employee, to be attached to the first certified payroll on the project.
Section A:

Each person is covered by a worker's compensation insurance policy for the duration of his employment which provides for workers' compensation benefits.

(c) The worker's compensation is paid to all the proceedings in accordance with the workers' compensation insurance policy.

2. The records submitted are true and accurate:

(a) In accordance with Connecticut General Statutes, Section 31-57, as amended, the employer:

1. All persons employed on said project have been paid the full weekly wages earned by them during the work.

Section B:

CERTIFIED STATEMENT OF COMPLIANCE

(1) Wages

(2) Position of Employee

(3) Other (please specify)

For the week ending date of

(please specify)

(if applicable, please specify)

The employer hereby certifies that:

Law (unemployment tax, worker's compensation, income taxes, etc.)

Both of bentys and to approved plans' funds or programs' exempt those required by Federal or State

FRINGE BENEFITS EXPLANATION (P):
## Weekly Payroll Certification For Public Works Projects (Continued)

**PAYROLL CERTIFICATION FOR PUBLIC WORKS PROJECTS**

**WEEKLY PAYROLL**

<table>
<thead>
<tr>
<th>PERIOD/WORKER, ADDRESS and SECTION</th>
<th>APPLANATE</th>
<th>MALE/FEMALE</th>
<th>TRADE</th>
<th>WORK CLASSIFICATION</th>
<th>BASE/HOURLY RATE</th>
<th>TYPE OF BENEFITS</th>
<th>TOTAL DEDUCTION</th>
<th>GROSS PAY</th>
<th>TYPE OF BENEFITS</th>
<th>TOTAL BENEFIT PLAN</th>
<th>FICA WITHHOLDING</th>
<th>FEDERAL WITHHOLDING</th>
<th>STATE WITHHOLDING</th>
<th>OTHER WITHHOLDING</th>
<th>CHECK # AND NET PAY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Person/Worker, Address and Section</td>
<td>Rate %</td>
<td>Male/Female</td>
<td>Trade</td>
<td>Classification</td>
<td>Base Rate</td>
<td>Cash Fringe</td>
<td>Fringe Benefits</td>
<td>Total Deduction</td>
<td>Gross Pay</td>
<td>Total Fringe Benefits</td>
<td>Total Fringe Benefits</td>
<td>FICA</td>
<td>Federal</td>
<td>State</td>
<td>Other</td>
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<td>Total Fringe Benefits</td>
<td>Total Fringe Benefits</td>
<td>FICA</td>
<td>Federal</td>
<td>State</td>
<td>Other</td>
</tr>
</tbody>
</table>

**NOTICE:** THIS PAGE MUST BE ACCOMPANIED BY A COVER PAGE (FORM # WWS-CP1)

*If required,*

12/9/2013

WWS-CP2
In accordance with Connecticut General Statutes, 31-53, certified payrolls shall be submitted monthly to the contracting agency.

PAYROLL CERTIFICATION FOR PUBLIC WORKS PROJECTS

CONTRACTOR NAME AND ADDRESS:
Lamond Corporation, 15 Connecticut Avenue, Northford, CT 06472

PAYROLL NUMBER:

PROJECT NAME & ADDRESS:
DOT 105-256, Route 82

<table>
<thead>
<tr>
<th>PERSON/WORKER</th>
<th>ADDRESS and SECTION</th>
<th>CLASSIFICATION</th>
<th>DAY AND DATE</th>
<th>BASE HOURLY RATE</th>
<th>TYPE OF FRINGE BENEFITS</th>
<th>TOTAL FRINGE BENEFITS</th>
<th>GROSS PAY FOR ALL WORK PERFORMED THIS WEEK</th>
<th>TOTAL DEDUCTIONS</th>
<th>GROSS PAY FOR THIS PREVAILING RATE JOB</th>
<th>CHECK # AND NET PAY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Robert Craft</td>
<td>81 Maple Street</td>
<td>Electrical Lineman</td>
<td>8 8 8 8 8 8</td>
<td>$30.75 1.589</td>
<td>40 2.5. 3.2. 1.589</td>
<td>$1,582.80</td>
<td>P-xxxx $1,582.80</td>
<td>#123</td>
<td>$ xxx.xx</td>
<td></td>
</tr>
<tr>
<td>Ronald Jones</td>
<td>212 Elm Street</td>
<td>Electrical Apprentice</td>
<td>8 8 8 8 8 8</td>
<td>$8.62 5.5</td>
<td>40 2.5. 3.2. 1.589</td>
<td>$1,464.80</td>
<td>O-xxxx $1,464.80</td>
<td>#124</td>
<td>$ xxx.xx</td>
<td></td>
</tr>
<tr>
<td>Franklin T. Smith</td>
<td>234 Washington Rd.</td>
<td>Project Manager</td>
<td>8</td>
<td>$16.63 5.5</td>
<td>8 2.5. 3.2. 1.589</td>
<td>$1,500.00</td>
<td>O-xxxx $1,500.00</td>
<td>#125</td>
<td>xxx.xx</td>
<td></td>
</tr>
</tbody>
</table>

7/13/2009

*IF REQUIRED

*SEE REVERSE SIDE

OSHA 10 - ATTACH CARD TO 1ST CERTIFIED PAYROLL.
The Connecticut Department of Labor has the responsibility to properly determine "job classification" on prevailing wage projects covered under C.G.S. Section 31-53(d).

Note: This information is intended to provide a sample of some occupational classifications for guidance purposes only. It is not an all-inclusive list of each occupation's duties. This list is being provided only to highlight some areas where a contractor may be unclear regarding the proper classification. If unsure, the employer should seek guidelines for CTDOL.

Below are additional clarifications of specific job duties performed for certain classifications:

- **ASBESTOS WORKERS**
  
  Applies all insulating materials, protective coverings, coatings and finishes to all types of mechanical systems.

- **ASBESTOS INSULATOR**
  
  Handle, install apply, fabricate, distribute, prepare, alter, repair, dismantle, heat and frost insulation, including penetration and fire stopping work on all penetration fire stop systems.

- **BOILERMAKERS**
  
  Erects hydro plants, incomplete vessels, steel stacks, storage tanks for water, fuel, etc. Builds incomplete boilers, repairs heat exchanges and steam generators.

- **BRICKLAYERS, CEMENT MASONs, CEMENT FINISHERs, MARBLE MASONs, PLASTERERS, STONE MASONs, PLASTERERS, STONE MASONs, TERRAZZO WORKERS, TILE SETTERS**
  
  Lays building materials such as brick, structural tile and concrete cinder, glass, gypsum, terra cotta block. Cuts, tools and sets marble, sets stone, finishes concrete, applies decorative steel, aluminum and plastic tile, applies cements, sand, pigment and marble chips to floors, stairways, etc.
**CARPENTERS, MILLWRIGHTS, PILEDRIVERMEN, LATHERS, RESILEINT FLOOR LAYERS, DOCK BUILDERS, DIKERS, DIVER TENDERS**

Constructs, erects, installs and repairs structures and fixtures of wood, plywood and wallboard. Installs, assembles, dismantles, moves industrial machinery. Drives piling into ground to provide foundations for structures such as buildings and bridges, retaining walls for earth embankments, such as cofferdams. Fastens wooden, metal or rockboard lath to walls, ceilings and partitions of buildings, acoustical tile layer, concrete form builder. Applies firestopping materials on fire resistive joint systems only. Installation of curtain/window walls only where attached to wood or metal studs. Installation of insulated material of all types whether blown, nailed or attached in other ways to walls, ceilings and floors of buildings. Assembly and installation of modular furniture/furniture systems. Free-standing furniture is not covered. This includes free standing: student chairs, study top desks, book box desks, computer furniture, dictionary stand, atlas stand, wood shelving, two-position information access station, file cabinets, storage cabinets, tables, etc.

**LABORER, CLEANING**

- The clean up of any construction debris and the general (heavy/light) cleaning, including sweeping, wash down, mopping, wiping of the construction facility and its furniture, washing, polishing, and dusting.

**DELIVERY PERSONNEL**

- If delivery of supplies/building materials is to one common point and stockpiled there, prevailing wages are **not required**. If the delivery personnel are involved in the distribution of the material to multiple locations within the construction site then they would have to be paid prevailing wages for the type of work performed: laborer, equipment operator, electrician, ironworker, plumber, etc.

- An example of this would be where delivery of drywall is made to a building and the delivery personnel distribute the drywall from one "stockpile" location to further sub-locations on each floor. Distribution of material around a construction site is the job of a laborer or tradesman, and not a delivery personnel.

**ELECTRICIANS**

Install, erect, maintenance, alteration or repair of any wire, cable, conduit, etc., which generates, transforms, transmits or uses electrical energy for light, heat, power or other purposes, including the installation or maintenance of telecommunication, LAN wiring or computer equipment, and low voltage wiring. *License required per Connecticut General Statutes: E-1,2 L-5,6 C-5,6 T-1,2 L-1,2 V-1,2,7,8,9.*
- **ELEVATOR CONSTRUCTORS**

Install, erect, maintenance and repair of all types of elevators, escalators, dumb waiters and moving walks. *License required by Connecticut General Statutes: R-1,2,5,6.*

- **FORK LIFT OPERATOR**

Laborers Group 4) Mason Tenders - operates forklift solely to assist a mason to a maximum height of nine (9) feet only.

Power Equipment Operator Group 9 - operates forklift to assist any trade, and to assist a mason to a height over nine (9) feet.

- **GLAZIERS**

Glazing wood and metal sash, doors, partitions, and 2 story aluminum storefronts. Installs glass windows, skylights, store fronts and display cases or surfaces such as building fronts, interior walls, ceilings and table tops and metal store fronts. Installation of aluminum window walls and curtain walls is the "joint" work of glaziers and ironworkers, which require equal composite workforce.

- **IRONWORKERS**

Erection, installation and placement of structural steel, precast concrete, miscellaneous iron, ornamental iron, metal curtain wall, rigging and reinforcing steel. Handling, sorting, and installation of reinforcing steel (rebar). Metal bridge rail (traffic), metal bridge handrail, and decorative security fence installation. Installation of aluminum window walls and curtain walls is the "joint" work of glaziers and ironworkers which require equal composite workforce.

- **INSULATOR**

- Installing fire stopping systems/materials for "Penetration Firestop Systems": transit to cables, electrical conduits, insulated pipes, sprinkler pipe penetrations, ductwork behind radiation, electrical cable trays, fire rated pipe penetrations, natural polypropylene, HVAC ducts, plumbing bare metal, telephone and communication wires, and boiler room ceilings.

- **LABORERS**

Acetylene burners, asphalt rakers, chain saw operators, concrete and power buggy operator, concrete saw operator, fence and guard rail erector (except metal bridge rail (traffic), decorative security fence (non-metal).
installation.), hand operated concrete vibrator operator, mason tenders, pipelayers (installation of storm drainage or sewage lines on the street only), pneumatic drill operator, pneumatic gas and electric drill operator, powermen and wagon drill operator, air track operator, block paver, curb setters, blasters, concrete spreaders.

- **PAINTERS**

  Maintenance, preparation, cleaning, blasting (water and sand, etc.), painting or application of any protective coatings of every description on all bridges and appurtenances of highways, roadways, and railroads. Painting, decorating, hardwood finishing, paper hanging, sign writing, scenic art work and drywall hhg for any and all types of building and residential work.

- **LEAD PAINT REMOVAL**

  - Painter’s Rate
    1. Removal of lead paint from bridges.
    2. Removal of lead paint as preparation of any surface to be repainted.
    3. Where removal is on a Demolition project prior to reconstruction.
  - Laborer’s Rate
    1. Removal of lead paint from any surface NOT to be repainted.
    2. Where removal is on a TOTAL Demolition project only.

- **PLUMBERS AND PIPEFITTERS**

  Installation, repair, replacement, alteration or maintenance of all plumbing, heating, cooling and piping. *License required per Connecticut General Statutes: P-1,2,6,7,8,9 J-1,2,3,4 SP-1,2 S-1,2,3,4,5,6,7,8 B-1,2,3,4 D-1,2,3,4.

- **POWER EQUIPMENT OPERATORS**

  Operates several types of power construction equipment such as compressors, pumps, hoists, derricks, cranes, shovels, tractors, scrapers or motor graders, etc. Repairs and maintains equipment. *License required, crane operators only, per Connecticut General Statutes.*

- **ROOFERS**

  Covers roofs with composition shingles or sheets, wood shingles, slate or asphalt and gravel to waterproof roofs, including preparation of surface. (demolition or removal of any type of roofing and or clean-up of any and all areas where a roof is to be relaid.)
• **SHEETMETAL WORKERS**

Fabricate, assembles, installs and repairs sheetmetal products and equipment in such areas as ventilation, air-conditioning, warm air heating, restaurant equipment, architectural sheet metal work, sheetmetal roofing, and aluminum gutters. Fabrication, handling, assembling, erecting, altering, repairing, etc. of coated metal material panels and composite metal material panels when used on building exteriors and interiors as soffits, facia, louvers, partitions, canopies, cornice, column covers, awnings, beam covers, cladding, sun shades, lighting troughs, spires, ornamental roofing, metal ceilings, mansards, copings, ornamental and ventilation hoods, vertical and horizontal siding panels, trim, etc. The sheet metal classification also applies to the vast variety of coated metal material panels and composite metal material panels that have evolved over the years as an alternative to conventional ferrous and non-ferrous metals like steel, iron, tin, copper, brass, bronze, aluminum, etc. Fabrication, handling, assembling, erecting, altering, repairing, etc. of architectural metal roof, standing seam roof, composite metal roof, metal and composite bathroom/toilet partitions, aluminum gutters, metal and composite lockers and shelving, kitchen equipment, and walk-in coolers. To include testing and air –balancing ancillary to installation and construction.

• **SPRINKLER FITTERS**

Installation, alteration, maintenance and repair of fire protection sprinkler systems. *License required per Connecticut General Statutes: F-1,2,3,4.*

• **TILE MARBLE AND TERRAZZO FINISHERS**

Assists and tends the tile setter, marble mason and terrazzo worker in the performance of their duties.

• **TRUCK DRIVERS**

~How to pay truck drivers delivering asphalt is under **REVISION**~

Truck Drivers are requires to be paid prevailing wage for time spent "working" directly on the site. These drivers remain covered by the prevailing wage for any time spent transporting between the actual construction location and facilities (such as fabrication, plants, mobile factories, batch plant, borrow pits, job headquarters, tool yards, etc.) dedicated exclusively, or nearly so, to performance of the contract or project, which are so located in proximity to the actual construction location that it is reasonable to include them. *License required, drivers only, per Connecticut General Statutes.*
For example:

- Material men and deliverymen are not covered under prevailing wage as long as they are not directly involved in the construction process. If they unload the material, they would then be covered by prevailing wage for the classification they are performing work in: laborer, equipment operator, etc.
- Hauling material off site is not covered provided they are not dumping it at a location outlined above.
- Driving a truck on site and moving equipment or materials on site would be considered covered work, as this is part of the construction process.

Any questions regarding the proper classification should be directed to:
Public Contract Compliance Unit
Wage and Workplace Standards Division
Connecticut Department of Labor
200 Folly Brook Blvd, Wethersfield, CT 06109
(860) 263-6790.
Connecticut Department of Labor
Wage and Workplace Standards Division
FOOTNOTES

✧ Please Note: If the “Benefits” listed on the schedule for the following occupations includes a letter(s) (+ a or + a+b for instance), refer to the information below.

Benefits to be paid at the appropriate prevailing wage rate for the listed occupation.

If the “Benefits” section for the occupation lists only a dollar amount, disregard the information below.

Bricklayers, Cement Masons, Cement Finishers, Concrete Finishers, Stone Masons
(Building Construction) and
(Residential- Hartford, Middlesex, New Haven, New London and Tolland Counties)

a. Paid Holiday: Employees shall receive 4 hours for Christmas Eve holiday provided the employee works the regularly scheduled day before and after the holiday. Employers may schedule work on Christmas Eve and employees shall receive pay for actual hours worked in addition to holiday pay.

Elevator Constructors: Mechanics


b. Vacation: Employer contributes 8% of basic hourly rate for 5 years or more of service or 6% of basic hourly rate for 6 months to 5 years of service as vacation pay credit.

Glaziers


Power Equipment Operators
(Heavy and Highway Construction & Building Construction)

a. Paid Holidays: New Year’s Day, Good Friday, Memorial Day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day, provided the employee works 3 days during the week in which the holiday falls, if scheduled, and if scheduled, the working day before and the working day after the holiday. Holidays falling on Saturday may be observed on Saturday, or if the employer so elects, on the preceding Friday.
Ironworkers
a. Paid Holiday: Labor Day provided employee has been on the payroll for the 5 consecutive work days prior to Labor Day.

Laborers (Tunnel Construction)
a. Paid Holidays: New Year’s Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day. No employee shall be eligible for holiday pay when he fails, without cause, to work the regular work day preceding the holiday or the regular work day following the holiday.

Roofers
a. Paid Holidays: July 4th, Labor Day, and Christmas Day provided the employee is employed 15 days prior to the holiday.

Sprinkler Fitters
a. Paid Holidays: Memorial Day, July 4th, Labor Day, Thanksgiving Day and Christmas Day, provided the employee has been in the employment of a contractor 20 working days prior to any such paid holiday.

Truck Drivers
(Heavy and Highway Construction & Building Construction)
a. Paid Holidays: New Year’s Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, Christmas day, and Good Friday, provided the employee has at least 31 calendar days of service and works the last scheduled day before and the first scheduled day after the holiday, unless excused.
SECTION 21 0400 - GENERAL CONDITIONS FOR FIRE PROTECTION

PART 1 GENERAL

1.1 RELATED REQUIREMENTS

A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

B. This section applies to certain sections of Division 26, "Electrical," and this section applies to all sections of Division 23, "Mechanical" of this project specification unless specified otherwise in the individual sections.

1.2 DESCRIPTION

A. The General Conditions and Supplementary General Conditions are a part of this Division and are to be considered a part of this Contract.

B. Where items of the General Conditions and Supplementary General Conditions are repeated in other Sections of the Specifications, it is merely intended to qualify or to call particular attention to them. It is not intended that any other parts of the General Conditions and Supplementary General Conditions shall be assumed to be omitted if not repeated therein. This Section applies equally and specifically to all Contractors supplying labor and/or equipment and/or materials as required under each Section of this Division. Where conflicts exist between the drawings and the specifications or between this section of the specifications and other sections, the more stringent or higher cost option shall apply.

1.3 INTENT

A. It is the intent of the Specifications and Drawings to call for finished work, tested and ready for operation.

B. Any apparatus, appliance, material or work not shown on drawings but mentioned in the specifications, or vice versa, or any incidental accessories necessary to make the work complete and perfect in all respects and ready for operation as determined by good trade practice even if not particularly specified, shall be furnished, delivered and installed under their respective Divisions without any additional expense to the Owner.

C. Minor details not usually shown or specified but necessary for proper installation and operation shall be included in the work as though they were hereinafter shown or specified.

D. Work under each Section shall include giving written notice to the Owner and Engineer of any materials or apparatus believed inadequate or unsuitable; in violation of laws, ordinances, rules or regulations of authorities having jurisdiction; and any necessary items of work omitted. In the absence of such written notice, it is mutually agreed that work under each Section includes the cost of all required items for the accepted, satisfactory functioning of the entire system without extra compensation.
1.4 DEFINITIONS

A. Approve: The term "approved," where used in conjunction with the Engineer's action on the Contractor's submittals, applications, and requests, is limited to the Engineer's duties and responsibilities as stated in General and Supplementary Conditions.

B. “Approved equal” mean any product which in the opinion of the Engineer is equal in quality, arrangement, appearance, and performance to the product specified.

C. Directed: Terms such as "directed," "requested," "authorized," "selected," "approved," "required," and "permitted" mean "directed by the Engineer," "requested by the Engineer," and similar phrases.

D. “Finished” refers to all rooms and areas to be specified to receive architectural treatment as indicated on the drawings. All rooms and areas not covered, including underground tunnels and areas above ceilings shall be considered not finished, unless otherwise noted.

E. “Furnish” or “supply” shall mean purchase, deliver to, and off-load at the job site, ready to be installed including where appropriate all necessary interim storage and protection.

F. Indicated: The term "indicated" refers to graphic representations, notes, or schedules on the Drawings, other paragraphs or schedules in the Specifications, and similar requirements in the Contract Documents. Where terms such as "shown," "noted," "scheduled," and "specified" are used, it is to help the reader locate the reference; no limitation on location is intended.

G. “Install” shall mean set in place complete with all mounting facilities and connections as necessary ready for normal use or service.

H. “Product” shall mean any item of equipment, material, fixture, apparatus, appliance or accessory installed under this Division.

I. “Provide” shall mean furnish (or supply) and install as necessary.

J. Regulation: The term "Regulations" includes laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, as well as rules, conventions, and agreements within the construction industry that control performance of the Work.

K. Remove: The term “remove” means “to disconnect from its present position, remove from the premises and to dispose of in a legal manner.”

L. Special Warranties: The term “Special Warranties” are written warranties required by or incorporated in the Contract Documents, either to extend time limits provided by standard warranties or to provide greater rights for the Owner.

M. Standard Product Warranties: The term “Standard Product Warranties” are preprinted written warranties published by individual manufacturers for particular products and are specifically endorsed by the manufacturer to the Owner.
N. “Subcontractor” means specifically the subcontractor working under this Division. Other Contractors are specifically designated “Plumbing Subcontractor”, “General Contractor” and so on. Note: Take care to ascertain limits of responsibility for connecting equipment which requires connections by two or more trades.

O. Substitutions: Requests for changes in products, materials, equipment, and methods of construction proposed by the Contractor are considered requests for "substitutions."

P. “Wiring” shall mean cable assembly, raceway, conductors, fittings and any other necessary accessories to make a complete wiring system.

1.5 DRAWINGS

A. Drawings are diagrammatic and indicate the general arrangement of systems and work included in the Contract. Consult the Architectural Drawings and Details for exact location of fixtures and equipment; where same are not definitely located, obtain this information from the Architect. (Do not scale the drawings)

B. Work under each Section shall closely follow Drawings in layout of work; check Drawings of other Divisions to verify spaces in which work will be installed. Maintain maximum headroom; where space conditions appear inadequate, Owner and Engineer shall be notified before proceeding with installations.

C. The Owner may, without extra charge, make reasonable modifications in the layout as needed to prevent conflict with work of other trades and/or for proper execution of the work.

D. Where variances occur between the Drawings and Specifications or within either of the Documents, the item or arrangement of better quality, shall be included in the Contract price. The Owner and Engineer shall decide on the item and the manner in which the work shall be installed.

1.6 SURVEYS AND MEASUREMENTS

A. Before submitting his Bid, the Contractors shall visit the site and become thoroughly familiar with all existing conditions under which work will be installed. This Contract includes all modifications of existing systems required for the installation of new equipment. This Contract includes all necessary offsets, transitions and modifications required to install all new equipment in existing spaces. All new and existing equipment and systems shall be fully operational under this Contract before the job is considered complete. The Contractors shall be held responsible for any assumptions he makes, any omissions or errors he makes as a result of his failure to become fully familiar with the existing conditions at the site and the Contract Documents.

B. The Contractor shall base all measurements, both horizontal and vertical, from established bench marks. All work shall agree with these established lines and levels. Verify all measurements at the site and check the correctness of same as related to the work.
C. Should the Contractor discover any discrepancies between actual measurements and those indicated which prevent following good practice or which interfere with the intent of the Drawings and Specifications, the Engineer will be notified and work will not proceed until instructions from the Engineer are received.

1.7 CODES AND STANDARDS

A. Reference Standard Compliance
   1. Where equipment or materials are specified to conform to industry and technical society reference standards of the organizations such as American National Standards Institute (ANSI), American Society for Testing and Materials (ASTM), National Electrical Manufacturers Association (NEMA), and Underwriters Laboratories Inc. (UL), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance.
   2. Independent Testing Organization Certificate: In lieu of the label or listing indicated above, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Engineer. The certificate shall state that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

B. The Following Codes and Standards listed below apply to all mechanical work. Wherever Codes and/or Standards are mentioned in these Specifications, the latest applicable edition or revision shall be followed:
   - Connecticut State Building Code
   - The International Building Code
   - The International Mechanical Code
   - The International Plumbing Code
   - The International Energy Conservation Code
   - The National Electrical Code
   - NFPA 101 Life Safety
   - ASHRAE 90.1 and International Energy Conservation Code

C. The following Standards shall be used where referenced by the following abbreviations:
   - AABC Associated Air Balance Council
   - ACGIH American Conference of Governmental Industrial Hygienists
   - ADC Air Diffusion Council
   - AGA American Gas Association
   - AIA American Institute of Architects
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<thead>
<tr>
<th>Acronym</th>
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<tr>
<td>AMCA</td>
<td>Air Moving and Conditioning Association</td>
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<tr>
<td>ANSI</td>
<td>American National Standards Institute</td>
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<tr>
<td>API</td>
<td>American Petroleum Institute</td>
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<tr>
<td>ARI</td>
<td>Air Conditioning and Refrigeration Institute</td>
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<tr>
<td>ASHRAE</td>
<td>American Society of Heating, Refrigerating and Air Conditioning Engineers</td>
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<tr>
<td>ASME</td>
<td>American Society of Mechanical Engineers</td>
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<td>ASSE</td>
<td>American Society of Sanitary Engineers</td>
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<td>ASTM</td>
<td>American Society of Testing and Materials</td>
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<td>AWS</td>
<td>American Welding Society</td>
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<td>AWWA</td>
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<td>CSA</td>
<td>Canadian Standards Association</td>
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<td>CISPI</td>
<td>Cast Iron Soil Pipe Institute</td>
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<td>EJMA</td>
<td>Expansion Joint Manufacturing Association</td>
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<td>EPA</td>
<td>Environmental Protection Agency</td>
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<td>FM</td>
<td>Factory Mutual</td>
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<td>FSSC</td>
<td>Federal Specification</td>
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<td>HIS</td>
<td>Hydraulic Institute Standards</td>
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<td>IEEE</td>
<td>Institute of Electrical and Electronics Engineers</td>
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<td>IRI</td>
<td>Industrial Risk Insurers</td>
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<td>ISO</td>
<td>Insurance Services Office</td>
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<td>MCAA</td>
<td>Mechanical Contractors Association of America</td>
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<tr>
<td>NBS</td>
<td>National Bureau of Standards</td>
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<td>NEBB</td>
<td>National Environmental Balancing Bureau</td>
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<td>NEMA</td>
<td>National Electrical Manufacturers Association</td>
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NFPA  National Fire Protection Association
NOFI  National Oil Fuel Institute
NSC  National Safety Council
NSF  National Sanitation Foundation
OSHA  Occupational Safety and Health Administration
PDI  Plumbing and Drainage Institute
SBI  Steel Boiler Industry (Division of Hydronics Institute)
SMACNA  Sheet Metal and Air Conditioning Contractors National Association
STI  Steel Tank Institute
UL  Underwriters’ Laboratories

D. All materials furnished and all work installed shall comply with the rules and recommendations of the NFPA, the requirements of the local utility companies, the recommendations of the fire insurance rating organization having jurisdiction and the requirements of all Governmental departments having jurisdiction.

E. The Contractor shall include in the work, without extra cost to the Owner, any labor, materials, services, apparatus and Drawings in order to comply with all applicable laws, ordinances, rules and regulations, whether shown on Drawings and/or specified or not.

1.8 PERMITS AND FEES

A. The Contractor shall give all necessary notices, obtain all permits; and pay all Government and State sales taxes and fees where applicable, and other costs, including utility connections or extensions in connection with the work, file all necessary Drawings, prepare all documents and obtain all necessary approvals of all Governmental and State departments having jurisdiction, obtain all required certificates of inspection for his work, and deliver a copy to the Owner and Engineer before request for acceptance and final payment for the work.

1.9 EQUIPMENT SUBSTITUTIONS

A. In these Specifications and on the accompanying Drawings, one or more makes of materials, apparatus or appliances may have been specified for use in this installation. This has been done for convenience in fixing the standard of workmanship, finish and design required for installation. The details of workmanship, finish and design, and the guaranteed performance of any material, apparatus or appliance which the Contractor desires to deviate from those mentioned herein shall also conform to these standards.

B. Where no specific make of material, apparatus or appliance is mentioned any first-class product made by a reputable manufacturer may be submitted for the Engineer’s review.
C. Where two or more names are given as equivalents, the Contractor must use the specified item or one of the named equivalents. Where one name only is used and is followed by the words “or approved equal”, the Contractor must use the item named or he may apply for a substitution. Where one name only is used, the Contractor must use that item named.

D. Equipment, material or devices submitted for review as an “equivalent” shall meet the following requirements:
1. The equivalent shall have the same construction features such as, but not limited to:
   a) Material thickness, gauge, weight, density, etc.
   b) Welded, riveted, bolted, etc., construction.
   c) Finish, undercoating, corrosion protection.
2. The equivalent shall perform with the same or better operating efficiency.
3. The equivalent shall be locally represented by the manufacturer for service, parts and technical information.

E. Where the Contractor proposes to deviate from the equipment or materials as hereinafter specified, he shall do so by making a request in writing. The Contractor shall state in his request the amount of credit or extra cost involved. A copy of said request shall be included in the Mechanical Base Bid with manufacturer’s equipment cuts. The Base Bid shall be based on using the materials and equipment as specified with no exceptions.

F. Where the Contractor proposes to use an item of equipment other than specified or detailed on the Drawings which requires any redesign of the structure, partitions, foundations, piping, wiring or any other part of the mechanical, electrical or architectural layout, all such redesign and all new drawings and detailing required therefore shall be prepared by the Designers of Record at the expense of the Contractor and at no additional cost to the Owner.

G. Where such accepted substitution requires a different quantity and arrangement of piping, ductwork, valves, pumps, insulation, wiring, conduit and equipment from that specified or indicated on the Drawings, the Contractor shall, with the acceptance by the Engineer, furnish and install any such additional equipment required by the system at no additional cost to the Owner, including any costs added to other trades due to the substitution.

H. Equipment, material or devices submitted for review as a “substitution” shall meet the following requirements:
1. Substitution Request Submittal: Requests for substitution will be considered if received in writing 14 days before the bid date. Requests received later than 14 days before the bid date may be considered or rejected at the discretion of the Engineer.
   a. Submit three (3) copies of each request for substitution for consideration.
   b. Identify the product, or the fabrication or installation method to be replaced in each request. Include related Specification Section and Drawing numbers. Provide complete documentation showing compliance with the requirements for substitutions, and the following information, as appropriate:
      1) Product Data, including Drawings and descriptions of products, fabrication and installation procedures.
2) Samples, where applicable or requested.
3) A detailed comparison of significant qualities of the proposed substitution with those of the Work specified. Significant qualities may include elements such as size, weight, durability, performance and visual effect.
4) Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by the Owner and separate Contractors, that will become necessary to accommodate the proposed substitution.
5) A statement indicating the substitution's effect on the Contractor's Construction Schedule compared to the schedule without approval of the substitution. Indicate the effect of the proposed substitution on overall Contract Time.
6) Cost information, including a proposal of the net change, if any in the Contract Sum.
7) Certification by the Contractor that the substitution proposed is equal-to or better in every significant respect to that required by the Contract Documents, and that it will perform adequately in the application indicated. Include the Contractor's waiver of rights to additional payment or time, that may subsequently become necessary because of the failure of the substitution to perform adequately.

2. Engineer's Action: Within one week of receipt of the request for substitution, the Engineer will notify the Contractor of acceptance or rejection of the proposed substitution. If a decision on use of a proposed substitute cannot be made or obtained within the time allocated, use the product specified by name. Acceptance of a product substitution will be in the form of an Addendum.

3. Other Conditions: The Contractor's substitution request will be received and considered by the Engineer when one or more of the following conditions are satisfied, as determined by the Engineer; otherwise requests will be returned without action except to record noncompliance with these requirements.
   a. The specified product or method of construction cannot be provided within the Contract Time. The request will not be considered if the product or method cannot be provided as a result of failure to pursue the Work promptly or coordinate activities properly.
   b. A substantial advantage is offered to the Owner, in terms of cost, time, energy conservation or other considerations of merit, after deducting offsetting responsibilities the Owner may be required to bear. Additional responsibilities for the Owner may include additional compensation to the Engineer for redesign and evaluation services, increased cost of other construction by the Owner or separate Contractors, and similar considerations.
1.10 SUBMITTAL PROCEDURES

A. Provide Submittals in accordance with the requirements of Division 1 and as indicated in the following.

B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities. Transmit each submittal sufficiently in advance of performance of related construction activities to avoid delay.
   1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals and related activities that require sequential activity.
   2. Coordinate transmittal of different types of submittals for related elements of the Work so processing will not be delayed by the need to review submittals concurrently for coordination. The Engineer reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.

C. Processing: Allow sufficient review time so that installation will not be delayed as a result of the time required to process submittals, including time for re-submittals.
   1. Allow two weeks for initial review. Allow additional time if processing must be delayed to permit coordination with subsequent submittals. The Engineer will promptly advise the Contractor when a submittal being processed must be delayed for coordination.
   2. If an intermediate submittal is necessary, process the same as the initial submittal.
   3. Allow two weeks for reprocessing each submittal.
   4. No extension of Contract Time will be authorized because of failure to transmit submittals to the Engineer sufficiently in advance of the Work to permit processing.

D. Submittal Preparation: Place a permanent label or title block on each submittal for identification. Indicate the name of the entity that prepared each submittal on the label or title block. Submittals shall be arranged in order of specification sections.
   1. Include the following information on the label for processing and recording action taken.
      a. Project name.
      b. Date.
      c. Name and address of Engineer.
      d. Name and address of Contractor.
      e. Name and address of subcontractor.
      f. Name and address of supplier.
      g. Name of manufacturer.
      h. Number, title and paragraph of appropriate Specification Section.
      i. Drawing number and detail references, as appropriate.

E. Submittal Transmittal: Package each submittal appropriately for transmittal and handling. Transmit each submittal from Contractor to Engineer using a transmittal form. Submittals received from sources other than the Contractor will be returned without action. On the transmittal, record relevant information and requests for data. On the form, or separate sheet, record deviations from Contract Document requirements,
including minor variations and limitations. Include Contractor's certification that information complies with Contract Document requirements.

F. Except for submittals for record, information or similar purposes, the Engineer will review each submittal, mark to indicate action taken, and return promptly. Compliance with specified characteristics is the Contractor's responsibility.

G. Action Stamp: The Engineer will stamp each submittal with a uniform, self-explanatory action stamp. The stamp will be appropriately marked, to indicate the action taken.

1.11 SHOP DRAWINGS

A. Submit neatly prepared information, drawn to accurate scale. Highlight, encircle, or otherwise indicate deviations from the Contract Documents. Do not reproduce Contract Documents or copy standard information as the basis of Shop Drawings. Standard information prepared without specific reference to the Project is not considered Shop Drawings.

B. The Contractor shall submit for review detailed shop drawings of all equipment and material specified in each section and coordinated ductwork layouts. No material or equipment may be delivered to the job site or installed until the Contractor has received shop drawings for the particular material or equipment which have been properly reviewed. Shop drawings shall be submitted within 60 days after award of Contract before any material or equipment is purchased. The Contractor shall submit for review copies of all shop drawings to be incorporated in the Mechanical Contract. Refer to Division 1 for the quantity of copies required for submission. Where quantities are not specified, provide seven (7) copies for review.

C. Provide shop drawings for all devices specified under equipment specifications for all systems. Shop drawings shall include manufacturers’ names, catalog numbers, cuts, diagrams, dimensions, identification of products and materials included, compliance with specified standards, notation of coordination requirements, notation of dimensions established by field measurement and other such descriptive data as may be required to identify and accept the equipment. A complete list in each category (example: all fixtures), of all shop drawings, catalog cuts, material lists, etc., shall be submitted to the Engineer at one time. No consideration will be given to a partial shop drawing submittal.

D. When a submittal could involve more than one trade, e.g., valves, piping, etc., the submitted shall be separated by traded involved, ie. HVAC, plumbing, fire protection, etc.

E. Where multiple quantities or types of equipment are being submitted, provide a cover sheet (with a list of contents) on the submittal identifying the equipment or material being submitted.

F. The Contractor shall furnish all necessary templates, patterns, etc., for installation work and for the purpose of making adjoining work conform; furnish setting plans and shop details to other trades as required.

G. “No Exception Taken” rendered on shop drawings shall not be considered as a guarantee of measurements or building conditions. Where drawings are reviewed, review does not
mean that drawings have been checked in detail; said approval does not in any way relieve the Contractor from his responsibility or necessity of furnishing material or performing work as required by the Contract Drawings and Specifications. Verify available space prior to submitting shop drawings. Review of shop drawings shall not apply to quantity of material.

H. After shop drawings have been reviewed, with no exceptions taken, no further changes will be allowed without the written consent of the Engineer.

I. Shop Drawings shall be submitted and approved prior to installation.

J. Shop drawing submittal sheets which may show items that are not being furnished shall have those items crossed off to clearly indicate which items will be furnished.

K. Bidders shall not rely on any verbal clarification of the Drawings and/or Specifications. Any questions shall be referred to the Engineer in writing at least five (5) working days prior to Bidding to allow for issuance of an Addendum.

L. Do not use Shop Drawings without an appropriate final stamp indicating action taken in connection with construction.

M. Prepare sheetmetal and sprinkler shop drawings drawn in the latest REVIT version to a minimum scale of 1/4” = 1’-0”. Final approved drawings shall be turned over to the Owner on floppy disk or CD Rom.

1.12 COORDINATION DRAWINGS

A. Prepare coordination drawings drawn in the latest REVIT version in accordance with Division 1 to a minimum scale of 1/4”=1'-0” detailing major elements, components, and systems of mechanical equipment and materials in relationship with other systems, installations, and building components. Indicate locations where space is limited for installation and access and where sequencing and coordination of installations are of importance to the efficient flow of the Work, including (but not necessarily limited to) the following:

1. The Contractor shall indicate the proposed locations of piping, conduit, ductwork, equipment, and materials. Include the following:
   a. Clearances for servicing and maintaining equipment, including tube removal, filter removal, and space for equipment disassembly required for periodic maintenance.
   b. Equipment connections and support details.
   c. Exterior wall and foundation penetrations.
   d. Fire-rated wall and floor penetrations.
   e. Sizes and locations of required concrete pads and bases.

B. Indicate scheduling, sequencing, movement, and positioning of large equipment into the building during construction.

C. Prepare floor plans, elevations, and details to indicate penetrations in floors, walls, and ceilings and their relationship to other penetrations and installations.
D. Prepare reflected ceiling plans to coordinate and integrate installations, air outlets and inlets, light fixtures, communication systems components, sprinklers, and other ceiling-mounted items.

E. The Contractor and each subcontractor shall sign and date each coordination drawing prior to submission.

F. Work shall not be performed until coordination drawings have been approved by the architect and engineer.

G. Electronic copies of the MEP floor plans are available to use as a basis for preparing coordination drawings and can be provided by the Engineer. If the Contractor elects to obtain the Engineers electronic files a REVIT File Release Form must be submitted with payment. This form must be signed by the Contractor, Owner, and Architect. Upon receipt of a signed copy of the REVIT File Release Form, and payment, the Engineer will provide copies of the electronic files for the Contractor’s use. A copy of the REVIT File Release Form is appended to the end of this specification section

1.13 COORDINATION WITH OTHER DIVISIONS

A. All work shall be carried out in conjunction with other trades and full cooperation shall be given in order that all work may proceed with a minimum of delay and interference. Particular emphasis is placed on timely installation of major apparatus and furnishing other Contractors, especially the Contractor or Construction Manager, with information as to openings, chases, sleeves, bases, inserts, equipment locations, panels, etc., required by other trades.

B. The Contractors are required to examine all of the Project Drawings and mutually arrange work so as to avoid interference with the work of other trades. In general, ductwork, HVAC piping, sprinkler piping and drainage lines take precedence over water, gas and electrical conduits. The Engineer shall make final decisions regarding the arrangement of work which cannot be agreed upon by the Contractors.

C. Where the work of the Contractor will be installed in close proximity to or will interfere with work of other trades, the Contractors will cooperate in working out space conditions to make a satisfactory adjustment.

D. If the work under a Section is installed before coordinating with other Divisions or Sections or so as to cause interference with work of other Sections, the necessary changes to correct the condition shall be made by the Contractor causing the interference without extra charge to the Owner.
1.14 WORKMANSHIP

A. Service Support: The equipment items shall be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

B. Modification of References: In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears.

C. The Contractor shall furnish the services of an experienced superintendent who shall be constantly in charge of the installation of the work together with all skilled workmen, fitters, metal workers, welders, helpers and laborers required to unload, transfer, erect, connect, adjust, start, operate and test each system.

D. Unless otherwise specifically indicated on the Drawings or Specifications, all equipment and materials shall be installed with the acceptance of the Engineer and in accordance with the recommendations of the manufacturer. This includes the performance of such tests as the manufacturer recommends.

E. All labor for installation of mechanical systems shall be performed by experienced, skilled tradesmen under the supervision of a licensed journeyman foreman. All work shall be of a quality consistent with good trade practice and shall be installed in a neat, workmanlike manner. The Engineer reserves the right to reject any work which, in his opinion, has been installed in a substandard, dangerous or unserviceable manner. The Contractor shall replace said work in a satisfactory manner at no extra cost to the Owner.

1.15 SHUTDOWNS

A. When installation of a new system requires the temporary shutdown of an existing operating system, the connection of the new system shall be performed at such time as designated by the Owner.

B. The Engineer and the Owner shall be notified in writing of the estimated duration of the shutdown period at least ten (10) days in advance of the date the work is to be performed.

C. Work shall be arranged for continuous performance whenever possible. The Contractor shall provide all necessary labor, including overtime if required, to assure that existing operating services will be shut down only during the time actually required to make necessary connections.

1.16 TEMPORARY UTILITIES

A. General: Provide new materials and equipment; if acceptable to the Engineer, undamaged previously used materials in serviceable condition may be used. Provide materials suitable for the use intended.
B. Conditions of Use: Keep temporary services and facilities clean and neat in appearance. Operate in a safe and efficient manner. Take necessary fire prevention measures. Do not overload facilities, or permit them to interfere with progress. Do not allow hazardous dangerous or unsanitary conditions, or public nuisances to develop or persist on the site.

C. First Aid Supplies: Comply with governing regulations.

D. Fire Extinguishers: Provide hand-carried, portable UL-rated, class "A" fire extinguishers for temporary offices and similar spaces. In other locations provide hand-carried, portable, UL-rated, class "ABC" dry chemical extinguishers, or a combination of extinguishers of NFPA recommended classes for the exposures.

E. Utilities: Engage the appropriate local utility company to install temporary service or connect to existing service. Where the company provides only part of the service, provide the remainder with matching, compatible materials and equipment; comply with the company's recommendations.
   1. Use Charges: Cost or use charges for temporary facilities are not chargeable to the Owner or Engineer, and will not be accepted as a basis of claims for a Change Order.

F. Water Service: Install water service and distribution piping of sizes and pressures adequate for construction until permanent water service is in use.

G. Temporary Heat-Cool-Dehumidification: Provide temporary services required by construction activities, for curing or drying of completed installations or protection of installed construction from adverse effects of low temperatures or high humidity. Select safe equipment that will not have a harmful effect on completed installations or elements being installed. Coordinate temporary services to produce the ambient condition required and minimize consumption of energy. The building's permanent HVAC systems shall not be used for these purposes.

H. Environmental Protection: Provide protection, operate temporary facilities and conduct construction in ways and by methods that comply with environmental regulations, and minimize the possibility that air, waterways and subsoil might be contaminated or polluted, or that other undesirable effects might result. Avoid use of tools and equipment which produce harmful noise. Restrict use of noise making tools and equipment to hours that will minimize complaints from persons or firms near the site.

I. Termination and Removal: Unless the Engineer requires that it be maintained longer, remove each temporary facility when the need has ended, or when replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with the temporary facility. Repair damaged Work, clean exposed surfaces and replace construction that cannot be satisfactorily repaired. Materials and facilities that constitute temporary facilities are property of the Contractor. The Owner reserves the right to take possession of Project identification signs.
1.17 PROJECT PHASING

A. Work under each Section shall include all necessary temporary connections, equipment, piping, heating, temperature control work, fire stopping, water heaters, labor, and material as necessary to accommodate the phasing of Construction as developed by the General Contractor or Construction Manager and approved by the Owner. All existing systems that pass-thru an area of the building shall remain operational during all phases of construction. No extra compensation shall be granted the Contractor for work required to maintain existing systems operational or to accommodate the construction phasing of the project.

1.18 PROTECTION OF MATERIALS AND EQUIPMENT

A. Work under each Section shall include protecting the work and material of all other Sections from damage by work or workmen and shall include making good all damage thus caused.

B. The Contractor shall be responsible for work and equipment until the facility has been accepted by the Owner. Protect work against theft, injury or damage and carefully store material and equipment received on site which is not immediately installed. Close open ends of work with temporary covers or plugs during construction to prevent entry of foreign material.

C. Work under each Section includes receiving, unloading, uncrating, storing, protecting, setting in place and completely connecting equipment supplied under each Section. Work under each Section shall also include exercising special care in handling and protecting equipment and fixtures, and shall include the cost of replacing any of the equipment and fixtures which are missing or damaged.

D. Equipment and material stored on the job site shall be protected from the weather, vehicles, dirt and/or damage by workmen or machinery. Insure that all electrical or absorbent equipment or material is protected from moisture during storage.

1.19 ADJUSTING AND TESTING

A. After all the equipment and accessories to be furnished are in place, they shall be put in final adjustment and subjected to such operating tests so as to assure the Engineer that they are in proper adjustment and in satisfactory, permanent operating condition.

B. Where requested by the Engineer, a factory-trained service representative shall inspect the installation and assist in the initial startup and adjustment to the equipment. The period of these services shall be for such time as necessary to secure proper installation and adjustments. After the equipment is placed in permanent operation, the service representative shall supervise the initial operation of the equipment and instruct personnel responsible for operation and maintenance of the equipment. The service representative shall notify the Contractor in writing, that the equipment was installed according to manufacturers recommendations and is operating as intended by the manufacturer.
1.20 CLEANING

A. The Contractor shall thoroughly clean and flush all piping, ducts (including ETR ducts) and equipment of all foreign substances, oils, burrs, solder, flux, etc., inside and out before being placed in operation.

B. If any part of a system should be stopped or damaged by any foreign matter after being placed in operation, the system shall be disconnected, cleaned and reconnected wherever necessary to locate and/or remove obstructions. Any work damaged in the course of removing obstructions shall be repaired or replaced when the system is reconnected at no additional cost to the Owner.

C. During the course of construction, all ducts (including ETR ducts) and pipes shall be capped in an acceptable manner to insure adequate protection against the entrance of foreign matter.

D. Upon completion of all work under the Contract, the Contractor shall remove from the premises all rubbish, debris and excess materials left over from his work. Any oil or grease stains on floor areas caused by the Contractor shall be removed and floor areas left clean.

E. Complete the following cleaning operations before requesting inspection for Certification of Substantial Completion.
   1. Remove labels that are not permanent labels.
   2. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compound and other substances that are noticeable vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials.
   3. Clean exposed exterior and interior hard-surfaced finishes to a dust-free condition, free of stains, films and similar foreign substances. Restore reflective surfaces to their original reflective condition. Leave concrete floors broom clean. Vacuum carpeted surfaces.

F. Comply with regulations of authorities having jurisdiction and safety standards for cleaning. Do not burn waste materials. Do not bury debris or excess materials on the Owner's property. Do not discharge volatile, harmful or dangerous materials into drainage systems. Remove and dispose of ALL waste materials, packaging material, skids etc. from the site and dispose of in a lawful manner in accordance with municipal, state and federal regulations.

G. Where extra materials of value remaining after completion of associated Work have become the Owner's property, arrange for disposition of these materials as directed.
1.21 OPERATING AND MAINTENANCE

A. Upon completion of all work and tests, the Contractor shall furnish the necessary skilled labor and helpers for operating his system and equipment for a period specified under each applicable Section of this Division. During this period, he shall fully instruct the Owner or the Owner’s representative in the operation, adjustment and maintenance of all equipment furnished. The Contractor shall give at least seven (7) days notice to the Owner and the Engineer in advance of this period.

B. The Contractor shall include the maintenance schedule for the principal items of equipment furnished under this Division.

C. The Contractor shall physically demonstrate procedures for all routine maintenance of all equipment furnished under each respective Section to assure accessibility to all devices.

D. An authorized manufacturer’s representative shall attest in writing that the equipment has been properly installed prior to startup of any major equipment. The following equipment will require this inspection: pumps; air conditioning equipment, controls, air handling equipment, compressors, boilers etc. These letters shall be bound into the operating and maintenance books.

E. Refer to individual trade Sections for any other particular requirements related to operating instructions.

F. Demonstration shall be recorded on VHS audio/video tape with two (2) tapes turned over to the Owner.

1.22 OPERATING AND MAINTENANCE MANUALS

A. Prepare operating and maintenance manuals in accordance with the requirements of Division 1 and as follows. The Contractor shall prepare five (5) copies of a complete maintenance and operating instructions manual, bound in booklet form. Organize operating and maintenance data into suitable sets of manageable size. Bind properly indexed data in individual heavy-duty 3-ring vinyl-covered binders, with pocket folders for folded sheet information and designation partitions with identification tabs. Mark appropriate identification on front and spine of each binder.

B. Manual shall include the following:
   1. Description of function, normal operating characteristics and limitations, performance curves, engineering data and tests, and complete nomenclature and commercial numbers of replacement parts.
   2. Manufacturer's printed operating procedures to include start-up, break-in, and routine and normal operating instructions; regulation, control, stopping, shutdown, and emergency instructions; and summer and winter operating instructions.
   3. Maintenance procedures for routine preventative maintenance and troubleshooting; disassembly, repair, and reassembly; aligning and adjusting instructions.
   4. Servicing and operating instructions including lubrication charts and schedules.
   5. Emergency and safety instructions.
6. Spare parts list.
8. Wiring diagrams.
9. Recommended "turn around" cycles.
10. Inspection procedures.
11. Approved Shop Drawings and Product Data.
12. Equipment Start-up Reports.
13. Temperature control diagrams and written sequences of operations.

C. Include in the manual, a tabulated equipment schedule for all equipment. Schedule shall include pertinent data such as: make, model number, serial number, voltage, normal operating current, belt size, filter quantities and sizes, bearing number, etc. Schedule shall include maintenance to be done and frequency.

D. Maintenance and instruction manuals shall be submitted to the Owner at the same time as the seven (7) day notice is given prior to the instruction period.

1.23 ACCEPTANCES

A. The equipment, materials, workmanship, design and arrangement of all work installed under the Mechanical Sections shall be subject to the review of the Engineer.

B. Within 30 days after the awarding of a Contract, the Mechanical Contractor shall submit to the Engineer, for review, a list of manufacturers of equipment proposed for the work under the Mechanical Sections. The intent to use the exact manufacturers and models specified does not relieve the Contractor of the responsibility of submitting such a list.

C. If extensive or unacceptable delivery time is expected on a particular item of equipment specified, the Contractor shall notify the Owner and Engineer, in writing, within 30 days of award of the Contract. In such instances, equipment substitutions may be made pending acceptance by the Engineer or the Owner’s representative.

D. Where any specific material, process or method of construction or manufactured article is specified by reference to the catalog number of a manufacturer, the Specifications are to be used as a guide and are not intended to take precedence over the basic duty and performance specified or noted on the Drawings. In all cases, the Mechanical Contractor shall verify the duty specified with the specific characteristics of the equipment offered for review. Equipment characteristics are to be used as mandatory requirements where the Contractor proposes to use an acceptable equivalent.

E. If material or equipment is installed before it is reviewed and/or approved, the Contractor shall be liable for its removal and replacement at no extra charge to the Owner if, in the opinion of the Engineer, the material or equipment does not meet the intent of, or standard of quality implied by, the Drawings and Specifications.

F. Failure on the part of the Engineer to reject shop drawings or to reject work in progress shall not be interpreted as acceptance of work not in conformance with the Drawings and/or Specifications. Work not in conformance with the Drawings and/or Specifications shall be corrected whenever it is discovered.
1.24 RECORD DRAWINGS

A. General: Do not use record documents for construction purposes; protect from deterioration and loss in a secure, fire-resistant location; provide access to record documents for the Engineer's reference during normal working hours.

B. Maintain a clean, undamaged set of blue or black line white-prints of Contract Drawings and Shop Drawings. Mark the set to show the actual installation where the installation varies substantially from the Work as originally shown. Mark whichever drawing is most capable of showing conditions fully and accurately. Give particular attention to concealed elements that would be difficult to measure and record at a later date. Items to be indicated include but are not limited to:
   1. Dimensional change
   2. Revision to drawing detail
   3. Location and depth of underground utility
   4. Revision to pipe routing
   5. Revision to electrical circuitry
   6. Actual equipment location
   7. Duct size and routing
   8. Location of concealed internal utility
   9. Changes made by Change Order
   10. Details not on original Contract Drawing
   11. Information on concealed elements which would be difficult to identify or measure later

C. Mark record sets with red erasable pencil; use other colors to distinguish between variations in separate categories of the Work.

D. Mark new information that is important to the Owner, but was not shown on Contract Drawings or Shop Drawings.

E. Note related Change Order numbers where applicable.

F. Organize record drawing sheets into manageable sets, bind with durable paper cover sheets, and print suitable titles, dates and other identification on the cover of each set.

G. These shall be clearly marked for Record Drawings on a clean set of reproducible mylar sepia at the completion of the work and turned over to the Owner.

H. Final record documents shall be prepared in the latest AutoCad version and floppy disks or CD Rom of all drawings and a clean set of reproducible mylar sepia shall be turned over to the Owner at the completion of the work.

1.25 WARRANTIES AND BONDS

A. The following general administrative and procedural requirements for warranties and bonds required by the Contract Documents, including manufacturers standard warranties on products and special warranties are to be included:
   1. General close-out requirements included in Division 1.
2. Specific requirements for warranties for the Work and products and installation that are specified to be warranted, are included in the individual Sections of Divisions-2 through -26.

3. Certifications and other commitments and agreements for continuing services to Owner are specified elsewhere in the Contract Documents.

B. Disclaimers and Limitations: Manufacturer's disclaimers and limitations on product warranties do not relieve the Contractor of the warranty on the Work that incorporates the products, nor does it relieve suppliers, manufacturers, and subcontractors required to countersign special warranties with the Contractor.

C. Separate Prime Contracts: Each prime Contractor is responsible for warranties related to its own Contract.

1.26 WARRANTY REQUIREMENTS

A. Related Damages and Losses: When correcting warranted Work that has failed, remove and replace other Work that has been damaged as a result of such failure or that must be removed and replaced to provide access for correction of warranted Work.

B. Reinstatement of Warranty: When Work covered by a warranty has failed and been corrected by replacement or rebuilding, reinstate the warranty by written endorsement. The reinstated warranty shall be equal to the original warranty with an equitable adjustment for depreciation.

C. Replacement Cost: Upon determination that Work covered by a warranty has failed, replace or rebuild the Work to an acceptable condition complying with requirements of Contract Documents. The Contractor is responsible for the cost of replacing or rebuilding defective Work regardless of whether the Owner has benefited from use of the Work through a portion of its anticipated useful service life.

D. Owner's Recourse: Written warranties made to the Owner are in addition to implied warranties, and shall not limit the duties, obligations, right and remedies otherwise available under the law, nor shall warranty periods be interpreted as limitations on time in which the Owner can enforce such other duties, obligations, rights, or remedies.

E. Rejection of Warranties: The Owner reserves the right to reject warranties and to limit selections to products with warranties not in conflict with requirements of the Contract Documents.

F. The Owner reserves the right to refuse to accept Work for the Project where a special warranty, certification, or similar commitment is required on such Work or part of the Work, until evidence is presented that entities required to countersign such commitments are willing to do so.

G. Submit written warranties to the Engineer prior to the date certified for Substantial Completion. If the Engineer's Certificate of Substantial Completion designates a commencement date for warranties other than the date of Substantial Completion for the Work, or a designated portion of the Work, submit written warranties upon request of the Engineer.
H. When a designated portion of the Work is completed and occupied or used by the Owner, by separate agreement with the Contractor during the construction period, submit properly executed warranties to the Engineer within fifteen days of completion of that designated portion of the Work.

I. When a special warranty is required to be executed by the Contractor, or the Contractor and a subcontractor, supplier or manufacturer, prepare a written document that contains appropriate terms and identification, ready for execution by the required parties. Submit a draft to the Owner through the Engineer for approval prior to final execution.
   1. Refer to individual Sections of Divisions-2 through -26 for specific content requirements, and particular requirements for submittal of special warranties.

J. Form of Submittal: At Final Completion compile two copies of each required warranty and bond properly executed by the Contractor, or by the Contractor, subcontractor, supplier, or manufacturer. Organize the warranty documents into an orderly sequence based on the table of contents of the Project Manual.

K. Bind warranties and bonds in heavy-duty, commercial quality, durable 3-ring vinyl covered loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2" by 11" paper.
   1. Provide heavy paper dividers with celluloid covered tabs for each separate warranty. Mark the tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product, and the name, address and telephone number of the installer.
   2. Identify each binder on the front and the spine with the typed or printed title "WARRANTIES AND BONDS," the Project title or name, and the name of the Contractor.
   3. When operating and maintenance manuals are required for warranted construction, provide additional copies of each required warranty, as necessary, for inclusion in each required manual.

1.27 GUARANTEES

A. The Contractor shall guarantee all material and workmanship under these Specifications and the Contract for a period of one (1) year from the date of final acceptance by Owner. During this guarantee period, all defects developing through faulty equipment, materials or workmanship shall be corrected or replaced immediately by this Contractor without expense to the Owner. Such repairs or replacements shall be made to the Engineer's satisfaction.

B. Contractor shall provide name, address, and phone number of all contractors and subcontractors and associated equipment they provided.

1.28 PROJECT CLOSE-OUT

A. Submit specific warranties, workmanship bonds, maintenance agreements, final certifications and similar documents in accordance with Division 1.

B. Deliver tools, spare parts, extra stock, and similar items.
C. Complete start-up testing of systems, and instruction of the Owner’s operating and maintenance personnel. Discontinue or change over and remove temporary facilities from the site, along with construction tools, mock-ups, and similar elements.

D. Complete final clean up requirements, including touch-up painting. Touch-up and otherwise repair and restore marred exposed finishes.

E. Field Observation Procedures: On receipt of a request for an Engineers Field Observation, the Engineer will advise the Contractor of unfulfilled requirements. The Engineer will advise the Contractor of construction that must be completed or corrected before the certificate will be issued.
   1. The Engineer will repeat the Field Observation when requested and assured that the Work has been substantially completed.
   2. Results of the completed list of unfulfilled items will form the basis of requirements for final acceptance.

END OF SECTION 21 0400
REVIT File Release Form

DELIVERY OF REVIT FILES FOR: ________________________________________

Project Name

In accepting and utilizing any drawings or other data on any form of electronic media generated and provided by the Design Professional, the Client covenants and agrees that all such drawings and data are instruments of service of the Design Professional, who shall be deemed the author of the drawings and data, and shall retain all common law, statutory law and other rights, including copyrights.

The Client further agrees not to use these drawings and data, in whole or in part, for any purpose or project other than the project which is the subject of this Agreement. The Client agrees to waive all claims against the Design Professional resulting in any way from any unauthorized changes or reuse of the drawings and data for any other project by anyone other than the Design Professional.

In addition, the Client agrees, to the fullest extent permitted by law, to indemnify and hold the Design Professional harmless from any damage, liability or cost, including reasonable attorneys’ fees and costs of defense, arising from any changes made by anyone other than the Design Professional or from any reuse of the drawings and data without the prior written consent of the Design Professional.

Under no circumstances shall transfer of the drawings and other instruments of service on electronic media for use by the Client be deemed a sale by the Design Professional, and the Design Professional makes no warranties, either express or implied, of merchantability and fitness for any particular purpose.

_________________________________________  __________________________

Client’s Signature  Date

_________________________________________

Company - Title

_________________________________________

Architects’ Signature  Date

_________________________________________

Firm - Title

_________________________________________

Owner’s Signature  Date

_________________________________________

Company - Title
SECTION 22 0400 - GENERAL CONDITIONS FOR PLUMBING

PART 1 GENERAL

1.1 RELATED REQUIREMENTS

A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

B. This section applies to certain sections of Division 26, "Electrical," and this section applies to all sections of Division 23, "Mechanical" of this project specification unless specified otherwise in the individual sections.

C. The Drawings of other trades (Architectural, Food Service, Structural, Landscape, Civil, Mechanical, Fire Protection and Electrical) shall be examined for coordination and familiarity of work with other Contractors. Any duplication or omission of provisions in this project should be brought to the attention of the Owners prior to Bidding.

1.2 DESCRIPTION

A. The General Conditions and Supplementary General Conditions are a part of this Division and are to be considered a part of this Contract.

B. Where items of the General Conditions and Supplementary General Conditions are repeated in other Sections of the Specifications, it is merely intended to qualify or to call particular attention to them. It is not intended that any other parts of the General Conditions and Supplementary General Conditions shall be assumed to be omitted if not repeated therein. This Section applies equally and specifically to all Contractors supplying labor and/or equipment and/or materials as required under each Section of this Division. Where conflicts exist between the drawings and the specifications or between this section of the specifications and other sections, the more stringent or higher cost option shall apply.

1.3 INTENT

A. It is the intent of the Specifications and Drawings to call for finished work, tested and ready for operation.

B. Any apparatus, appliance, material or work not shown on drawings but mentioned in the specifications, or vice versa, or any incidental accessories necessary to make the work complete and perfect in all respects and ready for operation as determined by good trade practice even if not particularly specified, shall be furnished, delivered and installed under their respective Divisions without any additional expense to the Owner.

C. Minor details not usually shown or specified but necessary for proper installation and operation shall be included in the work as though they were hereinafter shown or specified.

D. Work under each Section shall include giving written notice to the Owner and Engineer of any materials or apparatus believed inadequate or unsuitable; in violation of laws,
ordinances, rules or regulations of authorities having jurisdiction; and any necessary items of work omitted. In the absence of such written notice, it is mutually agreed that work under each Section includes the cost of all required items for the accepted, satisfactory functioning of the entire system without extra compensation.

E. Provide all labor, materials, equipment, and services necessary for and incidental to the complete installation and operation of all plumbing/mechanical work.

F. Unless otherwise specified, all submissions shall be made to, and acceptances and approvals made by Architect and Engineer.

G. Conform to the requirements of all rules, regulations and codes of local, state and federal authorities having jurisdiction.

H. Coordinate the work under Division 22 with the work of all other trades.

I. Be responsible for all construction means, methods, techniques, procedures and phasing sequences used in the work. Furnish all tools, equipment and materials necessary to properly perform the work in first class, substantial, and workmanlike manner, in accordance with the full intent and meaning of the contract drawings.

1.4 DEFINITIONS

A. Approve: The term “approved,” where used in conjunction with the Engineer’s action on the Contractors submittals, applications, and requests, is limited to the Engineer’s duties and responsibilities as stated in General and Supplementary Conditions.

B. No Exceptions Taken – reviewed and determined to be in general conformance with contract documents.

C. “Approved equal” also known as “alternative” mean any product which in the opinion of the Engineer is equal in quality, arrangement, appearance, and performance to the product specified.

D. Directed: Terms such as "directed," "requested," "authorized," "selected," "approved," "required," and "permitted" mean "directed by the Engineer," "requested by the Engineer,“ and similar phrases.

E. “Finished” refers to all rooms and areas to be specified to receive architectural treatment as indicated on the drawings. All rooms and areas not covered, including underground tunnels and areas above ceilings shall be considered not finished, unless otherwise noted.

F. “Furnish” or “supply” shall mean purchase, deliver to, and off-load at the job site, ready to be installed including where appropriate all necessary interim storage and protection.

G. Indicated: The term "indicated" refers to graphic representations, notes, or schedules on the Drawings, other paragraphs or schedules in the Specifications, and similar requirements in the Contract Documents. Where terms such as "shown," "noted," "scheduled," and "specified" are used, it is to help the reader locate the reference; no limitation on location is intended.
H. “Install” shall mean set in place complete with all mounting facilities and connections as necessary ready for normal use or service.

I. "Lead Free" shall mean not more than .25% in the wetted surface area.

J. “Product” shall mean any item of equipment, material, fixture, apparatus, appliance or accessory installed under this Division.

K. “Provide” shall mean furnish (or supply) and install as necessary.

L. Regulation: The term "Regulations" includes laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, as well as rules, conventions, and agreements within the construction industry that control performance of the Work.

M. Remove: The term “remove” means “to disconnect from its present position, remove from the premises and to dispose of in a legal manner.”

N. Special Warranties: The term “Special Warranties” are written warranties required by or incorporated in the Contract Documents, either to extend time limits provided by standard warranties or to provide greater rights for the Owner.

O. Standard Product Warranties: The term “Standard Product Warranties” are preprinted written warranties published by individual manufacturers for particular products and are specifically endorsed by the manufacturer to the Owner.

P. “Subcontractor” means specifically the subcontractor working under this Division. Other Contractors are specifically designated “Plumbing Subcontractor”, “General Contractor” and so on. Note: Take care to ascertain limits of responsibility for connecting equipment which requires connections by two or more trades.

Q. Substitutions: Requests for changes in products, materials, equipment, and methods of construction proposed by the Contractor are considered requests for "substitutions."

R. “Wiring” shall mean cable assembly, raceway, conductors, fittings and any other necessary accessories to make a complete wiring system.

1.5 DRAWINGS

A. Contract drawings are diagrammatic and indicate the general arrangement of all systems and work included in the Contract. All offsets, fittings, transitions and accessories are not necessarily indicated/ shown. Furnish and install all such items as may be required to fit the work to the conditions encountered. Arrange piping, equipment and other work generally as shown on the contract drawings, provide proper clearances and access. Where departures are proposed because of field conditions or other causes, prepare and submit detailed shop drawings for approval in accordance with “Submittals” specified below. The right is reserved to make reasonable changes in location of equipment, piping, fixtures and ductwork, up to the time of rough-in or fabrication. Consult the Architectural Drawings and Details for exact location of fixtures and equipment; where same are not definitely located, obtain this information from the Architect. (Do not scale the drawings).
B. Work under each Section shall closely follow Drawings in layout of work; check Drawings of other Divisions to verify spaces in which work will be installed. Maintain maximum headroom; where space conditions appear inadequate, Owner and Engineer shall be notified before proceeding with installations.

C. The Owner may, without extra charge, make reasonable modifications in the layout as needed to prevent conflict with work of other trades and/or for proper execution of the work.

D. Where variances occur between the Drawings and Specifications or within either of the Documents, the item or arrangement of better quality, shall be included in the Contract price. The Owner and Engineer shall decide on the item and the manner in which the work shall be installed.

1.6 SURVEYS AND MEASUREMENTS

A. Before submitting his Bid, the Contractors shall visit the site and become thoroughly familiar with all existing conditions under which work will be installed. This Contract includes all modifications of existing systems required for the installation of new equipment. This Contract includes all necessary offsets, transitions and modifications required to install all new equipment in existing spaces. All new and existing equipment and systems shall be fully operational under this Contract before the job is considered complete. The Contractors shall be held responsible for any assumptions he makes, any omissions or errors he makes as a result of his failure to become fully familiar with the existing conditions at the site and the Contract Documents.

B. The Contractor shall base all measurements, both horizontal and vertical, from established bench marks. All work shall agree with these established lines and levels. Verify all measurements at the site and check the correctness of same as related to the work.

C. Should the Contractor discover any discrepancies between actual measurements and those indicated which prevent following good practice or which interfere with the intent of the Drawings and Specifications, the Engineer will be notified and work will not proceed until instructions from the Engineer are received.

1.7 CODES AND STANDARDS

A. Reference Standard Compliance

1. Where equipment or materials are specified to conform to industry and technical society reference standards of the organizations such as American National Standards Institute (ANSI), American Society for Testing and Materials (ASTM), National Electrical Manufacturers Association (NEMA), and Underwriters Laboratories Inc. (UL), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance.

2. Independent Testing Organization Certificate: In lieu of the label or listing indicated above, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Engineer. The certificate shall state that the item has been tested in accordance with the specified
organization's test methods and that the item complies with the specified organization's reference standard.

B. The Following Codes and Standards listed below apply to all plumbing work. Wherever Codes and/or Standards are mentioned in these Specifications, the latest applicable edition with all amendments or revision shall be followed:
   Connecticut State Building Code - Connecticut Supplement
   The International Building Code
   The International Mechanical Code
   The International Plumbing Code
   The International Energy Conservation Code
   The National Electrical Code
   NFPA 101 Life Safety
   ASHRAE 90.1 and International Energy Conservation Code

C. The following Standards shall be used where referenced by the following abbreviations:
   ACGIH American Conference of Governmental Industrial Hygienists
   AGA American Gas Association
   AIA American Institute of Architects
   ANSI American National Standards Institute
   API American Petroleum Institute
   ASHRAE American Society of Heating, Refrigerating and Air Conditioning Engineers
   ASME American Society of Mechanical Engineers
   ASPE American Society of Plumbing Engineers
   ASSE American Society of Sanitary Engineers
   ASTM American Society of Testing and Materials
   AWS American Welding Society
   AWWA American Water Works Association
   CGA Compressed Gas Association
   CSA Canadian Standards Association
   CISPI Cast Iron Soil Pipe Institute
   EJMA Expansion Joint Manufacturing Association
   EPA Environmental Protection Agency
   FM Factory Mutual
   FSSC Federal Specification
   HIS Hydraulic Institute Standards
   IEEE Institute of Electrical and Electronics Engineers
   IRI Industrial Risk Insurers
   ISO Insurance Services Office
   MCAA Mechanical Contractors Association of America
   NBS National Bureau of Standards
   NEBB National Environmental Balancing Bureau
   NEMA National Electrical Manufacturers Association
   NFPA National Fire Protection Association
   NOFI National Oil Fuel Institute
   NSC National Safety Council
   NSF National Sanitation Foundation
   OSHA Occupational Safety and Health Administration
   PDI Plumbing and Drainage Institute
   SBI Steel Boiler Industry (Division of Hydronics Institute)
SDWA  Safe Drinking Water Act
SMACNA  Sheet Metal and Air Conditioning Contractors National Association
STI  Steel Tank Institute
UL  Underwriters’ Laboratories

D.  All materials furnished and all work installed shall comply with the rules and recommendations of the NFPA, the requirements of the local utility companies, the recommendations of the fire insurance rating organization having jurisdiction and the requirements of all Governmental departments having jurisdiction.

E.  The Contractor shall include in the work, without extra cost to the Owner, any labor, materials, services, apparatus and Drawings in order to comply with all applicable laws, ordinances, rules and regulations, whether shown on Drawings and/or specified or not.

1.8 PERMITS AND FEES

A.  The Contractor shall give all necessary notices, obtain all permits; and pay all Government and State sales taxes and fees where applicable, and other costs, including utility connections or extensions in connection with the work, file all necessary Drawings, prepare all documents and obtain all necessary approvals of all Governmental and State departments having jurisdiction, obtain all required certificates of inspection for his work, and deliver a copy to the Owner and Engineer before request for acceptance and final payment for the work.

1.9 EQUIPMENT SUBSTITUTIONS

A.  Substitutions: Division 01, Section 012300 Alternates, Section 012500 – Substitution Procedures – Substitution Request Form and Section 016000 - Product Requirements.

B.  In these Contract Documents, one or more makes of materials, apparatus or appliances may have been specified for use in this installation. These describe the basis of design and approved equivalents. This has been done for convenience in fixing the standard of workmanship, finish and design required for installation without consideration of any or all costs associated but not limited to (structural, mechanical, or electrical feeder, breaker, or transformer requirements). The Contractor acknowledges that not all requirements are shown for either alternate acceptable manufacturers listed or those alternates requiring a request for substitution and it is their responsibility to coordinate all requirements necessary to accommodate any change from the basis of design listed or scheduled. The contractor is required to submit any and all costs (including costs associated or required by all trades) along with performance differences as part of their request for substitution. The details of workmanship, finish and design, and the guaranteed performance of any material, apparatus or appliance which the Contractor desires to deviate for those mentioned herein shall also conform to these standards.

C.  Where no specific make of material, apparatus or appliance is mentioned, any first-class product made by a reputable manufacturer may be submitted for the Engineers review.

D.  Where one name only is used and is followed by the words “or approved equal”, the Contractor must use the item named or he is required to apply for a substitution. Where only one name is used, the Contractor must use that item named.
E. Where two or more names are given as approved manufacturers of equivalents, the Contractor must use the specified item or one of the named equivalents which still must meet all of the performance characteristics of the basis of design make and model. Where one name only is used and is followed by the words “or approved equal”, the Contractor must use the item named or he is required to apply for a substitution. Where one name only is used, the Contractor must use that item named.

F. Where the Contractor proposes to deviate (provide an equivalent or request for substitution) from the equipment or materials as hereinafter specified, they are required to submit a requested for substitution in writing. The Contractor shall state in their request whether it is a substitution or a non-approved equivalent to that specified and the amount of credit or extra cost involved. A copy of said request shall be included in the Base Bid with manufacturer’s equipment cuts. The Base Bid shall be based on using the materials and equipment as specified with no exceptions.

G. Where the Contractor proposes to use an item of equipment other than specified or detailed on the Drawings which requires any redesign of the structure, partitions, foundations, piping, wiring or any other part of the mechanical, electrical or architectural layout, all such redesign and all new drawings and detailing required therefore shall be prepared by the Engineers/Architects of Record at the expense of the Contractor and at no additional cost to the Owner.

H. Where such accepted deviation resulting from using an approved equivalent or substitution requires a different quantity and arrangement of piping, ductwork, valves, pumps, insulation, wiring, conduit and equipment from that specified or indicated on the Drawings, the Contractor shall, after acceptance by the Engineer, furnish and install any such additional equipment required by the system at no additional cost to the Owner, including any costs added to other trades due to the deviation.

I. Equipment, material or devices submitted for review as an “equivalent” shall meet the following requirements:
   1. The equivalent shall have the same construction features such as, but not limited to:
      a. Material thickness, gauge, weight, density, etc.
      b. Welded, riveted, bolted, etc., construction
      c. Finish, undercoating, corrosion protection
   2. The equivalent shall perform with the same or better operating efficiency.
   3. The equivalent shall be locally represented by the manufacturer for service, parts and technical information.
   4. The equivalent shall bear the same labels of performance certification as is applicable to the specified item, such as UL or NEMA labels.
J. Equipment, material or devices submitted for review as a “substitution” shall meet the following requirements:

1. Substitution Request Submittal: Requests for substitution will be considered if received in writing 14 days before the bid date. Requests received later than 14 days before the bid date may be considered or rejected at the discretion of the Engineer/Owner. Once the Contractor submits a complete request for substitution as determined by the engineer, the engineer reserves the right to request the time necessary to evaluate the request for substitution and review it with the Owner.

2. Submit three (3) copies of each request for substitution for consideration.

3. Identify the product, or the fabrication or installation method to be replaced in each request. Include related Specification Section and Drawing numbers. Provide complete documentation showing compliance with the requirements for substitutions, and the following information, as appropriate:
   a. Product Data, including Drawings and descriptions of products, fabrication and installation procedures.
   b. Samples, where applicable or requested.
   c. A detailed comparison of significant qualities of the proposed substitution with those of the Work specified. Significant qualities may include elements such as size, weight, durability, performance and visual effect.
   d. Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by the Owner and separate Contractors that will become necessary to accommodate the proposed substitution.
   e. A statement indicating the substitution's effect on the Contractor's Construction Schedule compared to the schedule without approval of the substitution. Indicate the effect of the proposed substitution on overall Contract Time.
   f. Cost information, including a proposal of the net change, if any in the Contract Sum.
   g. Certification by the Contractor that the substitution proposed is equal-to or better in every significant respect to that required by the Contract Documents, and that it will perform adequately in the application indicated. Include the Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of the failure of the substitution to perform adequately.
   h. Engineer's Action: Within one week of receipt of the request for substitution, the Engineer will notify the Contractor of acceptance or rejection of the proposed substitution. If a decision on use of a proposed substitute cannot be made or obtained within the time allocated, use the product specified by name. Acceptance of a product substitution will be in the form of an Addendum.
   i. Other Conditions: The Contractor's substitution request will be received and considered by the Engineer when one or more of the following conditions are satisfied, as determined by the Engineer; otherwise requests will be returned without action except to record noncompliance with these requirements.
      1) The request is directly related to an "or equal" clause or similar language in the Contract Documents.
2) The specified product or method of construction cannot be provided within the Contract Time. The request will not be considered if the product or method cannot be provided as a result of failure to pursue the Work promptly or coordinate activities properly.

3) A substantial advantage is offered the Owner, in terms of cost, time, energy conservation or other considerations of merit, after deducting offsetting responsibilities the Owner may be required to bear. Additional responsibilities for the Owner may include additional compensation to the Engineer for redesign and evaluation services, increased cost of other construction by the Owner or separate Contractors, and similar considerations.

1.10 SUBMITTAL PROCEDURES

A. Provide Submittals in accordance with the requirements of Section 01 33 05 - Submittal Procedures and as indicated in the following.

B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities. Transmit each submittal sufficiently in advance of performance of related construction activities to avoid delay.
   1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals and related activities that require sequential activity.
   2. Coordinate transmittal of different types of submittals for related elements of the Work so processing will not be delayed by the need to review submittals concurrently for coordination. The Engineer reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.

C. Processing: Allow sufficient review time so that installation will not be delayed as a result of the time required to process submittals, including time for resubmittals.
   1. Allow two weeks for initial review. Allow additional time if processing must be delayed to permit coordination with subsequent submittals. The Engineer will promptly advise the Contractor when a submittal being processed must be delayed for coordination.
   2. If an intermediate submittal is necessary, process the same as the initial submittal.
   3. Allow two weeks for reprocessing each submittal.
   4. No extension of Contract Time will be authorized because of failure to transmit submittals to the Engineer sufficiently in advance of the Work to permit processing.

D. Submittal Preparation: Place a permanent label or title block on each submittal for identification. Indicate the name of the entity that prepared each submittal on the label or title block. Submittals shall be arranged in order of specification sections.
1. Include the following information on the label for processing and recording action taken.
   a. Project name.
   b. Date.
   c. Name and address of Engineer.
   d. Name and address of Contractor.
   e. Name and address of subcontractor.
   f. Name and address of supplier.
   g. Name of manufacturer.
   h. Number, title and paragraph of appropriate Specification Section.
   i. Drawing number and detail references, as appropriate.

E. Submittal Transmittal: Package each submittal appropriately for transmittal and handling. Transmit each submittal from Contractor to Engineer using a transmittal form. Submittals received from sources other than the Contractor will be returned without action. On the transmittal, record relevant information and requests for data. On the form, or separate sheet, record deviations from Contract Document requirements, including minor variations and limitations. Include Contractor's certification that information complies with Contract Document requirements.

F. Except for submittals for record, information or similar purposes, the Engineer will review each submittal, mark to indicate action taken, and return promptly. Compliance with specified characteristics is the Contractor's responsibility.

G. Action Stamp: The Engineer will stamp each submittal with a uniform, self-explanatory action stamp. The stamp will be appropriately marked, to indicate the action taken.

1.11 SHOP DRAWINGS

A. Submit neatly prepared information, drawn to accurate scale. Highlight, encircle, or otherwise indicate deviations from the Contract Documents. Do not reproduce Contract Documents or copy standard information as the basis of Shop Drawings. Standard information prepared without specific reference to the Project is not considered Shop Drawings.

B. The Contractor shall submit for review detailed shop drawings of all equipment and material specified in each section and coordinated ductwork layouts. No material or equipment may be delivered to the job site or installed until the Contractor has received shop drawings for the particular material or equipment which have been properly reviewed. Shop drawings shall be submitted within 60 days after award of Contract before any material or equipment is purchased. The Contractor shall submit for review copies of all shop drawings to be incorporated in the Mechanical Contract. Refer to Division 1 for the quantity of copies required for submission. Where quantities are not specified, provide seven (7) copies for review.

C. Provide shop drawings for all devices specified under equipment specifications for all systems. Shop drawings shall include manufacturers’ names, catalog numbers, cuts, diagrams, dimensions, identification of products and materials included, compliance with specified standards, notation of coordination requirements, notation of dimensions established by field measurement and other such descriptive data as may be required to identify and accept the equipment. A complete list in each category (example: all
fixtures), of all shop drawings, catalog cuts, material lists, etc., shall be submitted to the Engineer at one time. No consideration will be given to a partial shop drawing submittal.

D. When a submittal could involve more than one trade, e.g., valves, piping, etc., the submitted shall be separated by traded involved, i.e. HVAC, plumbing, fire protection, etc.

E. Where multiple quantities or types of equipment are being submitted, provide a cover sheet (with a list of contents) on the submittal identifying the equipment or material being submitted.

F. The Contractor shall furnish all necessary templates, patterns, etc., for installation work and for the purpose of making adjoining work conform; furnish setting plans and shop details to other trades as required.

G. “No Exception Taken” rendered on shop drawings shall not be considered as a guarantee of measurements or building conditions. Where drawings are reviewed, review does not mean that drawings have been checked in detail; said approval does not in any way relieve the Contractor from his responsibility or necessity of furnishing material or performing work as required by the Contract Drawings and Specifications. Verify available space prior to submitting shop drawings. Review of shop drawings shall not apply to quantity of material.

H. After shop drawings have been reviewed, with no exceptions taken, no further changes will be allowed without the written consent of the Engineer.

I. Shop drawing submittal sheets which may show items that are not being furnished shall have those items crossed off to clearly indicate which items will be furnished.

J. Bidders shall not rely on any verbal clarification of the Drawings and/or Specifications. Any questions shall be referred to the Engineer in writing at least five (5) working days prior to Bidding to allow for issuance of an Addendum.

K. Do not use Shop Drawings without an appropriate final stamp indicating action taken in connection with construction.

L. Prepare sheet metal and sprinkler shop drawings drawn in the latest Revit version to a minimum scale of 1/4" = 1'-0". Final approved drawings shall be turned over to the Owner on Flash Drive or CD Rom.

1.12 COORDINATION DRAWINGS

A. Prepare coordination drawings drawn in the latest Revit version in accordance with Division 1 to a minimum scale of 1/4"=1'-0" detailing major elements, components, and systems of mechanical equipment and materials in relationship with other systems, installations, and building components. Indicate locations where space is limited for installation and access and where sequencing and coordination of installations are of importance to the efficient flow of the Work, including (but not necessarily limited to) the following:

1. The Contractor shall indicate the proposed locations of piping, conduit, ductwork, equipment, and materials. Include the following:
a. Clearances for servicing and maintaining equipment, including tube removal, filter removal, and space for equipment disassembly required for periodic maintenance.
b. Equipment connections and support details.
c. Exterior wall and foundation penetrations.
d. Fire-rated wall and floor penetrations.
e. Sizes and locations of required concrete pads and bases.

B. Indicate scheduling, sequencing, movement, and positioning of large equipment into the building during construction.

C. Prepare floor plans, elevations, and details to indicate penetrations in floors, walls, and ceilings and their relationship to other penetrations and installations.

D. Prepare reflected ceiling plans to coordinate and integrate installations, air outlets and inlets, light fixtures, communication systems components, sprinklers, and other ceiling-mounted items.

E. The Contractor and each subcontractor shall sign and date each coordination drawing prior to submission.

F. Work shall not be performed until coordination drawings have been approved by the architect and engineer.

G. Electronic copies of the MEP floor plans are available to use as a basis for preparing coordination drawings and can be provided by the Engineer. If the Contractor elects to obtain the Engineers electronic files an Electronic Drawing File Release Form must be submitted. This form must be signed by the Contractor, Owner, and Architect. Upon receipt of a signed copy of the Electronic Drawing File Release Form, the Engineer will provide copies of the electronic files for the Contractor’s use. A copy of the Electronic Drawing File Release Form is appended to the end of this specification section.

1.13 COORDINATION WITH OTHER DIVISIONS

A. All work shall be carried out in conjunction with other trades and full cooperation shall be given in order that all work may proceed with a minimum of delay and interference. Particular emphasis is placed on timely installation of major apparatus and furnishing other Contractors, especially the Contractor or Construction Manager, with information as to openings, chases, sleeves, bases, inserts, equipment locations, panels, etc., required by other trades.

B. The Contractors are required to examine all of the Project Drawings and mutually arrange work so as to avoid interference with the work of other trades. In general, ductwork, HVAC piping, sprinkler piping and drainage lines take precedence over water, gas and electrical conduits. The Engineer shall make final decisions regarding the arrangement of work which cannot be agreed upon by the Contractors.

C. Where the work of the Contractor will be installed in close proximity to or will interfere with work of other trades, the Contractors will cooperate in working out space conditions to make a satisfactory adjustment.
D. If the work under a Section is installed before coordinating with other Divisions or Sections or so as to cause interference with work of other Sections, the necessary changes to correct the condition shall be made by the Contractor causing the interference without extra charge to the Owner.

1.14 WORKMANSHIP

A. Service Support: The equipment items shall be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

B. Modification of References: In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears.

C. The Contractor shall furnish the services of an experienced superintendent who shall be constantly in charge of the installation of the work together with all skilled workmen, fitters, metal workers, welders, helpers and laborers required to unload, transfer, erect, connect, adjust, start, operate and test each system.

D. Unless otherwise specifically indicated on the Drawings or Specifications, all equipment and materials shall be installed with the acceptance of the Engineer and in accordance with the recommendations of the manufacturer. This includes the performance of such tests as the manufacturer recommends.

E. All labor for installation of plumbing systems shall be performed by experienced, skilled tradesmen under the supervision of a licensed journeyman foreman. All work shall be of a quality consistent with good trade practice and shall be installed in a neat, workmanlike manner. The Engineer reserves the right to reject any work which, in his opinion, has been installed in a substandard, dangerous or unserviceable manner. The Contractor shall replace said work in a satisfactory manner at no extra cost to the Owner.

1.15 SHUTDOWNS

A. When installation of a new system requires the temporary shutdown of an existing operating system, the connection of the new system shall be performed at such time as designated by the Owner.

B. The Engineer and the Owner shall be notified in writing of the estimated duration of the shutdown period at least ten (10) days in advance of the date the work is to be performed.

C. Work shall be arranged for continuous performance whenever possible. The Contractor shall provide all necessary labor, including overtime if required, to assure that existing operating services will be shut down only during the time actually required to make necessary connections.
1.16 TEMPORARY UTILITIES

A. General: Provide new materials and equipment; if acceptable to the Engineer, undamaged previously used materials in serviceable condition may be used. Provide materials suitable for the use intended.

B. Conditions of Use: Keep temporary services and facilities clean and neat in appearance. Operate in a safe and efficient manner. Take necessary fire prevention measures. Do not overload facilities, or permit them to interfere with progress. Do not allow hazardous dangerous or unsanitary conditions, or public nuisances to develop or persist on the site.

C. First Aid Supplies: Comply with governing regulations.

D. Fire Extinguishers: Provide hand-carried, portable UL-rated, class "A" fire extinguishers for temporary offices and similar spaces. In other locations provide hand-carried, portable, UL-rated, class "ABC" dry chemical extinguishers, or a combination of extinguishers of NFPA recommended classes for the exposures.

E. Utilities: Engage the appropriate local utility company to install temporary service or connect to existing service. Where the company provides only part of the service, provide the remainder with matching, compatible materials and equipment; comply with the company's recommendations.

1. Use Charges: Cost or use charges for temporary facilities are not chargeable to the Owner or Engineer, and will not be accepted as a basis of claims for a Change Order.

F. Water Service: Install water service and distribution piping of sizes and pressures adequate for construction until permanent water service is in use.

G. Temporary Heat-Cool-Dehumidification: Provide temporary services required by construction activities, for curing or drying of completed installations or protection of installed construction from adverse effects of low temperatures or high humidity. Select safe equipment that will not have a harmful effect on completed installations or elements being installed. Coordinate temporary services to produce the ambient condition required and minimize consumption of energy. The building’s permanent HVAC systems shall not be used for these purposes.

H. Environmental Protection: Provide protection, operate temporary facilities and conduct construction in ways and by methods that comply with environmental regulations, and minimize the possibility that air, waterways and subsoil might be contaminated or polluted, or that other undesirable effects might result. Avoid use of tools and equipment which produce harmful noise. Restrict use of noise making tools and equipment to hours that will minimize complaints from persons or firms near the site.

I. Termination and Removal: Unless the Engineer requires that it be maintained longer, remove each temporary facility when the need has ended, or when replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with the temporary facility. Repair damaged Work, clean exposed surfaces and replace construction that cannot be satisfactorily repaired. Materials and facilities
that constitute temporary facilities are property of the Contractor. The Owner reserves the right to take possession of Project identification signs.

1.17 PROTECTION OF MATERIALS AND EQUIPMENT

A. Work under each Section shall include protecting the work and material of all other Sections from damage by work or workmen and shall include making good all damage thus caused.

B. The Contractor shall be responsible for work and equipment until the facility has been accepted by the Owner. Protect work against theft, injury or damage and carefully store material and equipment received on site which is not immediately installed. Close open ends of work with temporary covers or plugs during construction to prevent entry of foreign material.

C. Work under each Section includes receiving, unloading, uncrating, storing, protecting, setting in place and completely connecting equipment supplied under each Section. Work under each Section shall also include exercising special care in handling and protecting equipment and fixtures, and shall include the cost of replacing any of the equipment and fixtures which are missing or damaged.

D. Equipment and material stored on the job site shall be protected from the weather, vehicles, dirt and/or damage by workmen or machinery. Insure that all electrical or absorbent equipment or material is protected from moisture during storage.

1.18 ADJUSTING AND TESTING

A. After all the equipment and accessories to be furnished are in place, they shall be put in final adjustment and subjected to such operating tests so as to assure the Engineer that they are in proper adjustment and in satisfactory, permanent operating condition.

B. Where requested by the Engineer, a factory-trained service representative shall inspect the installation and assist in the initial startup and adjustment to the equipment. The period of these services shall be for such time as necessary to secure proper installation and adjustments. After the equipment is placed in permanent operation, the service representative shall supervise the initial operation of the equipment and instruct personnel responsible for operation and maintenance of the equipment. The service representative shall notify the Contractor in writing that the equipment was installed according to manufacturer’s recommendations and is operating as intended by the manufacturer.

1.19 CLEANING

A. Section 017423 - Final cleaning.

B. The Contractor shall thoroughly clean and flush all piping and equipment of all foreign substances, oils, burrs, solder, flux, etc., inside and out before being placed in operation.
C. If any part of a system should be stopped or damaged by any foreign matter after being placed in operation, the system shall be disconnected, cleaned and reconnected wherever necessary to locate and/or remove obstructions. Any work damaged in the course of removing obstructions shall be repaired or replaced when the system is reconnected at no additional cost to the Owner.

D. During the course of construction, all ducts and pipes shall be capped in an acceptable manner to insure adequate protection against the entrance of foreign matter.

E. Upon completion of all work under the Contract, the Contractor shall remove from the premises all rubbish, debris and excess materials left over from his work. Any oil or grease stains on floor areas caused by the Contractor shall be removed and floor areas left clean.

F. Complete the following cleaning operations before requesting inspection for Certification of Substantial Completion.
   1. Remove labels that are not permanent labels.
   2. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compound and other substances that are noticeable vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials.
   3. Clean exposed exterior and interior hard-surfaced finishes to a dust-free condition, free of stains, films and similar foreign substances. Restore reflective surfaces to their original reflective condition. Leave concrete floors broom clean. Vacuum carpeted surfaces.

G. Comply with regulations of authorities having jurisdiction and safety standards for cleaning. Do not burn waste materials. Do not bury debris or excess materials on the Owner's property. Do not discharge volatile, harmful or dangerous materials into drainage systems. Remove and dispose of ALL waste materials, packaging material, skids etc. from the site and dispose of in a lawful manner in accordance with municipal, state and federal regulations.

H. Where extra materials of value remaining after completion of associated Work have become the Owner's property, arrange for disposition of these materials as directed.

1.20 OPERATING AND MAINTENANCE

A. Upon completion of all work and tests, the Contractor shall furnish the necessary skilled labor and helpers for operating his system and equipment for a period specified under each applicable Section of this Division. During this period, he shall fully instruct the Owner or the Owner’s representative in the operation, adjustment and maintenance of all equipment furnished. The Contractor shall give at least seven (7) days notice to the Owner and the Engineer in advance of this period.

B. The Contractor shall include the maintenance schedule for the principal items of equipment furnished under this Division.
C. The Contractor shall physically demonstrate procedures for all routine maintenance of all equipment furnished under each respective Section to assure accessibility to all devices.

D. An authorized manufacturer’s representative shall attest in writing that the equipment has been properly installed prior to startup of any major equipment. The following equipment will require this inspection: pumps; controls, water heaters, compressors, boilers etc. These letters shall be bound into the operating and maintenance books.

E. Refer to individual trade Sections for any other particular requirements related to operating instructions.

F. Demonstration shall be recorded on (2) USB Flash drives turned over to the Owner.

1.21 OPERATING AND MAINTENANCE MANUALS

A. Prepare operating and maintenance manuals in accordance with the requirements of Division 1 and as follows. The Contractor shall prepare six (6) copies of a complete maintenance and operating instructions manual, bound in booklet form. Organize operating and maintenance data into suitable sets of manageable size. Bind properly indexed data in individual heavy-duty 3-ring vinyl-covered binders, with pocket folders for folded sheet information and designation partitions with identification tabs. Mark appropriate identification on front and spine of each binder.

B. Manual shall include the following:

1. Description of function, normal operating characteristics and limitations, performance curves, engineering data and tests, and complete nomenclature and commercial numbers of replacement parts.

2. Manufacturer's printed operating procedures to include start-up, break-in, and routine and normal operating instructions; regulation, control, stopping, shutdown, and emergency instructions; and summer and winter operating instructions.

3. Maintenance procedures for routine preventative maintenance and troubleshooting; disassembly, repair, and reassembly; aligning and adjusting instructions.

4. Servicing and operating instructions including lubrication charts and schedules.

5. Emergency and safety instructions.

6. Spare parts list.


8. Wiring diagrams.

9. Recommended "turn around" cycles.

10. Inspection procedures.

11. Approved Shop Drawings and Product Data.

12. Equipment Start-up Reports.

13. Temperature control diagrams and written sequences of operations.


C. Include in the manual, a tabulated equipment schedule for all equipment. Schedule shall include pertinent data such as: make, model number, serial number, voltage, normal operating current, belt size, filter quantities and sizes, bearing number, etc. Schedule shall include maintenance to be done and frequency.
D. Maintenance and instruction manuals shall be submitted to the Owner at the same time as the seven (7) day notice is given prior to the instruction period.

1.22 ACCEPTANCES

A. The equipment, materials, workmanship, design and arrangement of all work installed under the Plumbing Sections shall be subject to the review of the Engineer.

B. Within 30 days after the awarding of a Contract, the Plumbing Contractor shall submit to the Engineer, for review, a list of manufacturers of equipment proposed for the work under the Plumbing Sections. The intent to use the exact manufacturers and models specified does not relieve the Contractor of the responsibility of submitting such a list.

C. If extensive or unacceptable delivery time is expected on a particular item of equipment specified, the Contractor shall notify the Owner and Engineer, in writing, within 30 days of award of the Contract. In such instances, equipment substitutions may be made pending acceptance by the Engineer or the Owner’s representative.

D. Where any specific material, process or method of construction or manufactured article is specified by reference to the catalog number of a manufacturer, the Specifications are to be used as a guide and are not intended to take precedence over the basic duty and performance specified or noted on the Drawings. In all cases, the Plumbing Contractor shall verify the duty specified with the specific characteristics of the equipment offered for review. Equipment characteristics are to be used as mandatory requirements where the Contractor proposes to use an acceptable equivalent.

E. If material or equipment is installed before it is reviewed and/or approved, the Contractor shall be liable for its removal and replacement at no extra charge to the Owner if, in the opinion of the Engineer, the material or equipment does not meet the intent of, or standard of quality implied by, the Drawings and Specifications.

F. Failure on the part of the Engineer to reject shop drawings or to reject work in progress shall not be interpreted as acceptance of work not in conformance with the Drawings and/or Specifications. Work not in conformance with the Drawings and/or Specifications shall be corrected whenever it is discovered.

1.23 RECORD DRAWINGS

A. General: Do not use record documents for construction purposes; protect from deterioration and loss in a secure, fire-resistive location; provide access to record documents for the Engineer's reference during normal working hours.

B. Maintain a clean, undamaged set of blue or black line white-prints of Contract Drawings and Shop Drawings. Mark the set to show the actual installation where the installation varies substantially from the Work as originally shown. Mark whichever drawing is most capable of showing conditions fully and accurately. Give particular attention to concealed elements that would be difficult to measure and record at a later date. Items to be indicated include but are not limited to:
   1. Dimensional change
   2. Revision to drawing detail
   3. Location and depth of underground utility
4. Revision to pipe routing
5. Revision to electrical circuitry
6. Actual equipment location
7. Pipe size and routing
8. Location of concealed internal utility
9. Changes made by Change Order
10. Details not on original Contract Drawing
11. Information on concealed elements which would be difficult to identify or measure later

C. Mark record sets with red erasable pencil; use other colors to distinguish between variations in separate categories of the Work.

D. Mark new information that is important to the Owner, but was not shown on Contract Drawings or Shop Drawings.

E. Note related Change Order numbers where applicable.

F. Organize record drawing sheets into manageable sets, bind with durable paper cover sheets, and print suitable titles, dates and other identification on the cover of each set.

G. Final record documents shall be prepared in the latest Revit version and CD Rom of all drawings and a clean set of reproducible paper copies shall be turned over to the Owner at the completion of the work.

1.24 WARRANTIES AND BONDS

A. Section 01 40 00 - Quality Requirements, Section 01 73 00 - Execution and Section 017700 Closeout Procedures.

B. The following general administrative and procedural requirements for warranties and bonds required by the Contract Documents, including manufacturers standard warranties on products and special warranties are to be included:
   1. General close-out requirements included in Division 1.
   2. Specific requirements for warranties for the Work and products and installation that are specified to be warranted, are included in the individual Sections of Divisions-2 through -50.
   3. Certifications and other commitments and agreements for continuing services to Owner are specified elsewhere in the Contract Documents.

C. Disclaimers and Limitations: Manufacturer's disclaimers and limitations on product warranties do not relieve the Contractor of the warranty on the Work that incorporates the products, nor does it relieve suppliers, manufacturers, and subcontractors required to countersign special warranties with the Contractor.

D. Separate Prime Contracts: Each prime Contractor is responsible for warranties related to its own Contract.
1.25 WARRANTY REQUIREMENTS

A. Related Damages and Losses: When correcting warranted Work that has failed, remove and replace other Work that has been damaged as a result of such failure or that must be removed and replaced to provide access for correction of warranted Work.

B. Reinstatement of Warranty: When Work covered by a warranty has failed and been corrected by replacement or rebuilding, reinstate the warranty by written endorsement. The reinstated warranty shall be equal to the original warranty with an equitable adjustment for depreciation.

C. Replacement Cost: Upon determination that Work covered by a warranty has failed, replace or rebuild the Work to an acceptable condition complying with requirements of Contract Documents. The Contractor is responsible for the cost of replacing or rebuilding defective Work regardless of whether the Owner has benefited from use of the Work through a portion of its anticipated useful service life.

D. Owner's Recourse: Written warranties made to the Owner are in addition to implied warranties, and shall not limit the duties, obligations, right and remedies otherwise available under the law, nor shall warranty periods be interpreted as limitations on time in which the Owner can enforce such other duties, obligations, rights, or remedies.

E. Rejection of Warranties: The Owner reserves the right to reject warranties and to limit selections to products with warranties not in conflict with requirements of the Contract Documents.

F. The Owner reserves the right to refuse to accept Work for the Project where a special warranty, certification, or similar commitment is required on such Work or part of the Work, until evidence is presented that entities required to countersign such commitments are willing to do so.

G. Submit written warranties to the Engineer prior to the date certified for Substantial Completion. If the Engineer's Certificate of Substantial Completion designates a commencement date for warranties other than the date of Substantial Completion for the Work, or a designated portion of the Work, submit written warranties upon request of the Engineer.

H. When a designated portion of the Work is completed and occupied or used by the Owner, by separate agreement with the Contractor during the construction period, submit properly executed warranties to the Engineer within fifteen days of completion of that designated portion of the Work.

I. When a special warranty is required to be executed by the Contractor, or the Contractor and a subcontractor, supplier or manufacturer, prepare a written document that contains appropriate terms and identification, ready for execution by the required parties. Submit a draft to the Owner through the Engineer for approval prior to final execution.
   1. Refer to individual Sections of Divisions-2 through -50 for specific content requirements, and particular requirements for submittal of special warranties.

J. Form of Submittal: At Final Completion compile two copies of each required warranty and bond properly executed by the Contractor, or by the Contractor, subcontractor,
suppliers, or manufacturers. Organize the warranty documents into an orderly sequence based on the table of contents of the Project Manual.

K. Bind warranties and bonds in heavy-duty, commercial quality, durable 3-ring vinyl covered loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2” by 11” paper.

1. Provide heavy paper dividers with celluloid covered tabs for each separate warranty. Mark the tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product, and the name, address and telephone number of the installer.

2. Identify each binder on the front and the spine with the typed or printed title “WARRANTIES AND BONDS,” the Project title or name, and the name of the Contractor.

3. When operating and maintenance manuals are required for warranted construction, provide additional copies of each required warranty, as necessary, for inclusion in each required manual.

1.26 GUARANTEES

A. The Contractor shall guarantee all material and workmanship under these Specifications and the Contract for a period of one (1) year from the date of final acceptance by Owner. During this guarantee period, all defects developing through faulty equipment, materials or workmanship shall be corrected or replaced immediately by this Contractor without expense to the Owner. Such repairs or replacements shall be made to the Engineer's satisfaction.

B. Contractor shall provide name, address, and phone number of all contractors and subcontractors and associated equipment they provided.

1.27 PROJECT CLOSE-OUT

A. Section 01 40 00 - Quality Requirements, Section 01 73 00 - Execution and Section 017700 Closeout Procedures

B. Contractor shall submit annual maintenance proposal to the Architect/Engineer for review and approval as part of the close out documents.

C. Submit specific warranties, workmanship bonds, maintenance agreements, final certifications and similar documents in accordance with Division 1.

D. Deliver tools, spare parts, extra stock, and similar items.

E. Complete start-up testing of systems, including measuring and documenting all required startup checklist requirements documented in installation and maintenance instructions by the equipment manufacturer, and instruction of the Owner's operating and maintenance personnel. Discontinue or change over and remove temporary facilities from the site, along with construction tools, mock-ups, and similar elements.

F. Complete final clean up requirements, including touch-up painting. Touch-up and otherwise repair and restore marred exposed finishes.
G. Field Observation Procedures: On receipt of a request for an Engineers Field Observation, the Engineer will advise the Contractor of unfulfilled requirements. The Engineer will advise the Contractor of construction that must be completed or corrected before the certificate will be issued.

1. The Engineer will repeat the Field Observation when requested and assured that the Work has been substantially completed.

2. Results of the completed list of unfulfilled items will form the basis of requirements for final acceptance.

END OF SECTION 22 0400
Electronic Drawing File Release Form

DELIVERY OF ELECTRONIC FILES FOR:

Project Name

In accepting and utilizing any drawings or other data on any form of electronic media generated and provided by the Design Professional, the Client covenants and agrees that all such drawings and data are instruments of service of the Design Professional, who shall be deemed the author of the drawings and data, and shall retain all common law, statutory law and other rights, including copyrights.

The Client further agrees not to use these drawings and data, in whole or in part, for any purpose or project other than the project which is the subject of this Agreement. The Client agrees to waive all claims against the Design Professional resulting in any way from any unauthorized changes or reuse of the drawings and data for any other project by anyone other than the Design Professional.

In addition, the Client agrees, to the fullest extent permitted by law, to indemnify and hold the Design Professional harmless from any damage, liability or cost, including reasonable attorneys’ fees and costs of defense, arising from any changes made by anyone other than the Design Professional or from any reuse of the drawings and data without the prior written consent of the Design Professional.

Under no circumstances shall transfer of the drawings and other instruments of service on electronic media for use by the Client be deemed a sale by the Design Professional, and the Design Professional makes no warranties, either express or implied, of merchantability and fitness for any particular purpose.

________________________  _____________________________
Client’s Signature                     Date

________________________  _____________________________
Company - Title

________________________  _____________________________
Architects’ Signature             Date

________________________  _____________________________
Firm - Title

________________________  _____________________________
Owner’s Signature                 Date

________________________  _____________________________
Company - Title
SECTION 23 0400 - GENERAL CONDITIONS FOR MECHANICAL TRADES

PART 1 GENERAL

1.1 RELATED REQUIREMENTS

A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

B. This section applies to certain sections of Division 26, "Electrical," and this section applies to all sections of Division 23, "Mechanical" of this project specification unless specified otherwise in the individual sections.

C. The Drawings of other trades (Architectural, Food Service, Structural, Landscape, Civil, Mechanical, Fire Protection and Plumbing) shall be examined for coordination and familiarity of work with other Contractors. Any duplication or omission of provisions in this project should be brought to the attention of the Owners prior to Bidding.

1.2 DESCRIPTION

A. The General Conditions and Supplementary General Conditions are a part of this Division and are to be considered a part of this Contract.

B. Where items of the General Conditions and Supplementary General Conditions are repeated in other Sections of the Specifications, it is merely intended to qualify or to call particular attention to them. It is not intended that any other parts of the General Conditions and Supplementary General Conditions shall be assumed to be omitted if not repeated therein. This Section applies equally and specifically to all Contractors supplying labor and/or equipment and/or materials as required under each Section of this Division. Where conflicts exist between the drawings and the specifications or between this section of the specifications and other sections, the more stringent or higher cost option shall apply.

1.3 INTENT

A. It is the intent of the Specifications and Drawings to call for finished work, tested and ready for operation.

B. Any apparatus, appliance, material or work not shown on drawings but mentioned in the specifications, or vice versa, or any incidental accessories necessary to make the work complete and perfect in all respects and ready for operation as determined by good trade practice even if not particularly specified, shall be furnished, delivered and installed under their respective Divisions without any additional expense to the Owner.

C. Minor details not usually shown or specified but necessary for proper installation and operation shall be included in the work as though they were hereinafter shown or specified.

D. Work under each Section shall include giving written notice to the Owner and Engineer of any materials or apparatus believed inadequate or unsuitable; in violation of laws,
ordinances, rules or regulations of authorities having jurisdiction; and any necessary items of work omitted. In the absence of such written notice, it is mutually agreed that work under each Section includes the cost of all required items for the accepted, satisfactory functioning of the entire system without extra compensation.

1.4 DEFINITIONS

A. No Exceptions Taken – reviewed and determined to be in general conformance with contract documents.

B. “Approved equal” mean any product which in the opinion of the Engineer is equal in quality, arrangement, appearance, and performance to the product specified.

C. Directed: Terms such as "directed," "requested," "authorized," "selected," "approved," "required," and "permitted" mean "directed by the Engineer," "requested by the Engineer," and similar phrases.

D. “Finished” refers to all rooms and areas to be specified to receive architectural treatment as indicated on the drawings. All rooms and areas not covered, including underground tunnels and areas above ceilings shall be considered not finished, unless otherwise noted.

E. “Furnish” or “supply” shall mean purchase, deliver to, and off-load at the job site, ready to be installed including where appropriate all necessary interim storage and protection.

F. Indicated: The term "indicated" refers to graphic representations, notes, or schedules on the Drawings, other paragraphs or schedules in the Specifications, and similar requirements in the Contract Documents. Where terms such as "shown," "noted," "scheduled," and "specified" are used, it is to help the reader locate the reference; no limitation on location is intended.

G. “Install” shall mean set in place complete with all mounting facilities and connections as necessary ready for normal use or service.

H. “Product” shall mean any item of equipment, material, fixture, apparatus, appliance or accessory installed under this Division.

I. “Provide” shall mean furnish (or supply) and install as necessary.

J. Regulation: The term "Regulations" includes laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, as well as rules, conventions, and agreements within the construction industry that control performance of the Work.

K. Remove: The term “remove” means “to disconnect from its present position, remove from the premises and to dispose of in a legal manner.”

L. Special Warranties: The term “Special Warranties” are written warranties required by or incorporated in the Contract Documents, either to extend time limits provided by standard warranties or to provide greater rights for the Owner.
M. Standard Product Warranties: The term “Standard Product Warranties” are preprinted written warranties published by individual manufacturers for particular products and are specifically endorsed by the manufacturer to the Owner.

N. “Subcontractor” means specifically the subcontractor working under this Division. Other Contractors are specifically designated “Plumbing Subcontractor”, “General Contractor” and so on. Note: Take care to ascertain limits of responsibility for connecting equipment which requires connections by two or more trades.

O. Substitutions: Requests for changes in products, materials, equipment, and methods of construction proposed by the Contractor are considered requests for "substitutions."

P. “Wiring” shall mean cable assembly, raceway, conductors, fittings and any other necessary accessories to make a complete wiring system.

1.5 DRAWINGS

A. Drawings are diagrammatic and indicate the general arrangement of systems and work included in the Contract. Consult the Architectural Drawings and Details for exact location of fixtures and equipment; where same are not definitely located, obtain this information from the Architect. (Do not scale the drawings)

B. Work under each Section shall closely follow Drawings in layout of work; check Drawings of other Divisions to verify spaces in which work will be installed. Maintain maximum headroom; where space conditions appear inadequate, Owner and Engineer shall be notified before proceeding with installations.

C. The Owner may, without extra charge, make reasonable modifications in the layout as needed to prevent conflict with work of other trades and/or for proper execution of the work.

D. Where variances occur between the Drawings and Specifications or within either of the Documents, the item or arrangement of better quality, shall be included in the Contract price. The Owner and Engineer shall decide on the item and the manner in which the work shall be installed.

1.6 SURVEYS AND MEASUREMENTS

A. Before submitting his Bid, the Contractors shall visit the site and become thoroughly familiar with all existing conditions under which work will be installed. This Contract includes all modifications of existing systems required for the installation of new equipment. This Contract includes all necessary offsets, transitions and modifications required to install all new equipment in existing spaces. All new and existing equipment and systems shall be fully operational under this Contract before the job is considered complete. The Contractors shall be held responsible for any assumptions he makes, any omissions or errors he makes as a result of his failure to become fully familiar with the existing conditions at the site and the Contract Documents.
B. The Contractor shall base all measurements, both horizontal and vertical, from established bench marks. All work shall agree with these established lines and levels. Verify all measurements at the site and check the correctness of same as related to the work.

C. Should the Contractor discover any discrepancies between actual measurements and those indicated which prevent following good practice or which interfere with the intent of the Drawings and Specifications, the Engineer will be notified and work will not proceed until instructions from the Engineer are received.

1.7 CODES AND STANDARDS

A. Reference Standard Compliance

1. Where equipment or materials are specified to conform to industry and technical society reference standards of the organizations such as American National Standards Institute (ANSI), American Society for Testing and Materials (ASTM), National Electrical Manufacturers Association (NEMA), and Underwriters Laboratories Inc. (UL), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance.

2. Independent Testing Organization Certificate: In lieu of the label or listing indicated above, submit a certificate from an independent testing organization, competent to perform testing, and approved by the Engineer. The certificate shall state that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

B. The Following Codes and Standards for the state and local jurisdiction where the project is located as listed below apply to all mechanical work. Wherever Codes and/or Standards are mentioned in these Specifications, the latest applicable edition or revision shall be followed:

- Connecticut School Construction Standards and Guidelines Building Standards Guidelines – Compliance for High Performance Buildings
- The International Building Code
- The International Mechanical Code
- The International Plumbing Code
- The International Energy Conservation Code
- The National Electrical Code
- NFPA edition as referenced by local building code
- ASHRAE 90.1 and International Energy Conservation Code
C. The following Standards shall be used where referenced by the following abbreviations:

- **AABC** Associated Air Balance Council
- **ACGIH** American Conference of Governmental Industrial Hygienists
- **ADC** Air Diffusion Council
- **AGA** American Gas Association
- **AIA** American Institute of Architects
- **AMCA** Air Moving and Conditioning Association
- **ANSI** American National Standards Institute
- **API** American Petroleum Institute
- **ARI** Air Conditioning and Refrigeration Institute
- **ASHRAE** American Society of Heating, Refrigerating and Air Conditioning Engineers
- **ASME** American Society of Mechanical Engineers
- **ASPE** American Society of Plumbing Engineers
- **ASSE** American Society of Sanitary Engineers
- **ASTM** American Society of Testing and Materials
- **AWS** American Welding Society
- **AWWA** American Water Works Association
- **CGA** Compressed Gas Association
- **CSA** Canadian Standards Association
- **CISPI** Cast Iron Soil Pipe Institute
- **EJMA** Expansion Joint Manufacturing Association
- **EPA** Environmental Protection Agency
- **FM** Factory Mutual
- **FSSC** Federal Specification
- **HIS** Hydraulic Institute Standards
- **IEEE** Institute of Electrical and Electronics Engineers
- **IRI** Industrial Risk Insurers
- **ISO** Insurance Services Office
- **MCAA** Mechanical Contractors Association of America
D. All materials furnished and all work installed shall comply with the rules and recommendations of the NFPA, the requirements of the local utility companies, the recommendations of the fire insurance rating organization having jurisdiction and the requirements of all Governmental departments having jurisdiction.

E. The Contractor shall include in the work, without extra cost to the Owner, any labor, materials, services, apparatus and Drawings in order to comply with all applicable laws, ordinances, rules and regulations, whether shown on Drawings and/or specified or not.

1.8 PERMITS AND FEES

A. The Contractor shall give all necessary notices, obtain all permits; and pay all Government and State sales taxes and fees where applicable, and other costs, including utility connections or extensions in connection with the work, file all necessary Drawings, prepare all documents and obtain all necessary approvals of all Governmental and State departments having jurisdiction, obtain all required certificates of inspection for his work, and deliver a copy to the Owner and Engineer before request for acceptance and final payment for the work.

1.9 EQUIPMENT EQUIVALENTS AND SUBSTITUTIONS

A. Certain manufacturers of material, apparatus or appliances are indicated in the drawings and specifications for this project. These items have been used as the basis of design, and as a convenience in fixing the minimum standard of workmanship, finish and design that is required. If the Contractors uses an “approved equal” alternative to the basis of design, and if the features of that alternative have an impact on other components of the Project, the Contractor shall include the necessary adjustments in those components, whether for
architectural, structural, mechanical, electrical, fire protection, or any other elements, plus any adjustments for difference in performance.

B. Where one name only is used and is followed by the words “or approved equal”, the Contractor must use the item named or he is required to apply for a substitution. Where one name only is used, the Contractor must use that item named.

C. Where no specific make of material, apparatus or appliance is mentioned, any first-class product made by a reputable manufacturer may be submitted for Architect and Engineer review.

D. Where the Contractor proposes to use an item that is different from the basis of design in the Drawings and specifications, and that will require the redesign of the structure, partitions, foundations, piping, wiring or any other component of the mechanical, electrical, or architectural layout, the Contractor shall provide the necessary redesign of those components.

E. Where the Contractor proposes to deviate (provide an equivalent or request for substitution) from the basis of design scheduled equipment or materials as hereinafter specified or shown on the drawings, they are required to submit a requested for substitution in writing. The Contractor shall state in their request whether it is a substitution, equivalent or a non approved equivalent to that specified and the amount of credit or extra cost involved. A copy of said request shall be included in the Base Bid with manufacturer’s equipment cuts. The Base Bid shall be based on using the materials and equipment as specified with no exceptions.

F. If an alternative or substitute item results in a difference in quantity and arrangement of piping, ductwork, valves, pumps, insulation, wiring, conduit, and equipment from that specified or indicated on the Drawings, the Contractor shall furnish and install any such additional equipment required by the system, at no additional cost to the Owner including any costs added to other trades due to the equivalent change from the basis of design detailed in the drawings or included within the specifications.

G. Equipment, material or devices submitted for review as an “equivalent” shall meet the following requirements:
   1. The equivalent shall have the same construction features such as, but not limited to:
      a. Material thickness, gauge, weight, density, etc.
      b. Welded, riveted, bolted, etc., construction
      c. Finish, undercoating, corrosion protection
   2. The equivalent shall perform with the same or better operating efficiency.
   3. The equivalent shall be locally represented by the manufacturer for service, parts and technical information.
   4. The equivalent shall bear the same labels of performance certification as is applicable to the specified item, such as UL or NEMA labels.

H. Equipment, material or devices submitted for review as a “substitution” shall meet the following requirements:
   1. Substitution Request Submittal: Requests for substitution will be considered if received in writing 14 days before the bid date. Requests received later than 14
days before the bid date may be considered or rejected at the discretion of the Engineer/Owner. Once the Contractor submits a complete request for substitution as determined by the engineer, the engineer reserves the right to request the time necessary to evaluate the request for substitution and review it with the Owner.

2. Submit three (3) copies of each request for substitution for consideration.

3. Identify the product, or the fabrication or installation method to be replaced in each request. Include related Specification Section and Drawing numbers. Provide complete documentation showing compliance with the requirements for substitutions, and the following information, as appropriate:

   a. Product Data, including Drawings and descriptions of products, fabrication and installation procedures.
   b. Samples, where applicable or requested.
   c. A detailed comparison of significant qualities of the proposed substitution with those of the Work specified. Significant qualities may include elements such as size, weight, durability, performance and visual effect.
   d. Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by the Owner and separate Contractors that will become necessary to accommodate the proposed substitution.
   e. A statement indicating the substitution's effect on the Contractor's Construction Schedule compared to the schedule without approval of the substitution. Indicate the effect of the proposed substitution on overall Contract Time.
   f. Cost information, including a proposal of the net change, if any in the Contract Sum.
   g. Certification by the Contractor that the substitution proposed is equal-to or better in every significant respect to that required by the Contract Documents, and that it will perform adequately in the application indicated. Include the Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of the failure of the substitution to perform adequately.
   h. Engineer's Action: Within one week of receipt of the request for substitution, the Engineer will notify the Contractor of acceptance or rejection of the proposed substitution. If a decision on use of a proposed substitute cannot be made or obtained within the time allocated, use the product specified by name. Acceptance of a product substitution will be in the form of an Addendum.
   i. Other Conditions: The Contractor's substitution request will be received and considered by the Engineer when one or more of the following conditions are satisfied, as determined by the Engineer; otherwise requests will be returned without action except to record noncompliance with these requirements.

1) The request is directly related to an "or equal" clause or similar language in the Contract Documents.

2) The specified product or method of construction cannot be provided within the Contract Time. The request will not be considered if the product or method cannot be provided as a
result of failure to pursue the Work promptly or coordinate activities properly.

3) A substantial advantage is offered the Owner, in terms of cost, time, energy conservation or other considerations of merit, after deducting offsetting responsibilities the Owner may be required to bear. Additional responsibilities for the Owner may include additional compensation to the Engineer for redesign and evaluation services, increased cost of other construction by the Owner or separate Contractors, and similar considerations.

1.10 SUBMITTAL PROCEDURES

A. Provide Submittals in accordance with the requirements of Division 1 and as indicated in the following.

B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities. Transmit each submittal sufficiently in advance of performance of related construction activities to avoid delay.
   1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals and related activities that require sequential activity.
   2. Coordinate transmittal of different types of submittals for related elements of the Work so processing will not be delayed by the need to review submittals concurrently for coordination. The Engineer reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.

C. Processing: Allow sufficient review time so that installation will not be delayed as a result of the time required to process submittals, including time for resubmittals.
   1. Allow two weeks for initial review. Allow additional time if processing must be delayed to permit coordination with subsequent submittals. The Engineer will promptly advise the Contractor when a submittal being processed must be delayed for coordination.
   2. If an intermediate submittal is necessary, process the same as the initial submittal.
   3. Allow two weeks for reprocessing each submittal.
   4. No extension of Contract Time will be authorized because of failure to transmit submittals to the Engineer sufficiently in advance of the Work to permit processing.

D. Submittal Preparation: Place a permanent label or title block on each submittal for identification. Indicate the name of the entity that prepared each submittal on the label or title block. Submittals shall be arranged in order of specification sections.
   1. Include the following information on the label for processing and recording action taken.
      a. Project name.
      b. Date.
      c. Name and address of Engineer.
      d. Name and address of Contractor.
      e. Name and address of subcontractor.
      f. Name and address of supplier.
g. Name of manufacturer.

h. Number, title and paragraph of appropriate Specification Section.

i. Drawing number and detail references, as appropriate.

E. Submittal Transmittal: Package each submittal appropriately for transmittal and handling. Transmit each submittal from Contractor to Engineer using a transmittal form. Submittals received from sources other than the Contractor will be returned without action. On the transmittal, record relevant information and requests for data. On the form, or separate sheet, record deviations from Contract Document requirements, including minor variations and limitations. Include Contractor's certification that information complies with Contract Document requirements.

F. Except for submittals for record, information or similar purposes, the Engineer will review each submittal, mark to indicate action taken, and return promptly. Compliance with specified characteristics is the Contractor's responsibility.

G. Action Stamp: The Engineer will stamp each submittal with a uniform, self-explanatory action stamp. The stamp will be appropriately marked, to indicate the action taken.

1.11 SHOP DRAWINGS

A. Submit neatly prepared information, drawn to accurate scale. Highlight, encircle, or otherwise indicate deviations from the Contract Documents. Do not reproduce Contract Documents or copy standard information as the basis of Shop Drawings. Standard information prepared without specific reference to the Project is not considered Shop Drawings.

B. The Contractor shall submit for review detailed shop drawings of all equipment and material specified in each section and coordinated ductwork layouts. No material or equipment may be delivered to the job site or installed until the Contractor has received shop drawings for the particular material or equipment which have been properly reviewed. Shop drawings shall be submitted within 60 days after award of Contract before any material or equipment is purchased. The Contractor shall submit for review copies of all shop drawings to be incorporated in the Mechanical Contract. Refer to Division 1 for the quantity of copies required for submission. Where quantities are not specified, provide seven (7) copies for review.

C. Provide shop drawings for all devices specified under equipment specifications for all systems. Shop drawings shall include manufacturers’ names, catalog numbers, cuts, diagrams, dimensions, identification of products and materials included, compliance with specified standards, notation of coordination requirements, notation of dimensions established by field measurement and other such descriptive data as may be required to identify and accept the equipment. A complete list in each category (example: all fixtures), of all shop drawings, catalog cuts, material lists, etc., shall be submitted to the Engineer at one time. No consideration will be given to a partial shop drawing submittal.

D. When a submittal could involve more than one trade, e.g., valves, piping, etc., the submitted shall be separated by traded involved, ie. HVAC, plumbing, fire protection, etc.
E. Where multiple quantities or types of equipment are being submitted, provide a cover sheet (with a list of contents) on the submittal identifying the equipment or material being submitted.

F. The Contractor shall furnish all necessary templates, patterns, etc., for installation work and for the purpose of making adjoining work conform; furnish setting plans and shop details to other trades as required.

G. “No Exception Taken” rendered on shop drawings shall not be considered as a guarantee of measurements or building conditions. Where drawings are reviewed, review does not mean that drawings have been checked in detail; said approval does not in any way relieve the Contractor from his responsibility or necessity of furnishing material or performing work as required by the Contract Drawings and Specifications. Verify available space prior to submitting shop drawings. Review of shop drawings shall not apply to quantity of material.

H. After shop drawings have been reviewed, with no exceptions taken, no further changes will be allowed without the written consent of the Engineer.

I. Shop drawing submittal sheets which may show items that are not being furnished shall have those items crossed off to clearly indicate which items will be furnished.

J. Bidders shall not rely on any verbal clarification of the Drawings and/or Specifications. Any questions shall be referred to the Engineer in writing at least five (5) working days prior to Bidding to allow for issuance of an Addendum.

K. Do not use Shop Drawings without an appropriate final stamp indicating action taken in connection with construction.

L. Prepare sheetmetal and sprinkler shop drawings drawn in the latest Revit version at 1 to 1 (full) scale and submit hard copy print plotted at ¼” = 1’-0”. Final approved drawings shall be turned over to the Owner on USB flash drive.

1.12 COORDINATION DRAWINGS

A. Prepare coordination drawings drawn in the latest Revit version in accordance with Division 1, at 1 to 1 (full) scale and submit hard copy plotted at ¼” = 1’-0” detailing major elements, components, and systems of mechanical equipment and materials in relationship with other systems, installations, and building components. Indicate locations where space is limited for installation and access and where sequencing and coordination of installations are of importance to the efficient flow of the Work, including (but not necessarily limited to) the following:

1. The Contractor shall indicate the proposed locations of piping, conduit, ductwork, equipment, and materials. Include the following:
   a. Clearances for servicing and maintaining equipment, including tube removal, filter removal, and space for equipment disassembly required for periodic maintenance.
   b. Equipment connections and support details.
   c. Exterior wall and foundation penetrations.
   d. Fire-rated wall and floor penetrations.
e. Sizes and locations of required concrete pads and bases.

B. Indicate scheduling, sequencing, movement, and positioning of large equipment into the building during construction.

C. Prepare floor plans, elevations, and details to indicate penetrations in floors, walls, and ceilings and their relationship to other penetrations and installations.

D. Prepare reflected ceiling plans to coordinate and integrate installations, air outlets and inlets, light fixtures, communication systems components, sprinklers, and other ceiling-mounted items.

E. The Contractor and each subcontractor shall sign and date each coordination drawing prior to submission.

F. Work shall not be performed until coordination drawings have been approved by the architect and engineer.

G. Electronic copies of the MEP floor plans are available to use as a basis for preparing coordination drawings and can be provided by the Engineer. If the Contractor elects to obtain the Engineer’s electronic files an Electronic Drawing File Release Form must be submitted with payment. This form must be signed by the Contractor, Owner, and Architect. Upon receipt of a signed copy of the Electronic Drawing File Release Form, and payment, the Engineer will provide copies of the electronic files for the Contractor’s use. A copy of the Electronic Drawing File Release Form is appended to the end of this specification section.

1.13 COORDINATION WITH OTHER DIVISIONS

A. All work shall be carried out in conjunction with other trades and full cooperation shall be given in order that all work may proceed with a minimum of delay and interference. Particular emphasis is placed on timely installation of major apparatus and furnishing other Contractors, especially the Contractor or Construction Manager, with information as to openings, chases, sleeves, bases, inserts, equipment locations, panels, etc., required by other trades.

B. The Contractors are required to examine all of the Project Drawings and mutually arrange work so as to avoid interference with the work of other trades. In general, ductwork, HVAC piping, sprinkler piping and drainage lines take precedence over water, gas and electrical conduits. The Engineer shall make final decisions regarding the arrangement of work which cannot be agreed upon by the Contractors.

C. Where the work of the Contractor will be installed in close proximity to or will interfere with work of other trades, the Contractors will cooperate in working out space conditions to make a satisfactory adjustment.

D. If the work under a Section is installed before coordinating with other Divisions or Sections or so as to cause interference with work of other Sections, the necessary changes to correct the condition shall be made by the Contractor causing the interference without extra charge to the Owner.
1.14 WORKMANSHIP

A. Service Support: The equipment items shall be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory service to the equipment on a regular and emergency basis during the warranty period of the contract.

B. Modification of References: In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears.

C. The Contractor shall furnish the services of an experienced superintendent who shall be constantly in charge of the installation of the work together with all skilled workmen, fitters, metal workers, welders, helpers and laborers required to unload, transfer, erect, connect, adjust, start, operate and test each system.

D. Unless otherwise specifically indicated on the Drawings or Specifications, all equipment and materials shall be installed with the acceptance of the Engineer and in accordance with the recommendations of the manufacturer. This includes the performance of such tests as the manufacturer recommends.

E. All labor for installation of mechanical systems shall be performed by experienced, skilled tradesmen under the supervision of a licensed journeyman foreman. All work shall be of a quality consistent with good trade practice and shall be installed in a neat, workmanlike manner. The Engineer reserves the right to reject any work which, in his opinion, has been installed in a substandard, dangerous or unserviceable manner. The Contractor shall replace said work in a satisfactory manner at no extra cost to the Owner.

1.15 SHUTDOWNS

A. When installation of a new system requires the temporary shutdown of an existing operating system, the connection of the new system shall be performed at such time as designated by the Owner.

B. The Engineer and the Owner shall be notified in writing of the estimated duration of the shutdown period at least ten (10) days in advance of the date the work is to be performed.

C. Work shall be arranged for continuous performance whenever possible. The Contractor shall provide all necessary labor, including overtime if required, to assure that existing operating services will be shut down only during the time actually required to make necessary connections.

1.16 TEMPORARY UTILITIES

A. General: Provide new materials and equipment; if acceptable to the Engineer, undamaged previously used materials in serviceable condition may be used. Provide materials suitable for the use intended.

B. Conditions of Use: Keep temporary services and facilities clean and neat in appearance. Operate in a safe and efficient manner. Take necessary fire prevention measures. Do not
overload facilities, or permit them to interfere with progress. Do not allow hazardous
dangerous or unsanitary conditions, or public nuisances to develop or persist on the site.

C. First Aid Supplies: Comply with governing regulations.

D. Fire Extinguishers: Provide hand-carried, portable UL-rated, class "A" fire extinguishers
for temporary offices and similar spaces. In other locations provide hand-carried,
portable, UL-rated, class "ABC" dry chemical extinguishers, or a combination of
extinguishers of NFPA recommended classes for the exposures.

E. Utilities: Engage the appropriate local utility company to install temporary service or
connect to existing service. Where the company provides only part of the service,
provide the remainder with matching, compatible materials and equipment; comply with
the company's recommendations.

1. Use Charges: Cost or use charges for temporary facilities are not chargeable to
the Owner or Engineer, and will not be accepted as a basis of claims for a
Change Order.

F. Water Service: Install water service and distribution piping of sizes and pressures
adequate for construction until permanent water service is in use.

G. Temporary Heat-Cool-Dehumidification: Provide temporary services required by
construction activities, for curing or drying of completed installations or protection of
installed construction from adverse effects of low temperatures or high humidity. Select
safe equipment that will not have a harmful effect on completed installations or elements
being installed. Coordinate temporary services to produce the ambient condition required
and minimize consumption of energy. The building’s permanent HVAC systems shall
not be used for these purposes.

H. Environmental Protection: Provide protection, operate temporary facilities and conduct
construction in ways and by methods that comply with environmental regulations, and
minimize the possibility that air, waterways and subsoil might be contaminated or
polluted, or that other undesirable effects might result. Avoid use of tools and equipment
which produce harmful noise. Restrict use of noise making tools and equipment to hours
that will minimize complaints from persons or firms near the site.

I. Termination and Removal: Unless the Engineer requires that it be maintained longer,
remove each temporary facility when the need has ended, or when replaced by authorized
use of a permanent facility, or no later than Substantial Completion. Complete or, if
necessary, restore permanent construction that may have been delayed because of
interference with the temporary facility. Repair damaged Work, clean exposed surfaces
and replace construction that cannot be satisfactorily repaired. Materials and facilities
that constitute temporary facilities are property of the Contractor. The Owner reserves the
right to take possession of Project identification signs.

1.17 BUILDING FLUSH-OUT

A. Building flush-out shall begin after construction ends and finishes are installed but prior
to building occupancy. Prior to building flush-out, HVAC systems shall be balanced per
Specification Section 23 05 93. Flush-out shall not occur until contractor receives
permission to proceed from the Owner or Owner’s representative. Flush-out shall continue during the first weeks of occupancy as scheduled below.

B. Building flush-out procedures shall include continuously operating all the building’s new ventilation systems at maximum design outside air flow rates. For constant volume HVAC systems, ventilation systems shall operate at maximum design supply air flow rates. For VAV systems, supply air flow shall be allowed to vary to maintain space temperatures. HVAC systems shall be set to maintain internal space temperatures at minimum 60°F and maximum 78°F and relative humidity at maximum 60% RH.

C. Building flush-out prior to occupancy: HVAC systems shall operate continuously, 24 hours per day, for a minimum period of 12 days. Commissioning and testing of the HVAC systems’ temperature controls shall be allowed during this time frame.

D. Building flush-out at start of occupancy: HVAC systems shall operate continuously, 24 hours per day, for a minimum period of 40 days.

1.18 PROJECT PHASING

A. Work under each Section shall include all necessary temporary connections, equipment, piping, heating, temperature control work, fire stopping, water heaters, labor, and material as necessary to accommodate the phasing of construction as developed by the General Contractor or Construction Manager and approved by the Owner. All existing systems that pass-thru an area of the building shall remain operational during all phases of construction. No extra compensation shall be granted the Contractor for work required to maintain existing systems operational or to accommodate the construction phasing of the project.

1.19 PROTECTION OF MATERIALS AND EQUIPMENT

A. Work under each Section shall include protecting the work and material of all other Sections from damage by work or workmen and shall include making good all damage thus caused.

B. The Contractor shall be responsible for work and equipment until the facility has been accepted by the Owner. Protect work against theft, injury or damage and carefully store material and equipment received on site which is not immediately installed. Close open ends of work with temporary covers or plugs during construction to prevent entry of foreign material.

C. Work under each Section includes receiving, unloading, uncrating, storing, protecting, setting in place and completely connecting equipment supplied under each Section. Work under each Section shall also include exercising special care in handling and protecting equipment and fixtures, and shall include the cost of replacing any of the equipment and fixtures which are missing or damaged.

D. Equipment and material stored on the job site shall be protected from the weather, vehicles, dirt and/or damage by workmen or machinery. Insure that all electrical or absorbent equipment or material is protected from moisture during storage.
1.20 ADJUSTING AND TESTING

A. After all the equipment and accessories to be furnished are in place, they shall be put in final adjustment and subjected to such operating tests so as to assure the Engineer that they are in proper adjustment and in satisfactory, permanent operating condition.

B. Where requested by the Engineer, a factory-trained service representative shall inspect the installation and assist in the initial startup and adjustment to the equipment. The period of these services shall be for such time as necessary to secure proper installation and adjustments. After the equipment is placed in permanent operation, the service representative shall supervise the initial operation of the equipment and instruct personnel responsible for operation and maintenance of the equipment. The service representative shall notify the Contractor in writing that the equipment was installed according to manufacturer’s recommendations and is operating as intended by the manufacturer.

1.21 CLEANING

A. The Contractor shall thoroughly clean and flush all piping, ducts and equipment of all foreign substances, oils, burrs, solder, flux, etc., inside and out before being placed in operation.

B. If any part of a system should be stopped or damaged by any foreign matter after being placed in operation, the system shall be disconnected, cleaned and reconnected wherever necessary to locate and/or remove obstructions. Any work damaged in the course of removing obstructions shall be repaired or replaced when the system is reconnected at no additional cost to the Owner.

C. During the course of construction, all ducts and pipes shall be capped in an acceptable manner to insure adequate protection against the entrance of foreign matter.

D. Upon completion of all work under the Contract, the Contractor shall remove from the premises all rubbish, debris and excess materials left over from his work. Any oil or grease stains on floor areas caused by the Contractor shall be removed and floor areas left clean.

E. Complete the following cleaning operations before requesting inspection for Certification of Substantial Completion.
   1. Remove labels that are not permanent labels.
   2. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compound and other substances that are noticeable vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials.
   3. Clean exposed exterior and interior hard-surfaced finishes to a dust-free condition, free of stains, films and similar foreign substances. Restore reflective surfaces to their original reflective condition. Leave concrete floors broom clean. Vacuum carpeted surfaces.
F. Comply with regulations of authorities having jurisdiction and safety standards for
   cleaning. Do not burn waste materials. Do not bury debris or excess materials on the
   Owner’s property. Do not discharge volatile, harmful or dangerous materials into
   drainage systems. Remove and dispose of ALL waste materials, packaging material,
   skids etc. from the site and dispose of in a lawful manner in accordance with municipal,
   state and federal regulations.

G. Where extra materials of value remaining after completion of associated Work have
   become the Owner's property, arrange for disposition of these materials as directed.

1.22 OPERATING AND MAINTENANCE

A. Upon completion of all work and tests, the Contractor shall furnish the necessary skilled
   labor and helpers for operating his system and equipment for a period specified under
   each applicable Section of this Division. During this period, he shall fully instruct the
   Owner or the Owner’s representative in the operation, adjustment and maintenance of all
   equipment furnished. The Contractor shall give at least seven (7) days notice to the
   Owner and the Engineer in advance of this period.

B. The Contractor shall include the maintenance schedule for the principal items of
   equipment furnished under this Division.

C. The Contractor shall physically demonstrate procedures for all routine maintenance of all
   equipment furnished under each respective Section to assure accessibility to all devices.

D. An authorized manufacturer’s representative shall attest in writing that the equipment has
   been properly installed prior to startup of any major equipment. The following
   equipment will require this inspection: pumps; air conditioning equipment, controls, air
   handling equipment, compressors, boilers etc. These letters shall be bound into the
   operating and maintenance books.

E. Refer to individual trade Sections for any other particular requirements related to
   operating instructions.

F. Demonstration shall be recorded on USB Flash drive turned over to the Owner.

1.23 OPERATING AND MAINTENANCE MANUALS

A. Prepare operating and maintenance manuals in accordance with the requirements of
   Division 1 and as follows. The Contractor shall prepare six (6) copies of a complete
   maintenance and operating instructions manual, bound in booklet form. Organize
   operating and maintenance data into suitable sets of manageable size. Bind properly
   indexed data in individual heavy-duty 3-ring vinyl-covered binders, with pocket folders
   for folded sheet information and designation partitions with identification tabs. Mark
   appropriate identification on front and spine of each binder.

B. Manual shall include the following:
   1. Description of function, normal operating characteristics and limitations,
      performance curves, engineering data and tests, and complete nomenclature and
      commercial numbers of replacement parts.
2. Manufacturer's printed operating procedures to include start-up, break-in, and routine and normal operating instructions; regulation, control, stopping, shutdown, and emergency instructions; and summer and winter operating instructions.

3. Maintenance procedures for routine preventative maintenance and troubleshooting; disassembly, repair, and reassembly; aligning and adjusting instructions.

4. Servicing and operating instructions including lubrication charts and schedules.

5. Emergency and safety instructions.

6. Spare parts list.


8. Wiring diagrams.

9. Recommended "turn around" cycles.

10. Inspection procedures.

11. Approved Shop Drawings and Product Data.

12. Equipment Start-up Reports.

13. Temperature control diagrams and written sequences of operations.


C. Include in the manual, a tabulated equipment schedule for all equipment. Schedule shall include pertinent data such as: make, model number, serial number, voltage, normal operating current, belt size, filter quantities and sizes, bearing number, etc. Schedule shall include maintenance to be done and frequency.

D. Maintenance and instruction manuals shall be submitted to the Owner at the same time as the seven (7) day notice is given prior to the instruction period.

1.24 ACCEPTANCES

A. The equipment, materials, workmanship, design and arrangement of all work installed under the Mechanical Sections shall be subject to the review of the Engineer.

B. Within 30 days after the awarding of a Contract, the Mechanical Contractor shall submit to the Engineer, for review, a list of manufacturers of equipment proposed for the work under the Mechanical Sections. The intent to use the exact manufacturers and models specified does not relieve the Contractor of the responsibility of submitting such a list.

C. If extensive or unacceptable delivery time is expected on a particular item of equipment specified, the Contractor shall notify the Owner and Engineer, in writing, within 30 days of award of the Contract. In such instances, equipment substitutions may be made pending acceptance by the Engineer or the Owner’s representative.

D. Where any specific material, process or method of construction or manufactured article is specified by reference to the catalog number of a manufacturer, the Specifications are to be used as a guide and are not intended to take precedence over the basic duty and performance specified or noted on the Drawings. In all cases, the Mechanical Contractor shall verify the duty specified with the specific characteristics of the equipment offered for review. Equipment characteristics are to be used as mandatory requirements where the Contractor proposes to use an acceptable equivalent.
E. If material or equipment is installed before it is reviewed and/or approved, the Contractor shall be liable for its removal and replacement at no extra charge to the Owner if, in the opinion of the Engineer, the material or equipment does not meet the intent of, or standard of quality implied by, the Drawings and Specifications.

F. Failure on the part of the Engineer to reject shop drawings or to reject work in progress shall not be interpreted as acceptance of work not in conformance with the Drawings and/or Specifications. Work not in conformance with the Drawings and/or Specifications shall be corrected whenever it is discovered.

1.25 RECORD DRAWINGS

A. General: Do not use record documents for construction purposes; protect from deterioration and loss in a secure, fire-resistive location; provide access to record documents for the Engineer's reference during normal working hours.

B. Maintain a clean, undamaged set of blue or black line white-prints of Contract Drawings and Shop Drawings. Mark the set to show the actual installation where the installation varies substantially from the Work as originally shown. Mark whichever drawing is most capable of showing conditions fully and accurately. Give particular attention to concealed elements that would be difficult to measure and record at a later date. Items to be indicated include but are not limited to:
   1. Dimensional change
   2. Revision to drawing detail
   3. Location and depth of underground utility
   4. Revision to pipe routing
   5. Revision to electrical circuitry
   6. Actual equipment location
   7. Duct size and routing
   8. Location of concealed internal utility
   9. Changes made by Change Order
  10. Details not on original Contract Drawing
  11. Information on concealed elements which would be difficult to identify or measure later

C. Mark record sets with red erasable pencil; use other colors to distinguish between variations in separate categories of the Work.

D. Mark new information that is important to the Owner, but was not shown on Contract Drawings or Shop Drawings.

E. Note related Change Order numbers where applicable.

F. Organize record drawing sheets into manageable sets, bind with durable paper cover sheets, and print suitable titles, dates and other identification on the cover of each set.

G. These shall be clearly marked for Record Drawings on a clean set of reproducible paper copies at the completion of the work and turned over to the Owner.
H. Final record documents shall be prepared in the latest Revit version and on USB Flash drive of all drawings and a clean set of reproducible paper copies shall be turned over to the Owner at the completion of the work.

1.26 Warranties and Bonds

A. The following general administrative and procedural requirements for warranties and bonds required by the Contract Documents, including manufacturers standard warranties on products and special warranties are to be included:
   1. General close-out requirements included in Division 1.
   2. Specific requirements for warranties for the Work and products and installation that are specified to be warranted, are included in the individual Sections of Divisions-2 through -50.
   3. Certifications and other commitments and agreements for continuing services to Owner are specified elsewhere in the Contract Documents.

B. Disclaimers and Limitations: Manufacturer's disclaimers and limitations on product warranties do not relieve the Contractor of the warranty on the Work that incorporates the products, nor does it relieve suppliers, manufacturers, and subcontractors required to countersign special warranties with the Contractor.

C. Separate Prime Contracts: Each prime Contractor is responsible for warranties related to its own Contract.

1.27 Warranty Requirements

A. Related Damages and Losses: When correcting warranted Work that has failed, remove and replace other Work that has been damaged as a result of such failure or that must be removed and replaced to provide access for correction of warranted Work.

B. Reinstatement of Warranty: When Work covered by a warranty has failed and been corrected by replacement or rebuilding, reinstate the warranty by written endorsement. The reinstated warranty shall be equal to the original warranty with an equitable adjustment for depreciation.

C. Replacement Cost: Upon determination that Work covered by a warranty has failed, replace or rebuild the Work to an acceptable condition complying with requirements of Contract Documents. The Contractor is responsible for the cost of replacing or rebuilding defective Work regardless of whether the Owner has benefited from use of the Work through a portion of its anticipated useful service life.

D. Owner's Recourse: Written warranties made to the Owner are in addition to implied warranties, and shall not limit the duties, obligations, right and remedies otherwise available under the law, nor shall warranty periods be interpreted as limitations on time in which the Owner can enforce such other duties, obligations, rights, or remedies.

E. Rejection of Warranties: The Owner reserves the right to reject warranties and to limit selections to products with warranties not in conflict with requirements of the Contract Documents.
F. The Owner reserves the right to refuse to accept Work for the Project where a special warranty, certification, or similar commitment is required on such Work or part of the Work, until evidence is presented that entities required to countersign such commitments are willing to do so.

G. Submit written warranties to the Engineer prior to the date certified for Substantial Completion. If the Engineer's Certificate of Substantial Completion designates a commencement date for warranties other than the date of Substantial Completion for the Work, or a designated portion of the Work, submit written warranties upon request of the Engineer.

H. When a designated portion of the Work is completed and occupied or used by the Owner, by separate agreement with the Contractor during the construction period, submit properly executed warranties to the Engineer within fifteen days of completion of that designated portion of the Work.

I. When a special warranty is required to be executed by the Contractor, or the Contractor and a subcontractor, supplier or manufacturer, prepare a written document that contains appropriate terms and identification, ready for execution by the required parties. Submit a draft to the Owner through the Engineer for approval prior to final execution.
   1. Refer to individual Sections of Divisions-1 through -50 for specific content requirements, and particular requirements for submittal of special warranties.

J. Form of Submittal: At Final Completion compile two copies of each required warranty and bond properly executed by the Contractor, or by the Contractor, subcontractor, supplier, or manufacturer. Organize the warranty documents into an orderly sequence based on the table of contents of the Project Manual.

K. Bind warranties and bonds in heavy-duty, commercial quality, durable 3-ring vinyl covered loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2" by 11" paper.
   1. Provide heavy paper dividers with celluloid covered tabs for each separate warranty. Mark the tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product, and the name, address and telephone number of the installer.
   2. Identify each binder on the front and the spine with the typed or printed title "WARRANTIES AND BONDS," the Project title or name, and the name of the Contractor.
   3. When operating and maintenance manuals are required for warranted construction, provide additional copies of each required warranty, as necessary, for inclusion in each required manual.

1.28 GUARANTEES

A. The Contractor shall guarantee all material and workmanship under these Specifications and the Contract for a period of one (1) year from the date of final acceptance by Owner. During this guarantee period, all defects developing through faulty equipment, materials or workmanship shall be corrected or replaced immediately by this Contractor without expense to the Owner. Such repairs or replacements shall be made to the Engineer's satisfaction.
B. Contractor shall provide name, address, and phone number of all contractors and subcontractors and associated equipment they provided.

1.29 PROJECT CLOSE-OUT

A. Section 01 40 00 - Quality Requirements, Section 01 73 00 - Execution and Section 017700 Closeout Procedures.

B. Submit specific warranties, workmanship bonds, maintenance agreements, final certifications and similar documents in accordance with Division 1.

C. Deliver tools, spare parts, extra stock, and similar items.

D. Complete start-up testing of systems, including measuring and documenting all required startup checklist requirements documented in installation and maintenance instructions by the equipment manufacturer, and instruction of the Owner’s operating and maintenance personnel. Discontinue or change over and remove temporary facilities from the site, along with construction tools, mock-ups, and similar elements.

E. Complete final clean up requirements, including touch-up painting. Touch-up and otherwise repair and restore marred exposed finishes.

F. Field Observation Procedures: On receipt of a request for an Engineers Field Observation, the Engineer will advise the Contractor of unfulfilled requirements. The Engineer will advise the Contractor of construction that must be completed or corrected before the certificate will be issued.
   1. The Engineer will repeat the Field Observation when requested and assured that the Work has been substantially completed.
   2. Results of the completed list of unfulfilled items will form the basis of requirements for final acceptance.

END OF SECTION 23 0400
Electronic Drawing File Release Form

DELIVERY OF FILES FOR: ________________________________

Project Name

In accepting and utilizing any drawings or other data on any form of electronic media generated and provided by the Design Professional, the Client covenants and agrees that all such drawings and data are instruments of service of the Design Professional, who shall be deemed the author of the drawings and data, and shall retain all common law, statutory law and other rights, including copyrights.

The Client further agrees not to use these drawings and data, in whole or in part, for any purpose or project other than the project which is the subject of this Agreement. The Client agrees to waive all claims against the Design Professional resulting in any way from any unauthorized changes or reuse of the drawings and data for any other project by anyone other than the Design Professional.

In addition, the Client agrees, to the fullest extent permitted by law, to indemnify and hold the Design Professional harmless from any damage, liability or cost, including reasonable attorneys’ fees and costs of defense, arising from any changes made by anyone other than the Design Professional or from any reuse of the drawings and data without the prior written consent of the Design Professional.

Under no circumstances shall transfer of the drawings and other instruments of service on electronic media for use by the Client be deemed a sale by the Design Professional, and the Design Professional makes no warranties, either express or implied, of merchantability and fitness for any particular purpose.

__________________________________________________________

Client’s Signature

Date

__________________________________________________________

Company - Title

__________________________________________________________

Architects’ Signature

Date

__________________________________________________________

Firm - Title

__________________________________________________________

Owner’s Signature

Date

__________________________________________________________

Company - Title
SECTION 230900 - DIRECT-DIGITAL CONTROL SYSTEM FOR HVAC

PART 1 GENERAL

1.1 SUMMARY

A. Related Sections:
   1. Section 230993 – Sequence of operations
   2. Section 23 04 00 – General Conditions for Mechanical Trades
   3. Division 26 - Electrical

1.2 SUBMITTALS

A. Section 01 33 00 - Submittal Procedures: Submittal procedures.

B. Shop Drawings: Indicate mechanical system controlled and control system components.
   1. Label with settings, adjustable range of control and limits. Submit written description of control sequence.
   2. Submit flow diagrams for each control system, graphically depicting control logic.
   3. Submit draft copies of graphic displays indicating mechanical system components, control system components, and controlled function status and value.
1.3 CLOSEOUT SUBMITTALS

A. Section 01 70 00 - Execution and Closeout Requirements: Closeout procedures.

B. Project Record Documents: Record actual locations of components and set points of controls, including changes to sequences made after submission of shop drawings.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

3.1 VARIABLE AIR SYSTEM - CONTROL SYSTEM DESCRIPTION

A. Provide a new Air System Controller or Building Automation System (BAS) to integrate and control all mechanical equipment associated with the variable air system.

1. The Building Automation System shall be as indicated on the drawings and described in these specifications. System must be fully integrated and coordinated with mechanical equipment DDC controllers furnished and installed in the equipment manufacturer’s factory as specified in those sections. The intent of the BAS is to integrate all mechanical equipment into one system for global monitoring, control, and alarming associated with the building. It is the BAS manufacturer’s responsibility to provide all the design, engineering, and field coordination required to ensure all equipment sequence of operations are met as specified and the designated BAS operators have the capability of managing the building mechanical system to ensure occupant comfort while maintaining energy efficiency.

2. The BAS shall meet open standard protocol communication standards (As defined in System Communications Section) to ensure the system maintains “interoperability” to avoid proprietary arrangements that will make it difficult for the Owner to consider other BAS manufacturers in future projects.

3. Direct Digital Control (DDC) technology shall be used to provide the functions necessary for control of mechanical systems and terminal devices on this project.

4. The BAS shall accommodate simultaneous multiple user operation. Access to the control system data should be limited only by the security permissions of the operator role. Multiple users shall have access to all valid system data. An operator shall be able to log onto any workstation on the control system and have access to all appropriate data.

3.2 VARIABLE AIR SYSTEM - APPROVED CONTROL SYSTEM MANUFACTURES

A. The following Building Automation System Manufactures are approved to provide controls systems for the Variable Air System project

1. Trane Tracer® - Basis of Design

2. Approved equal
3.3 VARIABLE AIR SYSTEM - COMMUNICATIONS

A. System communications

1. Each workstation, building controller, and equipment/plant controller communication interface shall utilize the BACnet™ protocol with an Ethernet (IEEE 802.3, 802.11) or RS485 (EIA-485) physical interface and an appropriate data link technology as defined in ANSI®/ASHRAE® Standard 135-2012. (e.g. BACnet IP, BACnet IPv6, BACnet MS/TP).

2. All system controllers shall be BTL listed as a BACnet Building Controller (B-BC) as defined in ANSI®/ASHRAE® Standard 135-2012.

3. All documented status and control points, schedule, alarm, and data-log services or objects shall be available as standard object types as defined in ANSI®/ASHRAE® Standard 135-2012.

4. Each System Controller shall communicate with a network of Custom Application and Application Specific Controllers utilizing one or more of the interfaces documented within Field Bus Communications below.

5. For minimally managed IP networks, BACnet communication shall support BACnet Secure Connect (BACnet/SC), a secure and encrypted datalink layer specifically design for those networks.

B. Field Bus Communications

1. BACnet

   a. All equipment and plant controllers shall be BTL listed as a BACnet Application Specific Controller (B-ASC) or a BACnet Advanced Application Controller (B-AAC) as defined in ANSI®/ASHRAE® Standard 135-2012.

   b. All communication shall conform to ANSI®/ASHRAE® Standard 135-2012.

   c. System Controller shall function as a BACnet router to each unit controller providing a globally unique BACnet Device ID for all BACnet controllers within the system.

   d. BACnet MS/TP

      1) Communication between System Controller and equipment/plant controllers shall utilize BACnet MS/TP as defined in ANSI®/ASHRAE® Standard 135-2012

3.4 VARIABLE AIR SYSTEM - OPERATOR INTERFACE

A. Provide Operator Web Interface to allow system operators to view and adjust Variable Air System status, set-points and alarms.

   1. Manufacturer shall provide a user interface with time-of-day schedules, data collection, dashboards, reports and building summary, system applications, and self-expiring timed overrides. Manufacturer shall provide a published user and applications guide(s) that detail the system application operation, configuration, setup and troubleshooting.

   2. The building operator web interface shall be accessible via a web browser without requiring any “plug-ins” (i.e. JAVA Runtime Environment (JRE), Adobe Flash).

   3. User Roles
a. The system shall include pre-defined “roles” that allow a system administrator to quickly assign permissions to a user.
b. User logon/logoff attempts shall be recorded.
c. The system shall protect itself from unauthorized use by automatically logging off following the last keystroke. The delay time shall be user definable.

4. On-Line Help and Training
   a. Provide a context sensitive, on line help system to assist the operator in operation and configuration of the system.
   b. On-line help shall be available for all system functions and shall provide the relevant data for each particular screen.

5. Equipment and Application Pages
   a. The operator web interface shall include standard pages for all equipment and applications. These pages shall allow an operator to obtain information relevant to the operation of the equipment and/or application, including:
      1) Animated Equipment Graphics for each major piece of equipment and floor plan in the System. This includes:
         a) Each Chiller, Air Handler, VAV Terminal, Fan Coil, Boiler, and Cooling Tower. These graphics shall show all points dynamically as specified in the points list.
         b) Animation capabilities shall include the ability to show a sequence of images reflecting the position of analog outputs, such as valve or damper positions. Graphics shall be capable of launching other web pages.
      2) Alarms relevant to the equipment or application without requiring a user to navigate to an alarm page and perform a filter.
      3) Historical Data (As defined in Data Log section below) for the equipment or application without requiring a user to navigate to a Data Log page and perform a filter.

6. Provide Variable Air System graphics: An operator shall be able to view and control (where applicable) the following parameters via the operator web interface:
   a. System Mode
   b. System Occupancy
   c. Ventilation (Outdoor air flow) setpoint
   d. Ventilation (Outdoor air flow) status
   e. Air Handler Static pressure setpoint
   f. Air Handler Static pressure status
   g. Air Handler Occupancy status
   h. Air Handler Supply air cooling and heating set points
   i. Air Handler minimum, maximum and nominal static pressure setpoints
   j. VAV box minimum and maximum flow
   k. VAV box drive open and close overrides
   l. VAV box Airflow to space
   m. Average space temperature
   n. Minimum space temperature
   o. Maximum space temperature

7. System Graphics. Building operator web interface shall be graphically based and shall include at least one graphic per piece of equipment or occupied zone,
graphics for each chilled water and hot water system, and graphics that summarize conditions on each floor of each building included in this contract. Indicate thermal comfort on floor plan summary graphics using colors to represent zone temperature relative to zone set point.

a. Graphic Imagery – graphics shall use 3D images for all standard and custom graphics. The only allowable exceptions will be photo images, maps, schematic drawings, and selected floor plans.

b. Animation. Graphics shall be able to animate by displaying different image slices for changed object status.

c. Alarm Indication. Indicate areas or equipment in an alarm condition using color or other visual indicator

8. Graphics Library. Furnish a library of standard HVAC equipment such as chillers, air handlers, terminals, fan coils, unit ventilators, rooftop units, and VAV boxes, in 3-dimensional graphic depictions. The library shall be furnished in a file format compatible with the graphics generation package program


a. Point Control. Provide a method for a user to view, override, and edit if applicable, the status of any object and property in the system. The point status shall be available by menu, on graphics or through custom programs.

b. Temporary Overrides. The user shall be able to perform a temporary override wherever an override is allowed, automatically removing the override after a specified period of time.

c. Override Owners. The system shall convey to the user the owner of each override for all priorities that an override exists.

d. Provide a specific icon to show timed override or operator override, when a point, unit controller or application has been overridden manually.

10. Scheduling. - The scheduling application shall provide graphical representation of the day, week, month and exception events.

11. Alarm/Event Notification

a. Alarm/Event Log. The operator shall be able to view all logged system alarms/events from any building operator web interface.

   1) The operator shall be able to sort and filter alarms from events. Alarms shall be sorted in a minimum of 4 categories based on severity.

   2) The operator shall be able to acknowledge and add comments to alarms

   3) Alarm/event messages shall use full language, easily recognized descriptors.

b. Alarm Suppression. Alarms shall be able to be suppressed based on load/source relationships to present the likely root cause to the building operator as described in ASHRAE Guideline 36. Load/Source relationships shall be configurable by the user through a web interface.

12. Reports and Logs.

a. The building operator web interface shall provide a reporting package that allows the operator to select reports.

b. The building operator web interface shall provide the ability to schedule reports to run at specified intervals of time.
c. The following standard reports shall be available without requiring a user to manually configure the report:
   1) All Points in Override Report: Provide an on demand report showing all overrides in effect.
   2) Commissioning Report: Provide a one-time report that lists all equipment with the unit configuration and present operation.
   3) All Points in Alarm Report: Provide an on demand report showing all current alarms.
   4) Points report: Provide a report that lists the current value of all points.

d. The controls vendor shall provide a hardening report that summarizes the port configuration details to ensure sites have not been exposed to the Internet in alignment with Cyber Security best practices.

B. Provide Mobile App Interface
   1. Controls manufacturer shall provide a phone/tablet interface with the ability to view/override status and setpoints, view/change schedules, view/acknowledge/comment on alarms, and view graphics for all spaces and equipment. This phone/tablet interface shall resize itself appropriately for the size of the interface (i.e. no "pinching and zooming" required). This phone/tablet interface shall function remotely from the facility while following IT security best practices (e.g. no ports exposed to the internet).
   2. Provide mobile (smart phone or tablet) interfaces to the building automation system, compatible with iOS and Android operating systems.
   3. Controls manufacturer shall provide a phone/tablet interface with the ability to view/override status and setpoints, view/change schedules, view/acknowledge/comment on alarms, and view graphics for all spaces and equipment.
   4. This phone/tablet interface shall resize itself appropriately for the size of the interface (i.e. no "pinching and zooming" required).
   5. This phone/tablet interface shall function remotely from the facility while following IT security best practices (e.g. no ports exposed to the internet).
   6. The operator interface shall support system access on a mobile device via a mobile app to:
      a. Alarm log
      b. System Status
      c. Equipment status
      d. Space Status
      e. Standard Equipment graphics
      f. Override set points
      g. Override occupancy
      h. Acknowledge Alarms
      i. Add Comment(s) to Alarms

C. Provide Local Occupant Interface – Touch sensitive display
   1. Provide a color touch sensitive display that allows the building occupants to accomplish the following tasks:
      a. Occupant override of the system/equipment operating mode shall be possible with a single touch on the local operator display. With the ability to set up point overrides to expire at designated times
b. The local operator display shall provide occupant access to system time of day scheduling. Occupants shall have the ability to schedule events more than one year in advance. Exception schedules and holidays shall be shown clearly on the calendar, visible to the occupant on the touchscreen display.

c. The local operator display shall offer PIN control, which shall limit system control access to only those with proper login credentials.

d. The local operator display shall display the alerts that require service of the connected equipment.

2. To ensure interoperability with the Building Automation System (BAS), the local operator display shall be provided by the BAS solution provider associated with this project.

3. Local operator display shall be a minimum of 10 inches in size and be provided with mounting hardware to allow it to be installed on an office wall or control panel door.

3.5 VARIABLE AIR SYSTEM - BUILDING / SYSTEM CONTROLLERS

A. There shall be one or more independent, standalone microprocessor based System Controllers to manage the global strategies described in CONTROLLER SOFTWARE section.

1. The controller shall provide a USB communications port for connection to a PC.

2. The operating system of the Controller shall manage the input and output communications signals to allow distributed controllers to share real and virtual point information and allow central monitoring and alarms.

3. All System Controllers shall have a real time clock and shall be able to accept a BACnet time synchronization command for automatic time synchronization.

4. Data shall be shared between networked System Controllers.

5. Serviceability – The System Controller shall have a display on the main board that indicates the current operating mode of the controller.

B. Controls manufacturer shall provide secure remote access to the Building Automation System (BAS). Secure remote access shall not require IP ports to be "exposed" (i.e. port-forwarded or external public IP addresses) to the Internet. Controls manufacturer shall update secure remote access software as necessary to follow cyber security best practices and respond to cyber security events.

3.6 VARIABLE AIR SYSTEM - CONTROLLER SOFTWARE

A. Variable Air System Controls Manufacturer shall provide standard applications to deliver HVAC system control. Standard applications include Time of Day Scheduling with Optimal Start/Stop, VAV Air Systems Control, Chiller Plant Control, Historical Trend Logs and Trim and Respond. Manufacturer shall provide system optimization strategies for functions such as fan pressure optimization and ventilation optimization.

B. Furnish the following applications software for building and energy management. All software applications shall reside and run in the system controllers. Editing of applications shall occur at the building operator interface.

1. VAV Air Systems Applications
a. The BAS shall provide air system applications that coordinate air handlers (AHU)/rooftop units (RTU) and Variable Air Volume Terminal equipment.

b. The air system applications shall perform the following functions:
   1) Startup and shutdown the air handler safely. Ensure the VAV boxes are open sufficiently when the air handler is running, to prevent damage to the ductwork and VAV boxes due to high air pressure.
   2) Optimized Control of Supply Duct Static Pressure (ASHRAE 90.1, Guideline 36) - Minimize energy usage by controlling system static pressure to the lowest level while maintaining zone airflow requirements. Trim and respond reset logic shall reset setpoint within the range of min and max values based on zone requests.
   3) During commissioning, and with the engineer/owner, the controls contractor shall confirm the performance of Optimized Control of Supply Duct Static Pressure by conducting a field functional test that demonstrates critical zone reset.
   4) Demand Controlled Ventilation – the active ventilation setpoint shall modulate between the occupied ventilation and occupied standby ventilation setpoint; Resetting the setpoint based on CO2 levels in the space.

c. The Air Systems application shall provide a user interface that includes status of current system operation with real time data of key operating parameters. Key operating parameters for Guideline 36 include:
   1) Duct Static Pressure
   2) Duct Static Optimization Setpoint
   3) Ventilation Optimization Setpoint
   4) Ventilation Optimization Maximum VAV Vent Ratio/Source VAV box
   5) Discharge Air Temperature
   6) Duct Static Optimization System Requests

d. The air system application status screens shall explain what optimization calculations are occurring, critical parameters, and source equipment members.

e. The air systems applications shall provide a user interface that enables configuration changes made by swipe and type fields, selection list, and check box entry for feature definition:
   1) VAV Auxiliary Night Heat
   2) VAV Source Temperature Distribution
   3) Changeover System control
   4) Start/Stop Delay operation
   5) Enable/Disable Optimization Strategies

f. The operation of VAV Terminal equipment members of the VAV Air System shall be selected by check box to optionally participate in the following functions:

g. The operation of VAV Terminal equipment members of the VAV Air System shall be selected by check box to optionally participate in the following functions when for Guideline 36 applications:
   1) System calculations (min, max, average)
2) Duct Pressure Optimization
3) Ventilation Optimization
4) Drive to Maximum Override
5) Common Source Temperature
6) Common Space

h. The air system application vendor shall provide a published applications guide that details the air system application operation, configuration, setup, and troubleshooting. The applications guide documentation shall be maintained under version control, and updated by the manufacturer to reflect most recent feature updates as made available. Contents of the guide shall include:
   1) Description of System Operation
   2) Required Components
   3) Sequences of Operation
   4) Installation
   5) Controller Setup
   6) Required Programming
   7) Commissioning
   8) Optimization Strategies
   9) Special Applications
   10) Troubleshooting

i. The air system application shall present in plain user language the current operation with source zone information and reset events.

C. Trend Logs
   1. The system shall harvest trend logs for defined key measurements for each controlled HVAC device and HVAC application. Trend logs shall be captured for a minimum of 5 key operating points for each piece of HVAC equipment and HVAC application and stored for no less than 1 year at 15-minute intervals. Data Logs shall be capable of being configured on an interval or change of value basis.
      a. Variable Air System (VAS)
         1) Duct Static Optimization Duct Static Setpoint
         2) Space Temperature Average
         3) Ventilation Optimization Air Setpoint
         4) Operating Mode
         5) Duct Pressure Optimization Maximum
      b. Air Handling Unit/Rooftop (VAV)
         1) Discharge Air Temperature
         2) Discharge Air Temperature Setpoint Active
         3) Space Temperature Active
         4) Cooling Capacity Status
         5) Discharge Air Flow
      c. VAV box
         1) Discharge Air Temperature
         2) Space Temperature Active
         3) Space Temperature Setpoint Active
         4) Air Flow Setpoint Active
         5) Discharge Air Flow

D. Trim and Respond
1. The BAS shall provide a setpoint reset application program based on ‘trim and respond’ functionality as outlined in ASHRAE Guideline 36.

END OF SECTION 230900
SECTION 230993 - SEQUENCE OF OPERATIONS FOR HVAC CONTROLS

PART 1 GENERAL

1.1 SUMMARY

A. Section includes sequence of operation for:
   1. Air Handling Units.

B. Related Sections:
   1. Section 23 04 00 – General Conditions for Mechanical Trades
   2. Division 26 - Electrical

1.2 SUBMITTALS

A. Section 01 33 00 - Submittal Procedures: Submittal procedures.

B. Shop Drawings: Indicate mechanical system controlled and control system components.
   1. Label with settings, adjustable range of control and limits. Submit written description of control sequence.
   2. Submit flow diagrams for each control system, graphically depicting control logic.
   3. Submit draft copies of graphic displays indicating mechanical system components, control system components, and controlled function status and value.
1.3 CLOSEOUT SUBMITTALS

A. Section 01 70 00 - Execution and Closeout Requirements: Closeout procedures.

B. Project Record Documents: Record actual locations of components and set points of controls, including changes to sequences made after submission of shop drawings.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

3.1 GENERAL

A. Provide all controls, low voltage control wiring, hardware points (analog in, analog out, binary in, binary out) and accessories as required to perform the control sequences indicated. Additionally, provide hardware points indicated regardless that such points may not be required to perform the control sequences indicated.

B. Unless otherwise indicated, setpoints and values listed in the sequence of controls shall be adjustable by the Owner thru the DDC (direct digital controls) or BMS (Building Management System) (BMS and DDC are used interchangeably and share same meaning) graphical interface; the Owner shall not be required to edit operating code in order to change any of the setpoints and values.

C. Heating shall only be enabled when the system is indexed to heating mode (shall occur when outside air temperature is below 65°F).

D. Temperature Sensors
   1. General
      a. Where temperature ranges, reset temperatures, setback temperatures, setup temperatures, deadbands, override times, etc. are indicated, those values shall be adjustable both globally and locally.
      b. Each temperature control zone shall be initially set to default to the global settings, with a flag or similar to override the global setting for each of the values for that zone.
      c. The values indicated herein shall be the initial global settings.
   2. Classroom spaces
      a. Unless otherwise indicated, temperature sensors shall be digital temperature adjustment (plus & minus two degrees from BMS setpoint) where occupant can change room temperature setpoints with BMS lockout capabilities. Initial setpoints for sensors shall be as follows:
         b. Occupied
            1) Heating (defined as outside air temperature is below 65°F): 68°F.
2) Cooling (defined as outside air temperature is above 68°F):
   75°F.

c. Unoccupied
   1) Heating: 58°F.
   2) Cooling: 82°F.

d. Occupied Override
   1) Where there are no occupancy sensors in the same space as a
      temperature sensor, temperature sensors shall be provided with
      momentary type occupied override buttons. Upon activation of
      the occupied override button, the space will go to occupied mode
      for a specific period of time. Set override time at 3 hours
      initially (configurable).

E. Occupied/Unoccupied Programming
   1. The HVAC equipment shall be indexed to warm-up and cool-down modes via
      the BMS, optimal start/stop shall be programmed in for all equipment so that
      equipment start and start times are determined based on outside air conditions
      and system learns based on past building history. The operator station software
      configuration shall allow the Owner to easily select the occupied and unoccupied
      hours by individual zone, global by air handler, or global by building. The BMS
      shall trend the outside air temperature with respect to the warm-up times, and
      shall optimize the warm-up times such that the spaces reach occupied
      temperature by the time selected.
   2. Terminal heating and cooling units
      a. Warm-up (morning): Units shall operate in the warm-up mode until the
         space reaches the occupied temperature setpoint, at which point the unit
         shall be indexed to the occupied mode.
      b. Cool-down (evening): Units shall operate in the cool-down mode until
         the space reaches the unoccupied temperature setpoint, at which point the
         unit shall be indexed to the unoccupied mode.

F. Air handling units: Shall remain in the warm-up mode until all spaces served reach
   occupied temperature setpoints, at which point the units shall be indexed to occupied
   mode.

3.2 CONTROL DEVICES

A. All devices and sensors shall be adjustable. BMS shall display setpoints and actual
   conditions/status of all control devices and position of all actuators (damper and valve,
   with the exception of at finned tube raidators where position/status of actuators is not
   required at control valve) at the central personal computer (PC) thru the use of end
   switches and potentiometers integral to actuators.

3.3 ECONOMIZER COOLING

A. Where economizer cooling is indicated as the first stage of cooling, the economizer
   cooling sequence of control shall be as follows:
   1. Economizer cooling shall be enabled when all of the following are true (see also
      paragraph 2.);
      a. The outside air temperature is less than 65°F.
b. The outside air temperature is less than the return air temperature.
c. The outside air enthalpy is less than 22 btu/lb.
d. The outside air enthalpy is less than the return air enthalpy.

2. The BMS system operator shall, via single click editing, be easily able to select any or all of items a. thru d. of the previous paragraph for economizer enabling, for individual air handling systems and for air handling systems globally. Additionally, the BMS system operator shall be easily able to globally change the setpoints for items a. and c. of the previous paragraph.

3. Economizer cooling shall be integrated; economizer cooling shall continue to operate during stages of mechanical cooling as long as all four of the conditions indicated in paragraph 1 are true (or as selected by the system operator per paragraph 2).

4. When both mechanical cooling is anticipated to be required the next day (by trending the outside air enthalpy), and economizer cooling is available during the unoccupied cycle, economizer cooling shall be operated during the unoccupied cycle to pre-cool the spaces served by the air handler to 70°F.

3.4 FIN TUBE RADIATION

A. General: ATC Contractor to provide and monitor space sensor.

B. Fin Tube Radiation with air handling units
   1. Fin Tube Radiation shall be the first stage of heating.
      a. Warm-up (morning): On call for heating the control valve shall be open.
      b. Occupied: On call for heating the control valve shall be open.
      c. Cool-down (evening): The control valve shall be closed.
      d. Unoccupied: On call for heating the control valve shall be open.

3.5 AIR TERMINAL UNITS

A. Single Duct Variable Volume Air Terminal Units (with Heating Coil):
   1. Occupied Cycle: On rise in space temperature above cooling setpoint, air terminal unit damper modulates open to maximum air quantity. As space temperature drops below cooling setpoint, air terminal unit damper modulates closed to its minimum air quantity. As space temperature continues to fall to heating setpoint, air terminal unit damper modulates to heating minimum air quantity. Heating coil will modulate open heating coil control valve.
   2. Unoccupied Cycle: Air terminal damper is normally closed. Heating is staged to maintain reduced space temperature. Heating coil control valve is normally closed.

3.6 PACKAGED ROOFTOP UNIT

A. APPLICATION CONTROLLER for Packaged Rooftop Units
   1. The Rooftop Unit (RTU) Application Controller shall be a microprocessor-based DDC controller which, through hardware or firmware design, controls specified equipment. The controller is not user programmable, but is customized for operation within the confines of the equipment it is designed to serve.
2. The Application Controller shall be capable of operating as a stand-alone controller or as a member of a Building Automation System (BAS).

3. When the Application Controller is operating as a member of a Building Automation System (BAS), the application controller shall operate as follows:
   a. Application Controller will receive operation mode commands from the BAS network controller. The BAS commands shall include but not be limited to the follow: Occupied Heat/Cool, Unoccupied Heat/Cool, Morning Warm-up, / Pre-cool, Occupied Bypass).
   b. Application Controller will provide equipment status parameters to the BAS through BACnet communication.
   c. Application Controller will operate as a stand-alone controller in the event of communication failure with the BAS.
   d. In case of communications failure stand-alone operation shall use default values or last known values for remote sensors read over the network such as outdoor air temperature.

4. Software
   a. To meet the sequence of operation for each zone control, the controller shall use programs developed and tested by the controller manufacturer that are either factory loaded or customized with use of service tool native to the controller.

5. Environment: Controller hardware shall be suitable for the anticipated ambient conditions.
   a. Controllers used outdoors and/or in wet ambient shall be mounted within NEMA 4 type waterproof enclosures, and shall be rated for operation at -40° to 158° F

6. Controller Input/Output: The controller shall have on board capable of performing all functionality needed for the application. Controls provided by the equipment manufacture must supply the required I/O for the equipment.
   a. For flexibility in selection and replacement of valves, the controllers shall be capable of supporting all of the following output types; 0-10VDC, 0-5VDC, 4-20mA, Binary.
   b. For flexibility in selection and replacement of sensors, the controllers shall be capable of reading sensor input ranges of 0 to10V, 0 to 20mA, Pulse counts, and 200 to 20Kohm.

7. Serviceability – The controller shall provide the following in order to improve serviceability of the controller.
   a. Diagnostic LEDs shall indicate correct operation or failures/faults for all of the following: power, sensors, BACnet communications, and I/O communications bus.
   b. All binary output shall have LED’s indicating the output state.
   c. All wiring connectors shall removable without the use of a tool.
   d. Software service tool connection through the following methods: direct cable connection to the controller, connection through another controller on BACnet link.

8. Software Retention: All Zone Controller operating parameters, setpoints, BIOS, and sequence of operation code must be stored in non-volatile memory in order to maintain such information for months without power.

9. Controller shall meet the following Agency Compliance:
   a. UL916 PAZX, Open Energy Management Equipment
   b. UL94-5V, Flammability
c. FCC Part 15, Subpart B, Class B Limit

d. BACnet Testing Laboratory (BTL) listed

B. CHANGE-OVER HEAT / COOL VAV AIR SYSTEM

1. System Operating modes:
   a. The Building Automation System (BAS) controller shall include a user-adjustable time-of-day schedule to define when the various areas of the facility are expected to be occupied versus unoccupied. Then, based on current zone conditions, the BAS determines the current system operating mode. The BAS controller shall send the following operating modes to the unit level controllers that are a member of the air system: Occupied Heat/Cool, Unoccupied Heat/Cool and Morning Warmup/Pre-cool, Occupied Bypass.

2. Heating and Cooling Changeover Logic
   a. The temperature of each zone is communicated to the BAS. The BAS controller will determine the overall system cooling/heating mode decision is determined based on “voting” from each zone. When the majority of zones require cooling, the HVAC unit will be controlled to cooling mode and deliver cool air to the VAV boxes, and any zones that require heat at the same time will be controlled to their minimum airflow settings, and local (or remote) heating will be utilized (when included in the terminal unit). When the majority of zones require heating, the HVAC unit will be controlled to heating mode and deliver warm air to the VAV boxes, and any zones that require cooling at the same time will be controlled to their minimum airflow settings.

3. Occupied Heat/Cool
   a. During the Occupied Mode, each VAV terminal unit shall be activated to maintain zone temperature at the occupied setpoint (cooling or heating). Meanwhile, the rooftop unit (RTU) modulates the supply fan to maintain duct static pressure at desired setpoint, positions the outdoor-air damper to bring in required amount of ventilation, and increases/decreases the source of cooling or heating to maintain discharge air at the desired setpoint.

4. Unoccupied Heat/Cool
   a. During the Unoccupied Mode, each VAV terminal unit shall be activated to maintain zone temperature at the unoccupied setpoint (cooling or heating). Meanwhile, the RTU shuts off, unless a zone requires unoccupied cooling or heating. If needed to operate, the RTU modulates the supply fan to maintain duct static pressure at desired setpoint, closes the outdoor-air damper, and increases/decreases the source of cooling or heating to maintain discharge air at the desired setpoint.

5. Morning Warm-up/Pre-cool
   a. During the Morning Warm-up/Pre-cool Mode, each VAV terminal unit shall be activated to raise or lower the zone temperature to the occupied setpoint (cooling or heating) and then closes. Meanwhile, the RTU modulates the supply fan to maintain duct static pressure at desired setpoint, and increases/decreases the source of cooling or heating to discharge air at the desired setpoint.

6. Occupied Bypass
a. The BAS shall monitor the status of the “on” and “cancel” buttons of the system’s space temperature sensors. When an occupied bypass request is received from a space sensor, the unit shall transition from its current occupancy mode to occupied bypass mode.

C. OPTIMIZED SYSTEM-LEVEL CONTROL SEQUENCES:

1. Optimal Start
   a. The BAS shall initiate Optimal Start mode such that the RTU is started and VAV boxes are enabled to allow the zone temperature to reach the occupied heating or cooling setpoint prior to scheduled occupancy. The system shall wait as long as possible before starting, so that the temperature in each zone reaches the occupied setpoint just in time for scheduled occupancy.

2. Optimal Stop
   a. The BAS shall initiate Optimal Stop mode such that cooling or heating is disabled so that the zone temperature does not drift beyond the occupied standby setpoint by the end of the scheduled occupancy period. The RTU supply fan shall continue operating, and ventilation control shall continue, through the end of the scheduled occupancy period.

3. Unoccupied Economizing (Night Purge)
   a. Between 4:00 AM (adj.) and 6:00 AM (adj), the system controller shall initiate Unoccupied Economizing mode if the current zone temperature is at least 1°F warmer than the occupied cooling setpoint and the outdoor dry-bulb temperature is more than 15°F (adj) cooler than the current zone temperature. When initiated, the RTU is started (OA damper fully open, cooling source is off) and VAV boxes are enabled to allow the zone temperature to cool to the occupied cooling setpoint.

4. Optimized Control of Supply Duct Static Pressure (Fan-Pressure Optimization)
   a. At a frequency of once every 2 minutes (adj), the system controller shall monitor the damper position and airflow of all VAV terminal units. The system controller shall calculate a new supply fan duct static pressure setpoint based on the criteria shown below, and send this newly-calculated setpoint to the RTU controller.
   b. All values below are adjustable:
      1) If the measured airflow is less than 50% of set point while set point is greater than zero and the damper position is greater than 95% for 1 minute, send 3 requests.
      2) Else if the measured airflow is less than 70% of set point while set point is greater than zero and the damper position is greater than 95% for 1 minute, send 2 requests.
      3) Else if the damper position is greater than 95%, send 1 request until the damper position is less than 85%.
      4) Else if the damper position is less than 95%, send 0 requests.
   c. System shall default to ignoring the first 2 requests (adj). When Requests > Ignores the system shall respond by adjusting setpoint upward by (Requests – Ignores) *.06 inH20 (adj), but no larger than .13 inH20 (adj). When Requests are equal to, or less than Ignores the setpoint shall be reset downward by -.05 inH20 (adj). Setpoint shall be bound by a minimum and maximum value which can be set per air handler.
5. Optimized Control of Ventilation (Demand-Controlled Ventilation) with RTU Return Air CO2
   a. When the BAS time-of-day schedule indicates that a zone is occupied, if the CO2 concentration measured in the zone rises above the desired upper limit, the VAV controller shall modulate the VAV damper further open. Increasing primary airflow results in more outdoor air delivered to the zone, but may activate local or remote heat (if equipped) to prevent the zone from over cooling. Concurrently, the RTU controller shall adjust the position of the outdoor-air damper in response to the CO2 concentration measured in the common return-air duct.

D. Display:

1. System graphic.
2. System on/off indication.
3. System day/night mode.
4. System fan on/off indication.
5. Return fan on/off indication.
6. Gas fired heating coil on/off indication.
7. Outside air temperature indication.
8. Mixed air temperature indication.
10. Reheat zone air temperature indication.
12. Fan discharge temperature control point adjustment.
13. Return humidity control point adjustment.
14. Reheat zone control point adjustment.
15. Supply static pressure indication.
16. Supply static pressure control point adjustment.
17. Building static pressure indication.
18. Building static pressure control point adjustment.
19. Outside air flow rate.

END OF SECTION 230993
SECTION 26 0400 - GENERAL CONDITIONS FOR ELECTRICAL

PART 1 GENERAL

1.1 RELATED REQUIREMENTS

A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

B. This section applies to certain sections of Division 08 “Openings”, Division 11 “Equipment”, Division 12 “Furnishings”, Division 21 “Fire Protection”, Division 22 “Plumbing”, Division 23 “Mechanical,” Division 27 “Communications”, Division 28 “Electronic safety and Security”, Division 33 “Utilities” and this section applies to all sections of Division 26, ”Electrical” of this project specification unless specified otherwise in the individual sections.

C. The Drawings of other trades Architectural, Structural, Landscape, Civil, Mechanical, Fire Protection and Plumbing, Food Service, Communications, and Electronic Safety and Security shall be examined for coordination and familiarity of work with other Contractors. Any duplication or omission of provisions in this project should be brought to the attention of the Owners prior to Bidding.

D. The drawings of equipment suppliers shall be examined for coordination and familiarity of work with Owner’s equipment suppliers.

1.2 DESCRIPTION

A. The General Conditions and Supplementary General Conditions are a part of this Division and are to be considered a part of this Contract.

B. Where items of the General Conditions and Supplementary General Conditions are repeated in other Sections of the Specifications, it is merely intended to qualify or to call particular attention to them. It is not intended that any other parts of the General Conditions and Supplementary General Conditions shall be assumed to be omitted if not repeated therein. This Section applies equally and specifically to all Contractors supplying labor and/or equipment and/or materials as required under each Section of this Division, (Division 27 and Division 28). Where conflicts exist between the drawings and the specifications or between this section of the specifications and other sections, the more stringent or higher cost option shall apply.

C. It is the intent of this Section of the Specifications to establish a standard of quality and performance characteristics for basic materials and installation methods used in building electrical (communications and electronic safety and security) systems.

1.3 INTENT

A. This contract is for all labor, materials and equipment required for installation. The system shall be complete and finished in all respects, tested and ready for operation. Work shall include calibration of equipment with factory settings. All materials, equipment and apparatus shall be new and of first class quality.
B. Any apparatus, appliance, material or work not shown on drawings but mentioned in the specifications, or vice versa, or any incidental accessories necessary to make the work complete and perfect in all respects and ready for operation as determined by good trade practice even if not particularly specified, shall be furnished, delivered and installed under their respective Divisions without any additional expense to the Owner.

C. Minor details not usually shown or specified but necessary for proper installation and operation shall be included in the work as though they were hereinafter shown or specified.

D. Work under each Section shall include giving written notice to the Owner and Engineer of any materials or apparatus believed inadequate or unsuitable; in violation of laws, ordinances, rules or regulations of authorities having jurisdiction; and any necessary items of work omitted. In the absence of such written notice, it is mutually agreed that work under each Section has included the cost of all required items for the accepted, satisfactory functioning of the entire system without extra compensation.

E. Location of all existing systems and equipment shown on floor plans is based on the best available information. The Contractor shall verify all dimensions and locations of existing systems and equipment in the field and adjust as necessary.

F. Certain items of existing equipment may be indicated for removal or relocation. Items noted for removal shall be disconnected and turned over to the Owner or disposed of by the Contractor if the Owner so requests. If instructed to dispose of items, the Contractor shall remove the items from the premises and dispose of them in a safe, legal and responsible manner and location. Items noted for relocation are intended for reuse in another location as designated on the Drawings. It shall be the responsibility of the Contractor to remove the material from its present location, store the material in a safe place and reinstall the material in its new location. Questions regarding the suitability of the material or equipment shall be brought to the attention of the Owner and Engineer in writing.

G. Wherever a particular piece of equipment, device or material is specifically indicated on the Drawings by model number, type, series or other means, that specification shall take precedence over equipment or materials specified herein. For example: If a particular switch is specified on the Drawings, its specification takes precedence over switch specified herein.

1.4 DEFINITIONS

A. Word “Subcontractor” means specifically the subcontractor working under this Division. Other Contractors are specifically designated “Plumbing Subcontractor”, “General Contractor” and so on. Note: Take care to ascertain limits of responsibility for connecting equipment which requires connections by two or more trades.

B. Word “install” shall mean set in place complete with all mounting facilities and connections as necessary ready for normal use or service.
C. Words “furnish” or “supply” shall mean purchase, deliver to, and off-load at the job site, all ready to be installed including where appropriate all necessary interim storage and protection.

D. Word “provide” shall mean furnish (or supply) and install as necessary.

E. Word “finished” refers to all rooms and areas scheduled to be painted in Room Finish Schedule on the drawings. All rooms and areas not covered in Schedule, including underground tunnels and areas above ceilings shall be considered not finished, unless otherwise noted.

F. No Exceptions Taken – reviewed and determined to be in general conformance with contract documents.

G. Words “approved equal” mean any product which in the opinion of the Engineer is equal in quality, arrangement, appearance, and performance to the product specified.

H. Word “wiring” shall mean cable assembly, raceway, conductors, fittings and any other necessary accessories to make a complete wiring system.

I. Word “product” shall mean any item of equipment, material, fixture, apparatus, appliance or accessory installed under this Division.

J. Substitutions: Requests for changes in products, materials, equipment, and methods of construction required by Contract Documents proposed by the Contractor after award of the Contract are considered requests for "substitutions."

K. Indicated: The term "indicated" refers to graphic representations, notes, or schedules on the Drawings, other paragraphs or schedules in the Specifications, and similar requirements in the Contract Documents. Where terms such as "shown," "noted," "scheduled," and "specified" are used, it is to help the reader locate the reference; no limitation on location is intended.

L. Directed: Terms such as "directed," "requested," "authorized," "selected," "approved," "required," and "permitted" mean "directed by the Engineer," "requested by the Engineer," and similar phrases.

M. Approve: The term "approved," where used in conjunction with the Engineer's action on the Contractor's submittals, applications, and requests, is limited to the Engineer's duties and responsibilities as stated in General and Supplementary Conditions.

N. Regulation: The term "Regulations" includes laws, ordinances, statutes, and lawful orders issued by authorities having jurisdiction, as well as rules, conventions, and agreements within the construction industry that control performance of the Work.

O. Remove: The term “remove” means “to disconnect from its present position, remove from the premises and to dispose of in a legal manner.”

P. Standard Product Warranties are preprinted written warranties published by individual manufacturers for particular products and are specifically endorsed by the manufacturer to the Owner.
Q. Special Warranties are written warranties required by or incorporated in the Contract Documents, either to extend time limits provided by standard warranties or to provide greater rights for the Owner.

1.5 DRAWINGS

A. Drawings are diagrammatic and indicate the general arrangement of systems and work included in the Contract. Consult the Architectural Drawings and Details for exact location of fixtures and equipment; where same are not definitely located, obtain this information from the Architect. (Do not scale the drawings)

B. Work under each Section shall closely follow Drawings in layout of work; check Drawings of other Divisions to verify spaces in which work will be installed. Maintain maximum headroom; where space conditions appear inadequate, Owner and Engineer shall be notified before proceeding with installations.

C. The Owner may, without extra charge, make reasonable modifications in the layout as needed to prevent conflict with work of other trades and/or for proper execution of the work.

D. Where variances occur between the Drawings and Specifications or within either of the Documents, the item or arrangement of better quality, higher rating, or higher value shall be included in the Contract price. The Owner and Engineer shall decide on the item and the manner in which the work shall be installed.

1.6 SURVEYS AND MEASUREMENTS

A. Before submitting his Bid, the Contractors shall visit the site and become thoroughly familiar with all existing conditions under which his work will be installed. This Contract includes all modifications of existing systems required for the installation of new equipment. This Contract includes all necessary offsets, transitions and modifications required to install all new equipment in existing spaces. All new and existing equipment and systems shall be fully operational under this Contract before the job is considered complete. The Contractors shall be held responsible for any assumptions he makes, any omissions or errors he makes as a result of his failure to become fully familiar with the existing conditions at the site and the Contract Documents.

B. The Contractor shall base all measurements, both horizontal and vertical, from established bench marks. All work shall agree with these established lines and levels. Verify all measurements at the site and check the correctness of same as related to the work.

C. Should the Contractor discover any discrepancies between actual measurements and those indicated which prevent following good practice or which interfere with the intent of the Drawings and Specifications, the Engineer will be notified and work will not proceed until instructions from the Engineer are received.
1.7 CODES AND STANDARDS

A. Reference Standard Compliance
   1. Where equipment or materials are specified to conform to industry and technical society reference standards of the organizations such as American National Standards Institute (ANSI), American Society for Testing and Materials (ASTM), National Electrical Manufacturers Association (NEMA), and Underwriters Laboratories Inc. (UL), submit proof of such compliance. The label or listing by the specified organization will be acceptable evidence of compliance.
   2. Independent Testing Organization Certificate: In lieu of the label or listing, indicated above submit a certificate from an independent testing organization, competent to perform testing, and approved by the engineer. The certificate shall state that the item has been tested in accordance with the specified organization's test methods and that the item complies with the specified organization's reference standard.

B. The Following Codes and Standards for the state and local jurisdiction where the project is located as listed below apply to all electrical work. Wherever Codes and/or Standards are mentioned in these Specifications, the latest applicable edition or revision shall be followed:
   Connecticut State Building Code Including all Supplements
   Connecticut State Fire Safety Code Including all Supplements
   The International Building Code
   The International Mechanical Code
   The International Plumbing Code
   NFPA 70, the National Electrical Code
   NFPA 101, the Life Safety Code
   Model Energy Code
   NECA - 1 Standard for Good Workmanship in Electrical Construction
   ASHRAE 90.1 and International Energy Conservation Code

C. The following Standards shall be used where referenced by the following abbreviations:
   AIA     American Institute of Architects
   ANSI    American National Standards Institute
   ASME    American Society of Mechanical Engineers
   ASTM    American Society of Testing and Materials
   EPA     Environmental Protection Agency
   FM      Factory Mutual
   FSSC    Federal Specification
   IEEE    Institute of Electrical and Electronics Engineers
   NBS     National Bureau of Standards
   NECA    National Electrical Contractors Association
   NEMA    National Electrical Manufacturers Association
   NFPA    National Fire Protection Association
   NSC     National Safety Council
   OSHA    Occupational Safety and Health Administration
   UL      Underwriters’ Laboratories
D. All materials furnished and all work installed shall comply with the rules and recommendations of the NFPA, the requirements of the local utility companies, the recommendations of the fire insurance rating organization having jurisdiction and the requirements of all Governmental departments having jurisdiction.

E. The Contractor shall include in the work, without extra cost to the Owner, any labor, materials, services, apparatus and Drawings in order to comply with all applicable laws, ordinances, rules and regulations, whether shown on Drawings and/or specified or not.

1.8 PERMITS AND FEES

A. The Contractor shall give all necessary notices, obtain all permits; and pay all Government and State sales taxes and fees where applicable, and other costs, including utility connections or extensions in connection with the work, file all necessary Drawings, prepare all documents and obtain all necessary approvals of all Governmental and State departments having jurisdiction, obtain all required certificates of inspection for his work, and deliver a copy to the Owner and Engineer before request for acceptance and final payment for the work.

1.9 EQUIPMENT EQUIVALENTS AND SUBSTITUTIONS

A. Certain manufacturers of material, apparatus or appliances are indicated in the drawings and specifications for this project. These items have been used as the basis of design, and as a convenience in fixing the minimum standard of workmanship, finish and design that is required. If the Contractors uses an “approved equal” alternative to the basis of design, and if the features of that alternative have an impact on other components of the Project, the Contractor shall include the necessary adjustments in those components, whether for architectural, structural, mechanical, electrical, fire protection, or any other elements, plus any adjustments for difference in performance.

B. Where one name only is used and is followed by the words “or approved equal”, the Contractor must use the item named or he is required to apply for a substitution. Where one name only is used, the Contractor must use that item named.

C. Where no specific make of material, apparatus or appliance is mentioned, any first-class product made by a reputable manufacturer may be submitted for Architect and Engineer review.

D. Where the Contractor proposes to use an item that is different from the basis of design in the Drawings and specifications, and that will require the redesign of the structure, partitions, foundations, piping, wiring or any other component of the mechanical, electrical, or architectural layout, the Contractor shall provide the necessary redesign of those components.

E. Where the Contractor proposes to deviate (provide an equivalent or request for substitution) from the basis of design scheduled equipment or materials as hereinafter specified or shown on the drawings, they are required to submit a requested for substitution in writing. The Contractor shall state in their request whether it is a substitution, equivalent or a non approved equivalent to that specified and the amount of credit or extra cost involved. A copy of said request shall be included in the Base Bid
with manufacturer’s equipment cuts. The Base Bid shall be based on using the materials and equipment as specified with no exceptions.

F. If an alternative or substitute item results in a difference in quantity and arrangement of piping, ductwork, valves, pumps, insulation, wiring, conduit, and equipment from that specified or indicated on the Drawings, the Contractor shall furnish and install any such additional equipment required by the system, at no additional cost to the Owner including any costs added to other trades due to the equivalent change from the basis of design detailed in the drawings or included within the specifications.

G. Equipment, material or devices submitted for review as an “equivalent” shall meet the following requirements:
   1. The equivalent shall have the same construction features such as, but not limited to:
      a. Material thickness, gauge, weight, density, etc.
      b. Welded, riveted, bolted, etc., construction
      c. Finish, undercoating, corrosion protection
   2. The equivalent shall perform with the same or better operating efficiency.
   3. The equivalent shall be locally represented by the manufacturer for service, parts and technical information.
   4. The equivalent shall bear the same labels of performance certification as is applicable to the specified item, such as UL or NEMA labels.

H. Equipment, material or devices submitted for review as a “substitution” shall meet the following requirements:
   1. Substitution Request Submittal: Requests for substitution will be considered if received in writing 14 days before the bid date. Requests received later than 14 days before the bid date may be considered or rejected at the discretion of the Engineer/Owner. Once the Contractor submits a complete request for substitution as determined by the engineer, the engineer reserves the right to request the time necessary to evaluate the request for substitution and review it with the Owner.
   2. Submit three (3) copies of each request for substitution for consideration.
   3. Identify the product, or the fabrication or installation method to be replaced in each request. Include related Specification Section and Drawing numbers. Provide complete documentation showing compliance with the requirements for substitutions, and the following information, as appropriate:
      a. Product Data, including Drawings and descriptions of products, fabrication and installation procedures.
      b. Samples, where applicable or requested.
      c. A detailed comparison of significant qualities of the proposed substitution with those of the Work specified. Significant qualities may include elements such as size, weight, durability, performance and visual effect.
      d. Coordination information, including a list of changes or modifications needed to other parts of the Work and to construction performed by the Owner and separate Contractors that will become necessary to accommodate the proposed substitution.
e. A statement indicating the substitution's effect on the Contractor's Construction Schedule compared to the schedule without approval of the substitution. Indicate the effect of the proposed substitution on overall Contract Time.

f. Cost information, including a proposal of the net change, if any in the Contract Sum.

g. Certification by the Contractor that the substitution proposed is equal-to or better in every significant respect to that required by the Contract Documents, and that it will perform adequately in the application indicated. Include the Contractor's waiver of rights to additional payment or time that may subsequently become necessary because of the failure of the substitution to perform adequately.

h. Engineer's Action: Within one week of receipt of the request for substitution, the Engineer will notify the Contractor of acceptance or rejection of the proposed substitution. If a decision on use of a proposed substitute cannot be made or obtained within the time allocated, use the product specified by name. Acceptance of a product substitution will be in the form of an Addendum.

i. Other Conditions: The Contractor's substitution request will be received and considered by the Engineer when one or more of the following conditions are satisfied, as determined by the Engineer; otherwise requests will be returned without action except to record noncompliance with these requirements.

1) The request is directly related to an "or equal" clause or similar language in the Contract Documents.

2) The specified product or method of construction cannot be provided within the Contract Time. The request will not be considered if the product or method cannot be provided as a result of failure to pursue the Work promptly or coordinate activities properly.

3) A substantial advantage is offered the Owner, in terms of cost, time, energy conservation or other considerations of merit, after deducting offsetting responsibilities the Owner may be required to bear. Additional responsibilities for the Owner may include additional compensation to the Engineer for redesign and evaluation services, increased cost of other construction by the Owner or separate Contractors, and similar considerations.

1.10 SUBMITTAL PROCEDURES

A. Provide Submittals in accordance with the requirements of Division 1 and as indicated in the following.

B. Coordination: Coordinate preparation and processing of submittals with performance of construction activities. Transmit each submittal sufficiently in advance of performance of related construction activities to avoid delay.

1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals and related activities that require sequential activity.
2. Coordinate transmittal of different types of submittals for related elements of the Work so processing will not be delayed by the need to review submittals concurrently for coordination. The Engineer reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.

C. Processing: Allow sufficient review time so that installation will not be delayed as a result of the time required to process submittals, including time for resubmittals.
   1. Allow two weeks for initial review. Allow additional time if processing must be delayed to permit coordination with subsequent submittals. The Engineer will promptly advise the Contractor when a submittal being processed must be delayed for coordination.
   2. If an intermediate submittal is necessary, process the same as the initial submittal.
   3. Allow two weeks for reprocessing each submittal.
   4. No extension of Contract Time will be authorized because of failure to transmit submittals to the Engineer sufficiently in advance of the Work to permit processing.

D. Submittal Preparation: Place a permanent label or title block on each submittal for identification. Indicate the name of the entity that prepared each submittal on the label or title block.
   1. Include the following information on the label for processing and recording action taken.
      a. Project name.
      b. Date.
      c. Name and address of Engineer.
      d. Name and address of Contractor.
      e. Name and address of subcontractor.
      f. Name and address of supplier.
      g. Name of manufacturer.
      h. Number and title of appropriate Specification Section.
      i. Drawing number and detail references, as appropriate.

E. Submittal Transmittal: Package each submittal appropriately for transmittal and handling. Transmit each submittal from Contractor to Engineer using a transmittal form. Submittals received from sources other than the Contractor will be returned without action. On the transmittal, record relevant information and requests for data. On the form, or separate sheet, record deviations from Contract Document requirements, including minor variations and limitations. Include Contractor's certification that information complies with Contract Document requirements.

F. Except for submittals for record, information or similar purposes, where action and return is required or requested, the Engineer will review each submittal, mark to indicate action taken, and return promptly. Compliance with specified characteristics is the Contractor's responsibility.

G. Action Stamp: The Engineer will stamp each submittal with a uniform, self-explanatory action stamp. The stamp will be appropriately marked, to indicate the action taken.
1.11 SHOP DRAWINGS

A. Submit newly prepared information, drawn to accurate scale. Highlight, encircle, or otherwise indicate deviations from the Contract Documents. Do not reproduce Contract Documents or copy standard information as the basis of Shop Drawings. Standard information prepared without specific reference to the Project is not considered Shop Drawings.

B. The Contractor shall submit for review detailed shop drawings of all equipment and material specified in each section and coordinated ductwork layouts. No material or equipment may be delivered to the job site or installed until the Contractor has received shop drawings for the particular material or equipment which have been properly reviewed. Shop drawings shall be submitted within 60 days after award of Contract before any material or equipment is purchased. The Contractor shall submit for review copies of all shop drawings to be incorporated in the Electrical Contract. Refer to the General Conditions and Supplementary General Conditions for the quantity of copies required for submission. Where quantities are not specified, provide seven (7) copies for review.

C. Provide shop drawings for all devices specified under equipment specifications for all systems including fire alarm, switchgear, clock, lighting, etc., or where called for elsewhere in the Specifications, or where scheduled on the drawings, or where called out on the drawings. Shop drawings shall include manufacturers’ names, catalog numbers, cuts, diagrams, dimensions, identification of products and materials included, compliance with specified standards, notation of coordination requirements, notation of dimensions established by field measurement and other such descriptive data as may be required to identify and accept the equipment. A complete list in each category (example: all fixtures) of all shop drawings, catalog cuts, material lists, etc., shall be submitted to the Engineer at one time. No consideration will be given to a partial shop drawing submittal.

D. Submittals shall be marked with the trade involved, i.e., Electrical, HVAC, Plumbing, Fire Protection, etc. when the submittal could involve more than one trade.

E. Where multiple quantities or types of equipment are being submitted, provide a cover sheet (with a list of contents) on the submittal identifying the equipment or material being submitted.

F. Failure to submit shop drawings in ample time for review shall not entitle the Contractor to an extension of Contract time. No claim for extension by reason of such default will be allowed, nor shall the Contractor be entitled to purchase, furnish and/or install equipment which has not been reviewed by the Engineer.

G. The Contractor shall furnish all necessary templates, patterns, etc., for installation work and for the purpose of making adjoining work conform; furnish setting plans and shop details to other trades as required.
H. Acceptance rendered on shop drawings shall not be considered as a guarantee of measurements or building conditions. Where drawings are reviewed, review does not mean that drawings have been checked in detail; said approval does not in any way relieve the Contractor from his responsibility or necessity of furnishing material or performing work as required by the Contract Drawings and Specifications. Verify available space prior to submitting shop drawings.

I. Acceptance of shop drawings shall not apply to quantity nor relieve Contractor of his responsibility to comply with intent of Drawings and Specifications.

J. Acceptance of shop drawings is final and no further changes will be allowed without the written consent of the Engineer.

K. Acceptance of shop drawings does not relieve the Contractor from submitting, coordinating and implementing schedules, forms, worksheets and similar as required for owner/operator input and approval as specified herein and required for proper system operation.

L. Shop drawing submittal sheets which may show items that are not being furnished shall have those items crossed off to clearly indicate which items will be furnished.

M. Bidders shall not rely on any verbal clarification of the Drawings and/or Specifications. Any questions shall be referred to the Engineer in writing at least five (5) working days prior to Bidding to allow for issuance of an Addendum.

N. Do not use Shop Drawings without an appropriate final stamp indicating action taken in connection with construction.

1.12 COORDINATION DRAWINGS

A. Prepare coordination drawings in accordance with Division 01 Section "PROJECT COORDINATION," to a scale of 1/4"=1'-0" or larger; detailing major elements, components, and systems of electrical equipment and materials in relationship with other systems, installations, and building components. Indicate locations where space is limited for installation and access and where sequencing and coordination of installations are of importance to the efficient flow of the Work, including (but not necessarily limited to) the following:

1. Indicate the proposed locations of light fixtures, panelboards, conduits, cabinets, etc. Include the following:
2. Clearances for installing and maintaining insulation.
3. Clearances for servicing and maintaining equipment, including NEC requirements and space for equipment disassembly required for periodic maintenance.
4. Equipment connections and support details.
5. Exterior wall and foundation penetrations.
6. Fire-rated wall and floor penetrations.
7. Sizes and locations of required concrete pads and bases.

B. Indicate scheduling, sequencing, movement, and positioning of large equipment into the building during construction.
C. Prepare floor plans, elevations, and details to indicate penetrations in floors, walls, and ceilings and their relationship to other penetrations and installations.

D. Prepare reflected ceiling plans to coordinate and integrate installations, air outlets and inlets, light fixtures, communication systems components, sprinklers, and other ceiling-mounted items.

E. Electronic copies of the MEP floor plans are available to use as a basis for preparing coordination drawings and can be provided by the Engineer. If the Contractor elects to obtain the Engineers electronic files an Electronic File Release Form must be submitted with payment. This form must be signed by the Contractor, Owner, and Architect. Upon receipt of a signed copy of the Electronic File Release Form, and payment, the Engineer will provide copies of the electronic files for the Contractor’s use. A copy of the Electronic File Release Form is appended to the end of this specification section

1.13 COORDINATION WITH OTHER DIVISIONS

A. All work shall be carried out in conjunction with other trades and full cooperation shall be given in order that all work may proceed with a minimum of delay and interference. Particular emphasis is placed on timely installation of major apparatus and furnishing other Contractors, especially the Contractor or Construction Manager, with information as to openings, chases, sleeves, bases, inserts, equipment locations, panels, etc., required by other trades.

B. The Contractors are required to examine all of the Project Drawings and mutually arrange work so as to avoid interference with the work of other trades. In general, ductwork, heating, condenser, chilled water piping, sprinkler piping and drainage lines take precedence over water, gas and electrical conduits. The Engineer shall make final decisions regarding the arrangement of work which cannot be agreed upon by the Contractors.

C. Where the work of the Contractor will be installed in close proximity to or will interfere with work of other trades, the Contractors will cooperate in working out space conditions to make a satisfactory adjustment.

D. If the work under a Section is installed before coordinating with other Divisions or Sections or so as to cause interference with work of other Sections, the necessary changes to correct the condition shall be made by the Contractor causing the interference without extra charge to the Owner.

E. Where work is installed prior to preparation and approval of the Coordination Drawings or in conflict with the approved Coordination drawings and if so directed in other Sections, the Contractor indicated shall prepare composite working drawings and sections clearly showing how the work is to be installed in relation to the work of other trades, at no extra charge to the Owner.

1.14 WORKMANSHIP

A. Service Support: The equipment items shall be supported by service organizations which are reasonably convenient to the equipment installation in order to render satisfactory
service to the equipment on a regular and emergency basis during the warranty period of the contract.

B. Modification of References: In each of the publications referred to herein, consider the advisory provisions to be mandatory, as though the word, "shall" had been substituted for "should" wherever it appears.

C. The Contractor shall furnish the services of an experienced superintendent who shall be constantly in charge of the installation of the work together with all skilled workmen, journeymen, electricians, helpers and laborers required to unload, transfer, erect, connect, adjust, start, operate and test each system.

D. Unless otherwise specifically indicated on the Drawings or Specifications, all equipment and materials shall be installed with the acceptance of the Engineer and in accordance with the recommendations of the manufacturer. This includes the performance of such tests as the manufacturer recommends.

E. All labor for installation of electrical systems shall be performed by experienced, skilled tradesmen under the supervision of a licensed journeyman foreman. All work shall be of a quality consistent with good trade practice and shall be installed in a neat, workmanlike manner. The Engineer reserves the right to reject any work which, in his opinion, has been installed in a substandard, dangerous or unserviceable manner. The Contractor shall replace said work in a satisfactory manner at no extra cost to the Owner.

1.15 SHUTDOWNS

A. When installation of a new system requires the temporary shutdown of an existing operating system, the connection of the new system shall be performed at such time as designated by the Owner.

B. The Engineer and the Owner shall be notified in writing of the estimated duration of the shutdown period at least ten (10) days in advance of the date the work is to be performed.

C. Work shall be arranged for continuous performance whenever possible. The Contractor shall provide all necessary labor, including overtime if required, to assure that existing operating services will be shut down only during the time actually required to make necessary connections.

1.16 TEMPORARY UTILITIES

A. General: Provide new materials and equipment; if acceptable to the Engineer, undamaged previously used materials in serviceable condition may be used. Provide materials suitable for the use intended.
B. Conditions of Use: Keep temporary services and facilities clean and neat in appearance. Operate in a safe and efficient manner. Take necessary fire prevention measures. Do not overload facilities, or permit them to interfere with progress. Do not allow hazardous dangerous or unsanitary conditions, or public nuisances to develop or persist on the site.

C. First Aid Supplies: Comply with governing regulations.

D. Fire Extinguishers: Provide hand-carried, portable UL-rated, class "A" fire extinguishers for temporary offices and similar spaces. In other locations provide hand-carried, portable, UL-rated, class "ABC" dry chemical extinguishers, or a combination of extinguishers of NFPA recommended classes for the exposures.

E. Provide temporary lighting in all areas, throughout construction activities.
   1. Use Charges: Cost or use charges for temporary facilities are not chargeable to the Owner or Engineer, and will not be accepted as a basis of claims for a Change Order.
   2. Temporary Electric Power Service: Provide weatherproof, grounded electric power service and distribution system of sufficient size, capacity, and power characteristics during construction period. Include meters, transformers, overload protected disconnects, automatic ground-fault interrupters, and main distribution switch gear.
      a. Except where overhead service must be used, install electric power service underground.
      b. Power Distribution System: Install wiring overhead, and rise vertically where least exposed to damage. Where permitted, wiring circuits not exceeding 125 Volts, AC 20 ampere rating, and lighting circuits may be nonmetallic sheathed cable where overhead and exposed for surveillance.
   3. Temporary Telephones: Provide temporary telephone service for all personnel engaged in construction activities, throughout the construction period.

F. Environmental Protection: Provide protection, operate temporary facilities and conduct construction in ways and by methods that comply with environmental regulations, and minimize the possibility that air, waterways and subsoil might be contaminated or polluted, or that other undesirable effects might result. Avoid use of tools and equipment which produce harmful noise. Restrict use of noise making tools and equipment to hours that will minimize complaints from persons or firms near the site.

G. Termination and Removal: Unless the Engineer requires that it be maintained longer, remove each temporary facility when the need has ended, or when replaced by authorized use of a permanent facility, or no later than Substantial Completion. Complete or, if necessary, restore permanent construction that may have been delayed because of interference with the temporary facility. Repair damaged Work, clean exposed surfaces and replace construction that cannot be satisfactorily repaired. Materials and facilities that constitute temporary facilities are property of the Contractor. The Owner reserves the right to take possession of Project identification signs.
1.17 PROJECT PHASING

A. Work under each Section shall include all necessary temporary connections, equipment, conduit, wiring, fire alarm equipment and testing, lighting and emergency lighting, fire stopping, connection of necessary mechanical equipment, labor, and material as necessary to accommodate the phasing of Construction as developed by the General Contractor or Construction Manager and approved by the Owner. All existing systems that pass-thru an area of the building or are required to be maintained in a phased fashion during construction shall remain operational during all phases of construction. No extra compensation shall be granted the Contractor for work required to maintain existing systems operational or to accommodate the construction phasing of the project.

1.18 PROTECTION OF MATERIALS AND EQUIPMENT

A. Work under each Section shall include protecting the work and material of all other Sections from damage by work or workmen and shall include making good all damage thus caused.

B. The Contractor shall be responsible for work and equipment until the facility has been accepted by the Owner. Protect work against theft, injury or damage and carefully store material and equipment received on site which is not immediately installed. Close open ends of work with temporary covers or plugs during construction to prevent entry of foreign material.

C. Work under each Section includes receiving, unloading, uncrating, storing, protecting, setting in place and completely connecting equipment supplied under each Section. Work under each Section shall also include exercising special care in handling and protecting equipment and fixtures, and shall include the cost of replacing any of the equipment and fixtures which are missing or damaged.

D. Equipment and material stored on the job site shall be protected from the weather, vehicles, dirt and/or damage by workmen or machinery. Insure that all electrical or absorbent equipment or material is protected from moisture during storage.

1.19 ADJUSTING AND TESTING

A. After all the equipment and accessories to be furnished are in place, they shall be put in final adjustment and subjected to such operating tests so as to assure the Engineer that they are in proper adjustment and in satisfactory, permanent operating condition.

B. Where requested by the Engineer or specified in the contract documents, a factory-trained service representative shall inspect the installation and assist in the initial startup and adjustment to the equipment. The period of these services shall be for such time as necessary to secure proper installation and adjustments. After the equipment is placed in permanent operation, the service representative shall supervise the initial operation of the equipment and instruct the personnel responsible for operation and maintenance of the equipment. The service representative shall notify the Contractor in writing that the equipment was installed according to manufacturer’s recommendations and is operating as intended by the manufacturer. Factory start-up reports shall be included in the operation and maintenance manuals under the appropriate equipment section.
1.20 CLEANING

A. The Contractor shall thoroughly clean all equipment of all foreign substances, oils, dust, dirt, etc., inside and out before final acceptance by the Engineer.

B. If any part of a system should be stopped or damaged by any foreign matter after being placed in operation, the system shall be disconnected, cleaned and reconnected wherever necessary to locate and/or remove obstructions. Any work damaged in the course of removing obstructions shall be repaired or replaced when the system is reconnected at no additional cost to the Owner.

C. During the course of construction, all conduits shall be capped in an acceptable manner to insure adequate protection against the entrance of foreign matter.

D. Upon completion of all work under the Contract, the Contractor shall remove from the premises all rubbish, debris and excess materials left over from his work.

E. Complete the following cleaning operations before requesting inspection for Certification of Substantial Completion.
   1. Remove labels that are not permanent labels.
   2. Clean transparent materials, including mirrors and glass in doors and windows. Remove glazing compound and other substances that are noticeable vision-obscuring materials. Replace chipped or broken glass and other damaged transparent materials.
   3. Clean exposed exterior and interior hard-surfaced finishes to a dust-free condition, free of stains, films and similar foreign substances. Restore reflective surfaces to their original reflective condition. Leave concrete floors broom clean. Vacuum carpeted surfaces and panelboard interiors.

F. Comply with regulations of authorities having jurisdiction and safety standards for cleaning. Do not burn waste materials. Do not bury debris or excess materials on the Owner's property. Do not discharge volatile, harmful or dangerous materials into drainage systems. Remove and dispose of ALL waste materials, packaging material, skids etc. from the site and dispose of in a lawful manner in accordance with municipal, state and federal regulations.

G. Where extra materials of value remaining after completion of associated Work have become the Owner's property, arrange for disposition of these materials as directed.

1.21 OPERATING AND MAINTENANCE

A. Upon completion of all work and tests, the Contractor shall furnish the necessary skilled labor and helpers for operating his system and equipment for a period specified under each applicable Section of this Division. During this period, he shall fully instruct the Owner or the Owner’s representative in the operation, adjustment and maintenance of all equipment furnished. The Contractor shall give at least seven (7) day notice to the Owner and the Engineer in advance of this period.
B. The Contractor shall include the maintenance schedule for the principal items of equipment furnished under this Division.

C. The Contractor shall physically demonstrate procedures for all routine maintenance of all equipment furnished under each respective Section to assure accessibility to all devices.

D. An authorized manufacturer’s representative shall attest in writing that the equipment has been properly installed prior to startup of any major equipment. At a minimum, the following equipment will require this inspection: emergency generator, fire alarm system, nurse call system, paging systems, etc. These letters will be bound into the operating and maintenance books.

E. Refer to individual trade Sections for any other particular requirements related to operating instructions.

F. Demonstration shall be recorded and placed on a flash drive, which shall be turned over to the Owner.

1.22 OPERATING AND MAINTENANCE MANUALS

A. Prepare operating and maintenance manuals in accordance with the requirements of Division 1 and as follows. The Contractor shall prepare six (6) copies of a complete maintenance and operating instructions manual, bound in booklet form. Organize operating and maintenance data into suitable sets of manageable size. Bind properly indexed data in individual heavy-duty, 3-ring, vinyl-covered binders, with pocket folders for folded sheet information. Mark appropriate identification on front and spine of each binder.

B. Manual shall include the following:

1. Description of function, normal operating characteristics and limitations, performance curves, engineering data and tests, and complete nomenclature and commercial numbers of replacement parts.

2. Manufacturer's printed operating procedures to include start-up, break-in, and routine and normal operating instructions; regulation, control, stopping, shutdown, and emergency instructions; and summer and winter operating instructions.

3. Maintenance procedures for routine preventative maintenance and troubleshooting; disassembly, repair, and reassembly; aligning and adjusting instructions.

4. Servicing instructions and lubrication charts and schedules.

5. Emergency instructions.

6. Spare parts list.


8. Wiring diagrams.

9. Recommended "turn around" cycles.

10. Inspection procedures.

11. Shop Drawings and Product Data.

12. Equipment start-up reports.
C. Include in the manual, a tabulated equipment schedule for all equipment. Schedule shall include pertinent data such as: make, model number, serial number, voltage, normal operating current, belt size, filter quantities and sizes, bearing number, etc. Schedule shall include maintenance to be done and frequency.

D. Maintenance and instruction manuals shall be submitted to the Owner at the same time as the seven (7) day notice is given prior to the instruction period.

1.23 ACCEPTANCES

A. The equipment, materials, workmanship, design and arrangement of all work installed under the Electrical Sections shall be subject to the review of the Engineer.

B. Within 30 days after the awarding of a Contract, the Electrical Contractor shall submit to the Engineer, for review, a list of manufacturers of equipment proposed for the work under the Electrical Sections. The intent to use the exact makes specified does not relieve the Contractor of the responsibility of submitting such a list.

C. If extensive or unacceptable delivery time is expected on a particular item of equipment specified, the Contractor shall notify the Owner and Engineer, in writing, within 30 days of the awarding of the Contract. In such instances, deviations may be made pending acceptance by the Engineer or the Owner’s representative.

D. Where any specific material, process or method of construction or manufactured article is specified by reference to the catalog number of a manufacturer, the Specifications are to be used as a guide and are not intended to take precedence over the basic duty and performance specified or noted on the Drawings. In all cases, the Electrical Contractor shall verify the duty specified with the specific characteristics of the equipment offered for review. Equipment characteristics are to be used as mandatory requirements where the Contractor proposes to use an acceptable equivalent.

E. If material or equipment is installed before it is reviewed and/or approved, the Contractor shall be liable for its removal and replacement at no extra charge to the Owner if, in the opinion of the Engineer, the material or equipment does not meet the intent of, or standard of quality implied by, the Drawings and Specifications.

F. Failure on the part of the Engineer to reject shop drawings or to reject work in progress shall not be interpreted as acceptance of work not in conformance with the Drawings and/or Specifications. Work not in conformance with the Drawings and/or Specifications shall be corrected whenever it is discovered.

1.24 RECORD DRAWINGS

A. General: Do not use record documents for construction purposes; protect from deterioration and loss in a secure, fire-resistive location; provide access to record documents for the Engineer’s reference during normal working hours.

B. Record Drawings: Maintain a clean, undamaged set of blue or black line white-prints of Contract Drawings and Shop Drawings. Mark the set to show the actual installation where the installation varies substantially from the Work as originally shown. Mark whichever drawing is most capable of showing conditions fully and accurately; where
Shop Drawings are used, record a cross-reference at the corresponding location on the Contract Drawings. Give particular attention to concealed elements that would be difficult to measure and record at a later date.

1. Mark record sets with red erasable pencil; use other colors to distinguish between variations in separate categories of the Work.
2. Mark new information that is important to the Owner, but was not shown on Contract Drawings or Shop Drawings.
3. Note related Change Order numbers where applicable.
4. Organize record drawing sheets into manageable sets, bind with durable paper cover sheets, and print suitable titles, dates and other identification on the cover of each set.
5. These shall be clearly marked for Record Drawings on a clean set of reproducible paper copies at the completion of the work and turned over to the Owner.
6. Final record documents shall be prepared in the latest Revit version and digital media for all drawings and a clean set of reproducible paper copies shall be turned over to the Owner at the completion of the work.

1.25 WARRANTIES AND BONDS

A. The following general administrative and procedural requirements for warranties and bonds required by the Contract Documents, including manufacturers standard warranties on products and special warranties are to be included:
   1. General close-out requirements included in Section "Project Close-out."
   2. Specific requirements for warranties for the Work and products and installation that are specified to be warranted, are included in the individual Sections of each applicable Division.
   3. Certifications and other commitments and agreements for continuing services to Owner are specified elsewhere in the Contract Documents.

B. Disclaimers and Limitations: Manufacturer's disclaimers and limitations on product warranties do not relieve the Contractor of the warranty on the Work that incorporates the products, nor does it relieve suppliers, manufacturers, and subcontractors required to countersign special warranties with the Contractor.

C. Separate Prime Contracts: Each prime Contractor is responsible for warranties related to its own Contract.

1.26 WARRANTY REQUIREMENTS

A. Related Damages and Losses: When correcting warranted Work that has failed, remove and replace other Work that has been damaged as a result of such failure or that must be removed and replaced to provide access for correction of warranted Work.

B. Reinstatement of Warranty: When Work covered by a warranty has failed and been corrected by replacement or rebuilding, reinstate the warranty by written endorsement. The reinstated warranty shall be equal to the original warranty with an equitable adjustment for depreciation.

C. Replacement Cost: Upon determination that Work covered by a warranty has failed, replace or rebuild the Work to an acceptable condition complying with requirements of
Contract Documents. The Contractor is responsible for the cost of replacing or rebuilding defective Work regardless of whether the Owner has benefited from use of the Work through a portion of its anticipated useful service life.

D. Owner's Recourse: Written warranties made to the Owner are in addition to implied warranties, and shall not limit the duties, obligations, right and remedies otherwise available under the law, nor shall warranty periods be interpreted as limitations on time in which the Owner can enforce such other duties, obligations, rights, or remedies.

E. Rejection of Warranties: The Owner reserves the right to reject warranties and to limit selections to products with warranties not in conflict with requirements of the Contract Documents.

F. The Owner reserves the right to refuse to accept Work for the Project where a special warranty, certification, or similar commitment is required on such Work or part of the Work, until evidence is presented that entities required to countersign such commitments are willing to do so.

G. Submit written warranties to the Engineer prior to the date certified for Substantial Completion. If the Engineer's Certificate of Substantial Completion designates a commencement date for warranties other than the date of Substantial Completion for the Work, or a designated portion of the Work, submit written warranties upon request of the Engineer.

H. When a designated portion of the Work is completed and occupied or used by the Owner, by separate agreement with the Contractor during the construction period, submit properly executed warranties to the Engineer within fifteen days of completion of that designated portion of the Work.

I. When a special warranty is required to be executed by the Contractor, or the Contractor and a subcontractor, supplier or manufacturer, prepare a written document that contains appropriate terms and identification, ready for execution by the required parties. Submit a draft to the Owner through the Engineer for approval prior to final execution.
   1. Refer to individual Sections of each Division for specific content requirements, and particular requirements for submittal of special warranties.

J. Form of Submittal: At Final Completion compile two copies of each required warranty and bond properly executed by the Contractor, or by the Contractor, subcontractor, supplier, or manufacturer. Organize the warranty documents into an orderly sequence based on the table of contents of the Project Manual.

K. Bind warranties and bonds in heavy-duty, commercial quality, durable 3-ring vinyl covered loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2" by 11" paper.
   1. Provide heavy paper dividers with celluloid covered tabs for each separate warranty. Mark the tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product, and the name, address and telephone number of the installer.
2. Identify each binder on the front and the spine with the typed or printed title "WARRANTIES AND BONDS," the Project title or name, and the name of the Contractor.

3. When operating and maintenance manuals are required for warranted construction, provide additional copies of each required warranty, as necessary, for inclusion in each required manual.

1.27 GUARANTEES

A. The Contractor shall guarantee all material and workmanship under these Specifications and the Contract for a period of one (1) year from the date of final acceptance by Owner. During this guarantee period, all defects developing through faulty equipment, materials or workmanship shall be corrected or replaced immediately by this Contractor without expense to the Owner. Such repairs or replacements shall be made to the Engineers satisfaction.

B. Contractor shall provide name, address, and phone number of all contractors and subcontractors and associated equipment they provided

1.28 PROJECT CLOSE-OUT

A. Contractor shall submit annual maintenance proposal to the Architect/Engineer for review and approval as part of the close out documents.

B. Submit specific warranties, workmanship bonds, maintenance agreements, final certifications and similar documents.

C. Deliver tools, spare parts, extra stock, and similar items.

D. Complete start-up testing of systems, and instruction of the Owner's operating and maintenance personnel. Discontinue or change over and remove temporary facilities from the site, along with construction tools, mock-ups, and similar elements.

E. Complete final clean up requirements, including touch-up painting. Touch-up and otherwise repair and restore marred exposed finishes.

F. Inspection Procedures: On receipt of a request for inspection, the Engineer will either proceed with inspection or advise the Contractor of unfilled requirements. The Engineer will prepare the Certificate of Substantial Completion following inspection, or advise the Contractor of construction that must be completed or corrected before the certificate will be issued.

1. The Engineer will repeat inspection when requested and assured that the Work has been substantially completed.

2. Results of the completed inspection will form the basis of requirements for final acceptance.

END OF SECTION 26 0400
Electronic File Release Form

DELIVERY OF ELECTRONIC FILES FOR: ____________________________

Project Name

In accepting and utilizing any drawings or other data on any form of electronic media generated and provided by the Design Professional, the Client covenants and agrees that all such drawings and data are instruments of service of the Design Professional, who shall be deemed the author of the drawings and data, and shall retain all common law, statutory law and other rights, including copyrights.

The Client further agrees not to use these drawings and data, in whole or in part, for any purpose or project other than the project which is the subject of this Agreement. The Client agrees to waive all claims against the Design Professional resulting in any way from any unauthorized changes or reuse of the drawings and data for any other project by anyone other than the Design Professional.

In addition, the Client agrees, to the fullest extent permitted by law, to indemnify and hold the Design Professional harmless from any damage, liability or cost, including reasonable attorneys’ fees and costs of defense, arising from any changes made by anyone other than the Design Professional or from any reuse of the drawings and data without the prior written consent of the Design Professional.

Under no circumstances shall transfer of the drawings and other instruments of service on electronic media for use by the Client be deemed a sale by the Design Professional, and the Design Professional makes no warranties, either express or implied, of merchantability and fitness for any particular purpose.

________________________________  ______________
Client’s Signature                        Date

________________________________  ______________
Company - Title

________________________________  ______________
Architects’ Signature                   Date

________________________________  ______________
Firm - Title

________________________________  ______________
Owner’s Signature                       Date

________________________________  ______________
Company - Title
FIRE PROTECTION DEMOLITION KEY NOTES

- REMOVE EXISTING SPRINKLERS AND ALL ASSOCIATED BRANCH PIPING AND FITTINGS BACK TO THE ETR FIRE PROTECTION MAIN. REFER TO DRAWING FP1.00 FOR SPRINKLER LAYOUT IN THIS AREA.
- APPROXIMATE LOCATION OF ETR FIRE PROTECTION MAIN TO SERVE CLASSROOM FROM CORRIDOR FIRE PROTECTION MAIN. CONTRACTOR TO CONFIRM EXACT LOCATION IN FIELD.
MD5 REMOVE EXISTING FLOOR MOUNTED CONVECTOR & ASSOCIATED HEATING HOT WATER SUPPLY &

MD4 EXISTING FIRE PROTECTION BRANCH PIPING & SIDEWALL SPRINKLERS SHALL BE RELOCATED &

MD3 DISCONNECT & REMOVE EXISTING HEATING HOT WATER BRANCH PIPING SERVING UNIT

MD2 REMOVE EXISTING EXTERIOR INTAKE AIR LOUVER & ASSOCIATED DUCTWORK, DAMPERS,  

MD1

ED5 (TYPICAL OF ALL FIXTURES IN ROOM) RELOCATE LIGHT FIXTURE AND ASSOCIATED OCCUPANCY 

ED4

ED2 EXISTING 225A, 208/120V, 3PH MAIN LUGS ONLY PANELBOARD TO REMAIN. PANELBOARD FEEDER 

ED1 EXISTING 400A, 208/120V, 3PH PANELBOARD TO BE REMOVED AND REPLACED WITH NEW. 

ACTUATORS & CONTROLS; EXISTING EXTERIOR WALL OPENING SHALL BE PATCHED BY OTHERS. 

PIPING SHALL REMAIN.

COORDINATE WITH ELECTRICAL CONTRACTOR; EXISTING PERIMETER HOT WATER HEATING 
FITTINGS, HANGERS & SUPPORTS; REMOVE ALL EXISTING POWER WIRING & CONTROL WIRING; 
SUPPLY & RETURN BRANCH PIPING; CAP EXISTING PIPING BACK AT MAINS; REMOVE ALL VALVES, 
REMOVE EXISTING FLOOR MOUNTED UNIT VENTILATOR & ASSOCIATED HEATING HOT WATER 
RETURN BRANCH PIPING; CAP EXISTING PIPE BACK AT MAINS; REMOVE ALL VALVES, FITTINGS, 
COORDINATE WITH ELECTRICAL CONTRACTOR; EXISTING ACTIVE (ENERGIZED) CIRCUITS SHALL BE TEMPORARILY DISCONNECTED AND RE-FED 
FROM NEW PANELBOARD. REFER TO NEW WORK PLANS FOR ADDITIONAL INFORMATION.

SENSOR. REFER TO NEW WORK PLANS. REFER TO NEW WORK PLANS.

SCHEDULED FOR RELOCATION. REFER TO NEW WORK PLANS.

EXISTING LIGHT FIXTURE TO BE REWIRED/RELOCATED. EXISTING LIGHTING CIRCUIT WITHIN 
ROOM SHALL BE MADE SAFE FOR EXTENSION TO NEW LIGHT FIXTURES. LIGHTING FIXTURES 
EXISTING LIGHT FIXTURE TO BE REWIRED/RELOCATED. EXISTING LIGHTING CIRCUIT WITHIN 
FOR ADDITIONAL INFORMATION.

EXISTING SPRINKLER PIPING 

EXISTING STEAM/HOT WATER 

CONVERTER IN PIPE TUNNEL (ETR) 

1 1/2" HWR 

1 1/4" HWS 

1 1/4" HWR 

1 1/4" HWS 

1 1/2" HWR 

1 1/2" HWS 

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1 1/2" HWS
FIRE PROTECTION KEY NOTES

- FP1: Approaches to developing the fire protection system, including the classification and location of the fire protection system, shall be in accordance with the provisions of the NFPA and other codes and standards.
- FP2: Provide upright/sidewall type sprinkler heads above and below exposed ductwork per NFPA 13 requirements. Coordinate sprinklers and sprinkler piping with Division 23.
- FP3: Provide upright type sprinkler heads with installed ductwork design and ensure NFPA 13 obstruction requirements are met.

SCOPE OF WORK BASE BID

- APPROXIMATE LOCATION OF ETR FIRE PROTECTION MAIN TO SERVE CLASSROOM FROM CORRIDOR FIRE PROTECTION MAIN. CONTRACTOR TO CONFIRM EXACT LOCATION IN FIELD.

CES #2021248.00
811 Middle Street
Middletown, CT 06457
Tel. (860) 632−1682
Fax. (860) 632−1768

TOWN OF CHESHIRE
CHESHIRE HIGH SCHOOL
HVAC IMPROVEMENTS
525 South Main Street
Cheshire, CT 06410

SCALE:
PRINT DATE: 02-04-2022
DRAFTER:
PROJECT SEQ: RFP # 2223-17
SHEET TITLE: FIRE PROTECTION FLOOR PLAN
PLOT SHEET SIZE: 24" x 36"
FILE NAME: AS NOTED
SHEET NUMBER: FP1.00
AUTHOR: \CESCT-PROD01\PRODUCTION_PROJ-21
ENGINEER:
REVISIONS:
MARK DATE DESCRIPTION
STATUS:
ISSUED FOR BIDDING

ARCHITECT:
1 * *
39 New London Turnpike, Suite 320
CHERYL NEWTON ARCHITECTS, LLC
Glastonbury, CT 06033
Tel. (860) 633−2477
www.cnarchitectsllc.com

FIRE PROTECTION FLOOR PLAN

1/8" = 1'-0"
A. DRAWINGS AND GENERAL PROVISIONS OF CONTRACT INCLUDING GENERAL AND SUPPLEMENTARY

C. DESCRIPTION

H. EXISTING SYSTEMS AND EQUIPMENT

F. CODES AND STANDARDS: WORK SHALL CONFORM TO THE CURRENT EDITIONS OF THE FOLLOWING:

J. SUBMITTALS AND SHOP DRAWINGS

1. GUARANTEE WORK OF THESE CONTRACT DOCUMENTS IN WRITING FOR NOT LESS THAN ONE (1) YEAR AFTER SUBMISSION TO THE OWNER.

4. SCHEDULE AT LEAST TEN WORKING DAYS EXCLUSIVE OF TRANSMITTAL TIME, FOR SUBMITTAL CONDITIONS AND DIVISION 1 SPECIFICATION SECTIONS, APPLY TO THIS SECTION.

PLUMBING DRAWINGS FOR NOTES, DIMENSIONS, ETC., AND COORDINATE WITH OTHER TRADES DRAWINGS UNLESS NOTED OTHERWISE. REVIEW THE ARCHITECTURAL, STRUCTURAL, ELECTRICAL, MECHANICAL, AND CONTRACTOR'S DRAWINGS.

1. THIS PROJECT INVOLVES CONSTRUCTION INSIDE AN EXISTING STRUCTURE. CONTRACTORS, BY OCCUPATION.

TEMPORARY FACILITIES AND EQUIPMENT FROM WORK AREA. CLEAN WORK AREA TO PERMIT ACCESS TO: VALVES AND OTHER APPARATUS AND EQUIPMENT REQUIRING PERIODIC MAINTENANCE.

ALLEVIATE OBSTRUCTIONS DURING CONSTRUCTION TO ENSURE THE CONTRACTOR CAN COMPLETE THE WORK EFFICIENTLY.

3. MATERIALS AND EQUIPMENT SHALL BE UL LISTED WHERE THE STANDARD HAS BEEN ESTABLISHED.

R. GENERAL INSTALLATION REQUIREMENTS FOR PIPE AND FITTINGS

6. INSTALL PIPE SLEEVE AT PIPING PENETRATIONS THROUGH FOOTINGS, PARTITIONS, WALLS, CEILINGS, SHEET METAL, AND CONCRETE PIER OR STEEL SUPPORT.

THE COMPONENTS. ORDER EQUIPMENT BROKEN DOWN AS NECESSARY TO ALLOW FOR PROPER INSTALLATION.

H. BALL VALVE: BRASS WITH CAP AND CHAIN, 3/4" HOSE THREAD.

G. DRAIN VALVES: COMPRESSION STOP: BRONZE WITH HOSE THREAD NIPPLE AND CAP.

F. JOINTS

1. UNIONS FOR PIPE 2" AND UNDER:

2. DIELECTRIC CONNECTIONS: WATERWAY FITTING WITH WATER IMPERVIOUS ISOLATION DEVICES USED SHALL BE LISTED AND APPROVED BY UNDERWRITERS LABORATORIES (UL)

CONTRACTOR SHALL BE STATE LICENSED TO INSTALL SPRINKLER SYSTEMS. FIRE PROTECTION ENGINEER IF A CONDITION EXISTS THAT PREVENTS THE CONTRACTOR FROM ACCOMPLISHING THE WORK.

INTERFACES WITH OTHER TRADES AND OPERATIONS TO AVOID CONFLICTS OR IMPAIRMENTS TO PROPERTY AND OTHER WORK.

DRY WEEPING SPRINKLERS WITH INTERNAL DRAINAGE AND AUTOMATIC AIR RELEASE VALVES.

SHOCK ABSORBERS SHEET METAL & CEMENT BOARD, ANDさせて

CONCRETE, ROOFS, EXTERIOR WALL AND FLOORS IN WET AREAS, THE METHOD OF REMOVAL FOR CONCRETE, ROOFS, EXTERIOR WALL AND FLOORS IN WET AREAS.

PREPARE PIPE, FITTINGS, SUPPORTS, AND ACCESSORIES FOR FINISH PAINTING. WHERE PIPE IS USED, INSTALL THE CENTERLINE OF THE PIPE TO THE CENTERLINE OF THE DRAWING.

10. PREPARE PIPE, FITTINGS, SUPPORTS, AND ACCESSORIES FOR FINISH PAINTING. WHERE PIPE IS USED, INSTALL THE CENTERLINE OF THE PIPE TO THE CENTERLINE OF THE DRAWING.

4. GROOVED MECHANICAL FITTINGS: ANSI A21.10/AWWA C-110 DUCTILE IRON, ASTM A536 FOR THE COMPONENTS. ORDER EQUIPMENT BROKEN DOWN AS NECESSARY TO ALLOW FOR PROPER INSTALLATION.

STOCKHAM, NIBCO, WATTS, HAMMOND, MILWAUKEE.

4. LOCATE FIRE DEPARTMENT CONNECTION WITH SUFFICIENT CLEARANCE FROM WALLS, CEILINGS, PARTITIONS, CEILINGS, SHEET METAL, AND CONCRETE PIER OR STEEL SUPPORT.

PLUMBING, ELECTRICAL, MECHANICAL, AND ARCHITECTURAL MESSAGES OR REQUIREMENTS. COORDINATE THE INSTALLATION OF THE EXISTING SYSTEM AND EQUIPMENT WITH THE MANUFACTURER'S INSTALLATION INSTRUCTIONS.vI.

A. SYSTEM DESCRIPTION (EXISTING BUILDING)

1. PROVIDE A WET PIPE SYSTEM HYDRAULICALLY DESIGNED IN ACCORDANCE WITH NFPA 13 FOR SPRINKLER SYSTEMS.

3. PRESSURE SWITCH: 1/2" MALE PRESSURE CONNECTION TO ALARM VALVE RISER AND WATER PARK. WASHING, AND CLEANING, AND APPLY ONE COAT OF ZINC RICH PRIMER TO WELDING.

PERSONNEL IN THE USE OF GROOVING TOOLS, APPLICATION OF GROOVE, AND OFFSETS & TRANSITIONS MAY BE SHOWN IN PIPING & SHEET METAL TO HELP INDICATE THE RELATIONSHIP BETWEEN THE PIPING AND ITS RELATIONSHIP TO THE STRUCTURE.

PREPARE PIPE, FITTINGS, SUPPORTS, AND ACCESSORIES FOR FINISH PAINTING. WHERE PIPE IS USED, INSTALL THE CENTERLINE OF THE PIPE TO THE CENTERLINE OF THE DRAWING.

11. DO NOT PENETRATE BUILDING STRUCTURAL MEMBERS UNLESS INDICATED.

B. EXISTING SYSTEMS AND EQUIPMENT

1. PROVIDE BURIED SHUT OFF VALVES IN VALVE BOX.

3. INSTALL VALVES WITH STEMS UPRIGHT OR HORIZONTAL, NOT INVERTED. REMOVE THE BULB PROTECTOR BY HAND AFTER INSTALLATION.

2. INSTALL SAFETY GUARD ON AIR AND GAS VALVES.

4. INSTALL TEAM BOLT UP HOUSING VALVE.

1. INSTALL PIPING IN ACCORDANCE WITH NFPA 13 FOR SPRINKLER SYSTEMS.

6. INSTALL BURIED SHUT OFF VALVES IN VALVE BOX.

10. PREPARE PIPE, FITTINGS, SUPPORTS, AND ACCESSORIES FOR FINISH PAINTING. WHERE PIPE IS USED, INSTALL THE CENTERLINE OF THE PIPE TO THE CENTERLINE OF THE DRAWING.

8. INSTALL BOLT UP HOUSING LIFTS.

5. INSTALL TEAM BOLT UP HOUSING VALVE.

4. INSTALL TEAM BOLT UP HOUSING LIFTS.

7. INSTALL BOLT UP HOUSING LIFTS.

5. INSTALL TEAM BOLT UP HOUSING LIFTS.

4. INSTALL TEAM BOLT UP HOUSING LIFTS.

7. INSTALL BOLT UP HOUSING LIFTS.

6. INSTALL BURIED SHUT OFF VALVES IN VALVE BOX.

4. INSTALL TEAM BOLT UP HOUSING LIFTS.

3. INSTALL VALVES WITH STEMS UPRIGHT OR HORIZONTAL, NOT INVERTED. REMOVE THE BULB PROTECTOR BY HAND AFTER INSTALLATION.

2. INSTALL SAFETY GUARD ON AIR AND GAS VALVES.

4. INSTALL TEAM BOLT UP HOUSING LIFTS.
1. This detail is intended to show the general intent of the gas meter layout. This detail is for the natural gas meter GM.

2. Coordinate location of gas connection with equipment location and mechanical construction in the field.

3. These general notes are applicable to all plumbing drawings.

4. The contractor is responsible for providing and installing all necessary for full equipment installation. All locations shall be from above finished floor. The contractor shall be responsible for coordinating this equipment installation with the electrical and mechanical construction.

5. The contractor shall provide pipe expansion joints on piping passing through the roof structure. Refer to mechanical drawings for additional information.

6. The contractor shall provide and install all services to mechanical equipment. Refer to mechanical drawings for additional information.

7. Backflow preventers, regulators, unions, traps, & shut-off valves shall be supplied with the following: 3/8" stainless steel threaded rods, black rubber with nylon spacer, support base shall be fiber reinforced nylon with dispersed carbon black to provide weathering resistance and UV stability. The heights of the rubber rollers shall be adjustable and the equipment should be levelled.

8. Gas solemid valves shall be provided and installed by the contractor. Refer to mechanical drawings for additional information.

9. Gas meter GM shall be provided and installed by the contractor. Refer to mechanical drawings for additional information.

10. The contractor shall provide and install all services to mechanical equipment. Refer to mechanical drawings for additional information.

11. The contractor shall provide pipe expansion joints on piping passing through the roof structure. Refer to mechanical drawings for additional information.

12. The contractor shall provide pipe expansion joints on piping passing through the roof structure. Refer to mechanical drawings for additional information.

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50. The contractor shall provide pipe expansion joints on piping passing through the roof structure. Refer to mechanical drawings for additional information.
A. DRAWINGS AND GENERAL PROVISIONS OF CONTRACT, INCLUDING GENERAL AND E. DEFINITIONS: THE FOLLOWING DEFINITIONS APPLY TO THIS CONTRACT
D. DESCRIPTION
H. PERMITS AND FEES:
1. UPON COMPLETION OF ALL WORK AND TESTS, THE CONTRACTOR SHALL INSTRUCT THE
3. MAINTENANCE AND INSTRUCTION MANUALS SHALL BE SUBMITTED TO THE OWNER AT THE
2. INSTALL: THE TERM "INSTALL" IS USED TO DESCRIBE OPERATIONS AT PROJECT SITE
2. WORK AREA: AFTER COMPLETION OF PROJECT, REMOVE ALL CONSTRUCTION DEBRIS,
3. PRIOR TO ORDERING ANY MATERIALS AND EQUIPMENT, THOROUGHLY REVIEW THE SITE
SECTIONS, THE MORE STRINGENT REQUIREMENT(S) SHALL APPLY.
1. INTERNATIONAL BUILDING CODE
3. INTERNATIONAL MECHANICAL CODE
5. THE LIFE SAFETY CODE (NFPA 101)
1. SCAFFOLDING, RIGGING, HOISTING: THE CONTRACTOR SHALL FURNISH ALL SCAFFOLDING,
4. CAREFULLY INSPECT ALL BUILDING ELEMENTS PRIOR TO CUTTING OR DRILLING INTO WALL,
2. DO NOT BURN WASTE MATERIALS. DO NOT BURY DEBRIS OR EXCESS MATERIALS ON THE
RIGGING, HOISTING AND SERVICES NECESSARY FOR ERECTION AND DELIVERY INTO THE
MATERIAL, SKIDS ETC. FROM THE SITE AND DISPOSE OF IN A LAWFUL MANNER IN
RECOMMENDATIONS.
5. SCAFFOLDING, RIGGING, HOISTING: THE CONTRACTOR SHALL FURNISH ALL SCAFFOLDING,
2. VERTICAL PIPING:
1. TEST PIPING IN ACCORDANCE WITH ALL APPLICABLE PLUMBING CODES, ASME B31.1 AND
10. PREPARE UNFINISHED PIPE, FITTINGS, SUPPORTS, AND ACCESSORIES, READY FOR FINISH
8. PROVIDE ACCESS WHERE VALVES AND FITTINGS ARE NOT EXPOSED.
7. INSTALL PIPING TO ALLOW FOR EXPANSION AND CONTRACTION WITHOUT STRESSING PIPE,
5. USE HANGERS WITH 1-1/2 INCH MINIMUM VERTICAL ADJUSTMENT. DESIGN HANGERS FOR
4. EXTENDED LEVER HANDLE: PROVIDE AS NECESSARY TO ALLOW FOR UNCOMPRESSED
1. MANUFACTURERS: APOLLO, CRANE, JENKINS, NIBCO, STOCHAM.
3. UNIONS
2. FLANGES
1. MANUFACTURERS: APOLLO, CRANE, JENKINS, NIBCO, STOCHAM.
4. EXTENDED LEVER HANDLE: PROVIDE AS NECESSARY TO ALLOW FOR UNCOMPRESSED
1. MANUFACTURERS: APOLLO, CRANE, JENKINS, NIBCO, STOCHAM
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1. MANUFACTURERS: APOLLO, CRANE, JENKINS, NIBCO, STOCHAM
3. UNION
2. FLANGE
1. MANUFACTURERS: APOLLO, CRANE, JENKINS, NIBCO, STOCHAM
4. EXTENDED LEVER HANDLE: PROVIDE AS NECESSARY TO ALLOW FOR UNCOMPRESSED
1. Refer to drawing M-6.00 for equipment schedule and drawing M-0.00 for equipment legends, and abbreviations.

2. Provide fire stopping and smoke barrier sealing of all penetrations through fire walls or smoke barriers as required. Refer to architectural floor plans and code sheets for walls.

3. Contractor shall salvage all ceiling tiles where any ceilings are removed for demolition and new work.
### Variable Air Volume Terminal Unit Schedule

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<tr>
<th>Tag</th>
<th>Manufacturer</th>
<th>Model</th>
<th>Rating</th>
<th>Type</th>
<th>Features</th>
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### Duct Silencer Schedule

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<th>Size</th>
<th>Type</th>
<th>Features</th>
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<tr>
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### Package Rooftop AC Unit Schedule

<table>
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</table>
A. THESE SPECIFICATIONS ARE APPLICABLE TO ALL PLUMBING, MECHANICAL AND ELECTRICAL DRAWINGS

23 00 00 - GENERAL

2. DO NOT SCALE DRAWINGS. SCALE INDICATED ON DRAWINGS IS FOR ESTABLISHING REFERENCE

4. SCHEDULE AT LEAST TEN WORKING DAYS EXCLUSIVE OF TRANSMITTAL TIME, FOR SUBMITTAL

2. CONNECT WORK TO VARIOUS EXISTING SYSTEMS AS INDICATED ON THE DRAWINGS. WORK SHALL

1. DRAWINGS ARE DIAGRAMMATIC. THE FINAL PLACEMENT OF EQUIPMENT OR DEVICES IN THE FIELD

1. THIS PROJECT COMPRISSES ALTERATIONS AND RENOVATIONS TO THE EXISTING BUILDING. THE

OF WORK CONTAINED WITHIN DIVISION 23.

AND/OR METHODS OF CONSTRUCTION AS PROPOSED BY THE CONTRACTOR AFTER AWARD OF THE

a. SUBMITTAL DATA FOR ALL MATERIAL AND EQUIPMENT. CLEARLY IDENTIFY DEVIATIONS OF THE

POINTS ONLY. ACTUAL FIELD CONDITIONS SHALL GIVERN ALL DIMENSIONS.

1. MAINTAIN UTILITIES EXCEPT THOSE REQUIRING REMOVAL OR RELOCATION. KEEP UTILITIES IN

MACHINERY, WORKMANSHIP AND INSTALLATION THAT DEVELOP WITHIN THIS PERIOD, PROMPT AND TO

PENETRATIONS THROUGH FIRE RATED PARTITIONS. PROVIDE ASBESTOS FREE FIRESTOPPING SYSTEM

LOCATION AND QUANTITY OF ACCESS PANELS REQUIRED. COORDINATE TYPE AND LOCATION WITH

TO: DAMPERS, HEATERS, VALVES, VARIABLE AIR VOLUME BOXES, FAN BOXES AND OTHER APPARATUS

TO RESTORE THEM TO THEIR ORIGINAL CONDITION.

INCLUDED IN THIS SECTION, INCLUDING REMOVAL OF CONCRETE RESIDUE.

b. MAINTENANCE PROCEDURES FOR ROUTINE PREVENTATIVE MAINTENANCE AND

c. COPIES OF WARRANTIES.

EQUIPMENT, WORKMANSHIP AND INSTALLATION THAT DEVELOP WITHIN THIS PERIOD, PROMPT AND TO

WATER NECESSARY DRAWINGS, PREPARE ALL DOCUMENTS AND OBTAIN ALL NECESSARY APPROVALS OF

CERTIFICATES OF INSPECTION FOR HIS WORK, AND DELIVER A COPY TO THE OWNER AND

NO PROVISION FOR THE INSTALLATION OF A FIRE PROOFING SYSTEM MAY BE MADE.

b. PROVIDE SEISMIC RESTRAINTS AS REQUIRED BY CODE. FOR EACH SEISMIC RESTRAINT, PROVIDE CERTIFIED

B. PROVIDE VIBRATION ISOLATION FOR EACH PIECE OF ROTATING OR RECIPROCATING HVAC EQUIPMENT SHOWN ON

1. PROVIDE HOT-DIPPED GALVANIZED SCHEDULE 40 STEEL PIPE SLEEVES FOR PIPES PASSING THROUGH CONCRETE

THE DRAWINGS. ALL ISOLATION COMPONENTS SHALL BE SUPPLIED BY A SINGLE MANUFACTURER - MASON

THE ACTUAL "UNLOADING, UNPACKING, ASSEMBLY, ERECTION, PLACING, ANCHORING, APPLYING,

TO CLIENTS AND DEPARTMENT STORES, AS INDICATED ON THE DRAWINGS. LAYOUT OF PIPE AND DUCTWORK

OF EACH BINDER.

b. MAINTENANCE PROCEDURES FOR ROUTINE PREVENTATIVE MAINTENANCE AND

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OF EACH BINDER.
A. GENERAL REQUIREMENTS

H. LOCAL CONTROLLERS, RELAYS, SWITCHES, AND OTHER CONTROL COMPONENTS SHALL BE MOUNTED ON

D. PERFORM COMMISSIONING TESTS AT THE DIRECTION OF THE CX.

A. PROVIDE THE SERVICES OF AN EXPERIENCED HVAC WATER-TREATMENT SERVICE PROVIDER CAPABLE OF ANALYZING

1. PROVIDE AUTOMATIC PRESSURE COMPENSATING FLOW CONTROL VALVES BY GRISWOLD, FDI OR T&A AS

1. PROVIDE UL-LISTED, 1" THICK (UNLESS OTHERWISE NOTED) 1.5 LB DENSITY, BY CERTAIN-TEED, KNAUF, OWENS

6. DUCTWORK SHALL NOT RUN ALONG FULL HEIGHT PARTITIONS.

C. PROVIDE CONTINUOUSLY ACTUATING WITH MICROPERFORATED LAMINATE LINING SYSTEMS, BY

S. SUPPLEMENTAL HUMIDITY CONTROL: WHEN REQUIRED, SHALL BE INSTALLED IN ACCORDANCE WITH

E. IP instrumentation, and tools required to perform commissioning tests. Provide information

B. ADJUSTABLE MANUAL BALANCING DAMPERS:

A. GENERAL REQUIREMENTS

E. SUBMIT TO ARCHITECT A POINT-TO-POINT WIRING DIAGRAM AND AIR PIPING LAYOUT SHOWING

A. PROVIDE THE SERVICES OF AN EXPERIENCED HVAC WATER-TREATMENT SERVICE PROVIDER CAPABLE OF ANALYZING

A. GENERAL REQUIREMENTS

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A. GENERAL REQUIREMENTS
13. BUILDING WIRE AND CABLE NOT IN RACEWAY SHALL BE PLENUM RATED.
12. THE ELECTRICAL CONTRACTOR SHALL PERFORM CORES REQUIRED FOR ELECTRICAL WORK.
8. SHARED NEUTRAL WIRING IS NOT ACCEPTABLE, UNLESS NOTED OTHERWISE ON DRAWINGS. PROVIDE
10. FINISHES AND COLOR OF ELECTRICAL WIRING DEVICES, EXPOSED RACEWAY, LIGHT FIXTURES, AND
9. DRAWINGS ARE DIAGRAMMATIC ONLY. DO NOT SCALE ELECTRICAL DRAWINGS.
6. COORDINATE LOCATIONS OF ELECTRICAL DEVICES AND CONTROLS WITH RESPECT TO LOCATIONS OF
5. PROVIDE FIRE STOPPING AND SMOKE BARRIER SEALING OF PENETRATIONS THROUGH FIRE WALLS OR
3. 120V, 1-PHASE, 20A BRANCH CIRCUITS EXCEEDING 150' IN LENGTH SHALL BE #10, #10G, 3/4"C. UNLESS

---

ELECTRICAL DEMOLITION NOTES

1. CONTRACTOR SHALL BE RESPONSIBLE FOR DISPOSAL OF ALL ITEMS TO BE REMOVED IN A SAFE,
2. CONTRACTOR SHALL BE RESPONSIBLE FOR STORAGE AND HANDLING OF EXISTING TO BE RELOCATED
4. BRANCH CIRCUITS THAT ARE EXISTING TO REMAIN OR TO BE RELOCATED IN PANELBOARDS THAT ARE
3. DEMOLITION SHALL BE INDICATED IN THE REVISED DIRECTORY AS SPARES.
4. CONTRACTOR SHALL BE RESPONSIBLE FOR STORAGE AND HANDLING OF EXISTING TO BE RELOCATED
5. BRANCH CIRCUITS THAT ARE EXISTING TO REMAIN. CIRCUITS THAT HAVE BEEN REMOVED AS PART OF

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ELECTRICAL ABBREVIATIONS

- AWG: American Wire Gauge
- ATS: Automatic Transfer Switch
- AFG: Alternating Current Generator
- AFCI: Arc-Fault Circuit Interrupter
- WP: Weatherproof
- WG: Weather Guard
- V: Volt
- VIF: Volt-Ampere Factor
- VA: Volt-Ampere
- UH: Universal
- TYP: Typical
- T/TX: Two Wire, Three Wire, Four Wire, Five Wire, Six Wire, Seven Wire, Eight Wire
- TELE: Television
- SWBD: Service Way Breaker Device
- SE: Service Entrance
- RTU: Refrigeration Thermostat Unit
- RR: Refrigeration Room
- RN: Refrigeration Unit
- RGS: Refrigeration Group
- REF: Refrigeration Equipment
- RE: Refrigeration Engine
- PVC: Polyvinyl Chloride
- PNL: Panel
- PH: Phase
- NTS: Neutral
- NL: Neutral Line
- NIC: Neutral Interconnection
- NEC: National Electrical Code
- NE: Neutral Ends
- MLO: Minimum Load
- MIN: Minimum
- MH: Metal Halide or Manhole
- MCCB: Main Circuit Breaker
- MCC: Motor Control Center
- MCB: Main Circuit Breaker
- MAU: Motor Control Unit
- KW: Kilowatt
- KCMIL: Thousand Circular Mil
- IN: Inch
- IG: Isolated Ground
- HR: Hertz
- HP: Horsepower
- G: Gauge
- FC: Fan Coil
- FA: Fan Assembly
- EUH: Electric Unit Heater
- EP: Emergency Panel
- EMT: Electric Metallic Tubing
- EF: Elevator
- DWG: Drawing
- DIA: Diameter
- DEG: Degree
- CU: Copper
- CR: Commercial Refrigeration
- CP: Commercial Plant
- C: Circuit
- C/D: Commercial District
- BLD: Building
- BASEMENT: Basement

---

ELECTRICAL SYMBOL LIST

- AP: Ceiling Mounted Wireless Access Point
- S: Surface Type
- D: Duct Type
- J: Junction Box
- VAV: Variable Air Volume
- SUP: Supply
- DISC: Disconnect
- HOMERUN: Home Run
- S/PO: Surface Panel Board
- S/P: Surface Mount
- S/H: Surface Mount
- T/PO: Trip Panel Board
- T/P: Trip Mount
- T/H: Trip Mount
- P: Panel
- CIRCUIT: Circuit
- SOCKET: Socket
- CONTACTOR: Contactor
- RELAY: Relay
- REL: Relay
- FUSE: Fuse

---

ARCHITECT:

39 New London Turnpike, Suite 320
Cheshire, CT 06410
Fax: (860) 632-1768
Tel: (860) 632-1682

ENGINEER:

TOWN OF CHESHIRE

CHESHIRE HIGH SCHOOL

HVAC IMPROVEMENTS

525 South Main Street
Cheshire, CT 06410

FILE NAME:
PLOT SHEET SIZE: 24" x 36"

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FILE NAME:
PLOT SHEET SIZE: 24" x 36"
EP1 NEW PANELBOARD "HVAC". EXTEND EXISTING ACTIVE (ENERGIZED) CIRCUITS TO THIS PANELBOARD. REFER TO ELECTRICAL RISER DIAGRAM AND PANELBOARD SCHEDULES FOR ADDITIONAL INFORMATION.

EP2 INTERCEPT EXISTING PANELBOARD FEEDER AND EXTEND TO NEW PANEL "HVAC". REFER TO ELECTRICAL RISER DIAGRAM FOR ADDITIONAL INFORMATION.

EP3 EXISTING 150A, 208/120V, 3PH PANELBOARD TO REMAIN.

EP4 PROVIDE CONTROL MODULE WIRED TO LOCAL FIRE ALARM INITIATION LOOP TO SHUTDOWN FAN UPON FIRE ALARM SYSTEM ACTIVATION. REFER TO FIRE ALARM RISER DIAGRAM FOR ADDITIONAL INFORMATION.

EP5 (TYPICAL) PROVIDE NEW LINEAR UTILITY STRIP LIGHT FIXTURE PER LIGHTING FIXTURE SCHEDULE. WIRE NEW FIXTURE TO EXISTING LIGHTING CIRCUIT WITHIN SPACE. PROVIDE 2#12, #12G, ¾"C FROM EACH EXISTING LIGHTING FIXTURE LOCATION TO NEW LIGHT FIXTURE LOCATION, AS REQUIRED. FIXTURE SHALL BE MOUNTED TO UNISTRUT SUPPORT SUSPENDED FROM STRUCTURE, STRADDLING UNDERSIDE OF DUCTWORK. REFER TO DEMOLITION PLANS FOR EXISTING LIGHT FIXTURE LOCATIONS.
1. PROVIDE WEATHERPROOF, GFCI TYPE RECEPTACLE OUTLET MOUNTED ON ROOF ADJACENT TO UNIT.

2. PROVIDE TESTING AND REPROGRAMMING OF EXISTING CONTROL PANEL TO NEAREST DROPPED CEILING LOCATION IN FIELD WITH DIV.23.

3. PROVIDE VAV JUNCTION BOXES:"A" (1) 150A, 208/120V, 3-PH EXISTING PANELBOARD TO REMAIN FEEDER TO REMAIN.

4. REFER TO FLOOR PLANS FOR QUANTITY AND LOCATION OF DEVICES.

5. REFER TO PANEL SCHEDULES FOR SOURCE PANEL/CIRCUIT INFORMATION.

ABBREVIATIONS:
- SPC: SINGLE POINT CONNECTION (STARTERS INTEGRAL TO EQUIPMENT). COORDINATE EXACT POINT OF CONNECTION IN FIELD.
- DIV.23: EQUIPMENT FURNISHED BY DIVISION 23 HVAC CONTRACTOR.
- DIV.22: EQUIPMENT FURNISHED BY DIVISION 22 PLUMBING CONTRACTOR.
- VFD: VARIABLE FREQUENCY DRIVE, FURNISHED BY DIV.23, WIRED BY DIV.26. PROVIDE POWER.
- FVNR: COMBINATION FULL VOLTAGE NON-REVERSING STARTER/DISCONNECT SWITCH.
- MAN: MANUAL STARTER (TOGGLE SWITCH WITH THERMAL OVERLOADS). COORDINATE EXACT LOCATION IN FIELD WITH DIV.23.
- DISC. SW: DISCONNECT SWITCH WIRED BY DIV.23.
- NTS: REMOTE TEST/RESET KEY SWITCH AND ASSOCIATED WIRING.

NOTES:
- ADDITIONAL CIRCUITS, SHOWN ON PANEL SCHEDULE FOR NEW MLO PANELBOARD TO BE REMOVED.

- (1) 20A/2P CIRCUIT
- (1) 20A/3P CIRCUIT

- (ENERGIZED) CIRCUITS WITHIN EXISTING PANELBOARD. EXTEND TO TEMPORARILY DISCONNECT AND RE-FEED ALL EXISTING ACTIVE OTHERWISE NOTED.

- ADDITIONAL CIRCUITS, SHOWN ON PANEL SCHEDULE FOR NEW MLO PANELBOARD.

- UL LISTED DPDT RELAY WITH LOW VOLTAGE COIL BY DIV. 26.

- U.S.S. COPD PANEL Wiring DISC. SW.

- SEE NOTE #5

- SEE DEMO. RISER DIAGRAM

- SEE NOTE #4

- SEE PANEL SCHEDULE TYPICAL HVAC 200A-3P 3#2, #6G, 2"C RTU-2 SPC - AT UNIT

- SEE DEMO. RISER DIAGRAM

- SEE PANEL SCHEDULE THREE PHASE COPPER FEEDER SCHEDULE 3#3/0 & 1#6G 2-1/2" 4#3/0 & 1#6G 2-1/2"
A. DESCRIPTION

B. WIRING DIAGRAMS AND RISER DIAGRAMS

C. CLEARANCES FOR SERVICING AND MAINTAINING EQUIPMENT, INCLUDING NEC FLOOR PLANS; DETAILING MAJOR ELEMENTS, COMPONENTS, AND SYSTEMS OF ELECTRICAL INSTALLATIONS.

D. CONDUIT APPLICATIONS:

E. FITTINGS:

F. PROVIDE VOLTAGE MARKERS TO IDENTIFY HIGHEST VOLTAGE PRESENT FOR ACCESSIBLE DEVICES IN THE FIELD.

G. CABINETS AND ENCLOSURES: COMPLY WITH NEMA 250, UL 50 AND UL 50E OR UL 508A.

H. WARNING LABELS: USE FACTORY PRE-PRINTED OR MACHINE-PRINTED SELF-ADHESIVE WARNING LABELS.

I. COORDINATION DRAWINGS:

J. PROVIDE ALL MATERIALS, EQUIPMENT AND LABOR NECESSARY TO COMPLETE THE WORK PRIOR TO ORDERING ANY MATERIALS AND EQUIPMENT, THOROUGHLY REVIEW THE SITE.

K. THE INTERNATIONAL CODE

L. NFPA 70: NATIONAL ELECTRICAL CODE

M. METALLIC-ARMORED TYPE MC/CB CABLES, WHERE ALLOWED, SHALL INCLUDE 600V EXCEPT THAT CONDUCTORS FOR REMOTE CONTROL, ALARM, AND SIGNAL CIRCUITS, CLASSES 1, 2, AND 3, USES PERMITTED:

N. PROVIDE INSULATED, GREEN EQUIPMENT GROUNDING CONDUCTOR IN FEEDER AND BRANCH CONDUCTORS WITH 90 DEGREE C INSULATION OR BETTER.

O. ELECTRICAL ACCEPTANCE TESTING

PERMITS AND FEES:

SUBSTITUTIONS: "SUBSTITUTIONS" ARE REQUESTS FOR CHANGES IN PRODUCTS, MATERIALS AND ACCESS AND WHERE SEQUENCING AND COORDINATION OF INSTALLATIONS ARE OF VISIBLE OR READILY IDENTIFIED BY EXPERIENCED OBSERVERS. INCLUDE IN THE BID ALL AND ACCESSIBILITY TO PROMPT AND TO OWNER'S SATISFACTION AND CORRECT DAMAGE CAUSED IN MAKING REPAIR.

A. WHERE CONCEALED ABOVE ACCESSIBLE CEILINGS FOR FINAL CONNECTIONS TO LUMINAIRES INTO THE BUILDING DURING CONSTRUCTION.

B. RIGID METAL CONDUIT - COMPLY WITH ANSI C80.1 AND UL 6. THREADED STEEL OR CONDUCTOR AND STEEL INTERLOCKING ARMOR. USES PERMITTED:

C. PROVIDE ALL MATERIALS, EQUIPMENT AND LABOR NECESSARY TO COMPLETE THE WORK PRIOR TO ORDERING ANY MATERIALS AND EQUIPMENT, THOROUGHLY REVIEW THE SITE.

D. DO NOT SCALE DRAWINGS. SCALE INDICATED ON DRAWINGS IS FOR ESTABLISHING MEET THE FOLLOWING REQUIREMENTS:

E. MATERIAL THICKNESS, GAUGE, WEIGHT, DENSITY, ETC.

F. PROVIDE ALL MATERIALS, EQUIPMENT AND LABOR NECESSARY TO COMPLETE THE WORK PRIOR TO ORDERING ANY MATERIALS AND EQUIPMENT, THOROUGHLY REVIEW THE SITE.

G. PROVIDE ALL MATERIALS, EQUIPMENT AND LABOR NECESSARY TO COMPLETE THE WORK PRIOR TO ORDERING ANY MATERIALS AND EQUIPMENT, THOROUGHLY REVIEW THE SITE.

H. ROADWORK

I. ROADWORK

J. ROADWORK

K. ROADWORK

L. ROADWORK

M. ROADWORK

N. ROADWORK

O. ROADWORK

P. ROADWORK

Q. ROADWORK

R. ROADWORK

S. ROADWORK

T. ROADWORK

U. ROADWORK

V. ROADWORK

W. ROADWORK

X. ROADWORK

Y. ROADWORK

Z. ROADWORK

THE THIRD GROUNDING POLE SHALL BE CONNECTED TO THE METAL MOUNTING YOKE.
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### Attachments:

- Scope of Inspection Drawing (1 page)
- ACM Location Drawing (1 page)
- 11/9/2022 Certificate of Analysis Report (3 pages)
- Chain of Custody Document (2 pages)
- Sample Location Drawing (1 page)
- Inspector Qualifications and Laboratory Certifications (5 pages)

### Report Distribution:

Richard Clavet  
cc: Victor Sandoval – Cheshire Public Schools (via email vsandoval@cheshire.k12.ct.us)  
Daniel J. Bombero, Jr dbombero@cheshirect.org

### File Location:

NAS AAUM-Reports\AsbInsp\ZW\ZW-PRERENO_2022.doc
ASBESTOS PRE-RENOVATION INSPECTION
CHESHIRE HIGH SCHOOL – 525 SOUTH MAIN STREET, CHESHER CT
HVAC IMPROVEMENT PROJECT
CS# 206-595, 11/8/2022, PAGE 2 OF 5

INTRODUCTION

EXECUTIVE SUMMARY: Asbestos containing materials (ACM) were detected within the scope of this inspection and will need to be properly removed (abated) and disposed of prior to any renovation that would disturb these materials. Abatement work must be done by a licensed asbestos abatement contractor using proper procedures and practices with licensed and certified individuals. Please see the Recommendations Section for details. At a minimum for abatement while school is not in session or when children are not in the school, the school system must send a letter to the CT-DPH to inform them of the abatement and that no children/students will be in the school building at the time of the abatement.

SITE DESCRIPTION: The Cheshire High School is a two-story building with a total area of about 229,061 sq ft constructed of steel and masonry. There are two stories of classrooms and offices, the lower level of which includes custodial work areas, recreational areas, the boiler room, shop areas, gymnasium, and the auditorium. The original building was constructed in 1951-1955 totaling about 59,516 sq ft. In 1956, 1961, 1971 their additions put on totaling about 170,000 sq ft. In 1975 a portable building totaling 800 sq ft was added.

PURPOSE AND SCOPE OF INSPECTION: Asbestos Pre-Renovation Inspection as directed by Rich Clavet. We understand that there are plans to remove existing heating ventilators at the subject school. It is our understanding that the renovation plans include the removal of the existing ventilator units, existing metal louvers, associated piping, portion of existing ceilings and flooring. We understand that this work is to take place in the follow classrooms, 43, 45, 47, 49, 51, 53, 55, 88, 90, 92 and 93. We understand that you would like ChemScope to conduct the required asbestos pre-renovation inspection prior to the start of any work.

No other materials or areas were in the scope of the inspection.

TEST PARAMETERS: This is an Asbestos Pre-Renovation Inspection which is required by the EPA NESHAP Regulations for Building Renovations and Demolition, 40 CFR PART 61 intended to thoroughly inspect the affected part of the facility for asbestos prior to the renovation. The inspection is also needed for compliance with OSHA 1926.1101 and CT DPH 19a-332a-1 through 16.

Sampling completed following the Methods outlined in ChemScope QA Plan, which is based on AHERA 40 CFR Part 763. EPA Wet Methods are used to prevent fiber release. The building materials sampled are analyzed at our laboratory by EPA method 600/R-93/116. This is currently the approved EPA Test method, which uses Polarized Light Microscopy with Dispersion Staining. The laboratory is accredited by NIST/NVLAP and AIHA Lab Accreditation Program, LLC, and is a Connecticut Approved Environmental Laboratory for Asbestos Analysis.

This investigation and the information provided in this report depend partly on background information provided by the client. This report is intended for the use of the client. The scope of services performed may not be appropriate for other users and any use of this report by third parties is at their sole risk. This report is intended to be used in its entirety. No excerpts may be taken to be representative of this report.
ASBESTOS PRE-RENOVATION INSPECTION
CHESHIRE HIGH SCHOOL – 525 SOUTH MAIN STREET, CHESHIRE CT
HVAC IMPROVEMENT PROJECT
CS# 206-595, 11/8/2022, PAGE 3 OF 5

INSPECTION REPORT SYNOPSIS

LOCATION NAME AND ADDRESS: Cheshire High School
525 South Main Street, Cheshire CT

INSPECTION DATE(S): 11/8/2022

QUALIFICATIONS: The Inspection was conducted by Ziyang Wang:

Mr. Wang is certified as follows:
- State of Connecticut Licensed Asbestos Inspector (#000876)
- State of Connecticut Licensed Asbestos Project Monitor (#000755)

SITE OBSERVATIONS: On site, we met with custodian Eric who showed us the scope, we made the following observations:

- The subject building was unoccupied during the inspection.
- The floor, wall, and ceilings within the scope were intact.
- The Asbestos Management Plan showed that the flooring in the classrooms 43, 49, 51, 53, 55, 88, 90 and 92 are ACM, based on post sample results.

FINDINGS: The following asbestos containing materials (ACM) were detected within the Scope of the Inspection:

<table>
<thead>
<tr>
<th>MATERIAL</th>
<th>LOCATION</th>
<th>SQUARE FOOTAGE</th>
</tr>
</thead>
<tbody>
<tr>
<td>*Beige hard 9x9 floor tile with black, white, and orange streaks on black sticky ACM mastic (on concrete)</td>
<td>Room 43</td>
<td>30 SF** 575 SF</td>
</tr>
<tr>
<td>Room 49</td>
<td>30 SF** 645 SF</td>
<td></td>
</tr>
<tr>
<td>Room 51</td>
<td>30 SF** 645 SF</td>
<td></td>
</tr>
<tr>
<td>Room 53</td>
<td>30 SF** 645 SF</td>
<td></td>
</tr>
<tr>
<td>Room 55</td>
<td>30 SF** 645 SF</td>
<td></td>
</tr>
<tr>
<td>*Light tan hard 12x12 floor tile with blue/orange streaks on black sticky ACM mastic (on concrete)</td>
<td>Room 45</td>
<td>15 SF** 700 SF</td>
</tr>
<tr>
<td>*Off-white hard 12x12 floor tile with orange streaks on *tan sticky mastic on *gray hard leveler on black sticky AC mastic (on concrete)</td>
<td>Room 47</td>
<td>15 SF** 310 SF</td>
</tr>
<tr>
<td>Beige hard 9x9 floor tile with white and brown streaks on black sticky ACM mastic (on concrete)</td>
<td>Room 88</td>
<td>30 SF** 675 SF</td>
</tr>
<tr>
<td>Room 90</td>
<td>30 SF** 675 SF</td>
<td></td>
</tr>
<tr>
<td>Room 92</td>
<td>30 SF** 675 SF</td>
<td></td>
</tr>
<tr>
<td>TOTAL</td>
<td>270 SF</td>
<td></td>
</tr>
</tbody>
</table>

*The material attached to this material contains asbestos, therefore will need to be treated as ACM.
**Quantity represents amount of the flooring within the scope of inspection only.
The following is a summary table of the materials that tested as non-Asbestos Containing Material (ACM) (<1%) within the Scope of Work (not already summarized above):

<table>
<thead>
<tr>
<th>Location</th>
<th>Material</th>
<th>Sample #’s</th>
<th>Findings</th>
</tr>
</thead>
<tbody>
<tr>
<td>Throughout the scope</td>
<td>Light gray crumbly 2x2 suspended ceiling tile with white face (from ceiling)</td>
<td>206-595-7***</td>
<td>No Asbestos Detected</td>
</tr>
<tr>
<td>Room 93</td>
<td>Beige hard 12x12 floor tile with brown, green, white, and gray streaks on black mastic (on concrete)</td>
<td>N/A****</td>
<td>No Asbestos Detected</td>
</tr>
</tbody>
</table>

*** Confirmatory sample only.
****Based on the Asbestos Management Plan.

LIMITATIONS OF INSPECTION

The heating ventilators and above the metal ceilings were inaccessible during the inspection.

The roof is said to be an EPM rubber membrane roof, and is not in the scope of our inspection, as directed by our client.

It is important to note that every effort is made to detect asbestos (ACM) in the path of the renovation by our inspectors. It is not practical or prudent to demolish the work area during an inspection. The owner should be aware of this in case suspect materials or concealed suspect materials are uncovered during the actual renovation.

If suspect materials that were previously not accessible or not sampled during this inspection are discovered during the renovation, or if the scope of the renovation changes to include disturbance of new materials not inspected, then renovation must stop, and the materials must be sampled by a CT DPH licensed asbestos inspector prior to disturbance of these materials.
RECOMMENDATIONS

All Asbestos Containing Materials (ACM) detected in the path of the renovation must be removed prior to further disturbance of these materials.

Please also keep in mind that notification to the DPH is required for asbestos abatement involving greater than 10 linear feet or 25 square feet of or for any demolition. Disposal of all ACM is regulated by EPA and the Connecticut DEEP; an EPA approved landfill must be used.

OSHA regulations 1926.1101 requires that before asbestos removal or repair work (class I, II or III work) is initiated, building owners/facility owners must notify their own employees and employers who are bidding on such work, of the quantity and location of ACM or PACM (presumed asbestos containing material) present in such areas. Also, for inadvertently discovered ACM or PACM there is a 24-hour notification requirement to the owner and all employers at the site.

Notification to the EPA is also required for projects involving any demolition regardless of regulated asbestos containing material (RACM) amount or renovation which may disturb more than 260 linear feet or 160 square feet of RACM.

Section 19a-333-7 of the Regulations of Connecticut State Agencies prohibits the performance of asbestos abatement in a school building while school is in session (or at any time while children are in the school) without the prior written approval of the DPH. This includes interior asbestos abatement, and it is the interpretation of the DPH Asbestos Program that the removal of exterior friable asbestos-containing material constitutes asbestos abatement, as defined by Connecticut General Statute Section 19a-332. Therefore, a local education agency is required to obtain the prior written approval of the DPH to remove the interior and exterior friable materials at this site while school is in session.

At a minimum for abatement while school is not in session or when children are not in the school, the school system must send a letter to the CT-DPH to inform them of the abatement and that no children/students will be in the school building at the time of the abatement.

Sincerely,

Ziyang Wang
Asbestos Inspector
Chem Scope
Asbestos Pre-Renovation Inspection
Cheshire High School
525 S Main Street, Cheshire CT
CS# 106-595, 11/8/2021

ACM Location Drawing
ACM flooring location
Senior Courtyard
Certificate Of Analysis

Cheshire Public Schools
29 Main Street
Cheshire CT 06410

11/09/2022
CS#: 206-595
Page 1 of 3

Bulk sample(s) from Cheshire High School, 525 South Main Street, Cheshire, CT collected by Ziyang Wang on 11/08/2022

Examination made by Polarized Light Microscopy (PLM) per EPA Appendix E to Subpart E of 40 CFR Part 763 and EPA Test Method 600/R-93/116

Sample Identification

206-595-1 Light tan hard 12x12 floor tile with blue/orange streaks (on black sticky mastic on concrete)/Room 45

Findings (Analyzed 11/09/2022)
Not Analyzed

206-595-2 Black sticky mastic (from #1)/Room 45

5% Chrysotile Asbestos
(*Confidence Interval = 3.8% - 6.6%)
21% Non Fibrous Particles
74% Volatile on Ignition
*Estimate of the Error @ 95% Confidence Level

206-595-3 Off-white hard 12x12 floor tile with orange streaks (on tan sticky mastic on gray hard leveler on black sticky mastic on concrete)/Room 47

Not Analyzed

206-595-4 Tan sticky mastic (from #3)/Room 47

Not Analyzed

206-595-5 Gray hard leveler (from #3)/Room 47

Not Analyzed
Bulk sample(s) from Cheshire High School, 525 South Main Street, Cheshire, CT collected by Ziyang Wang on 11/08/2022

Examination made by Polarized Light Microscopy (PLM) per EPA Appendix E to Subpart E of 40 CFR Part 763 and EPA Test Method 600/R-93/116

Sample Identification

206-595-6 Black sticky mastic (from #3)/Room 47

206-595-7 Light gray crumbly 2x2 ceiling tile (from ceiling)/Room 49

Findings (Analyzed 11/09/2022)

17% Chrysotile Asbestos
(*Confidence Interval = 12.8% - 21.8%)
52% Non Fibrous Particles
31% Volatile on Ignition
*Estimate of the Error @ 95% Confidence Level

No Asbestos Detected
34% Non Fibrous Particles
50% Mineral Wool
16% Volatile on Ignition
PARAMETERS
ASBESTOS PLM ANALYSIS
(Revised 12/9/19)

1. Materials which contain >1% asbestos (greater than 1%) by PLM (polarizing light microscopy) analysis are considered to be asbestos containing materials under EPA and the State of Connecticut Regulations. OSHA still regulates material with <1%. (Contact laboratory for information.) (Note: A more sensitive method is available called TEM (transmission electron microscopy). TEM may detect asbestos fibers that PLM cannot see, but the above agencies' enforcement is based on PLM analysis. Rules may differ for states other than Connecticut. It is best to check with the individual state. For example, New York State requires TEM confirmation of negative PLM results on floor tile).

2. If no asbestos is detected in a sample, or if the asbestos content is less than 1 % by PLM, additional samples of the same material should be submitted for confirmation. Please check with the laboratory for guidance on the number of samples needed. Sample collection in Connecticut must be by a DPH Licensed Asbestos Inspector. Many other states also require licensing.

3. Floor Tile Mastic. Mastic under floor tile should be separately sampled by scraping some of the mastic from the floor to avoid contamination from the floor tile.

4. Although ChemScope, Inc. takes great effort to insure accuracy in the estimation of asbestos in the materials analyzed, no quantitation method is without some uncertainty. Based on independent calibration studies and comparison of ChemScope's quantitative results with NVLAP and AIHA, LAP programs we estimate our relative error (@ 95% Confidence Level) to be 0.26%. This means an estimated 10% asbestos has a Confidence Interval of 7.4-12.6% Asbestos. Likewise, a sample with an estimated 1% asbestos has a Confidence Interval of 0.74-1.26% Asbestos.

5. The presence of non-asbestos components, which are recognized by the PLM analyst, are reported with the estimated amounts. This is not an exhaustive analysis for the non-asbestos materials since the primary purpose is to determine if asbestos is present and, if so, how much is present of each type of asbestos.

6. Results reported apply only to the sample(s) analyzed.

7. Special treatment of samples: Chem Scope, Inc. routinely uses gravimetric sample reduction techniques such as low temperature ashing or acid dissolution on samples like floor tile, roofing materials, glue dots, or high cellulose content samples prior to PLM analysis. These methods are used to aid in the PLM analysis and to provide better quantitative data. Layered samples, if possible, are analyzed separately as individual layers. However, in accordance with the method, if any layer contains >1% asbestos (greater than 1%) it is to be considered an asbestos containing material. All results are reported to the original sample basis.

8. Sample results are not corrected for blanks. Analytical blanks are run daily and if contamination is suspected the samples are rerun.

9. Chem Scope, Inc. performs "400 point" point counting when the asbestos content is visually estimated to be less than 10%. There is no additional charge for this analysis.

The Scope of Accreditation referenced in this report applies to bulk asbestos fiber analysis by PLM (Polarized Light Microscopy). Accreditation does not imply endorsement by NVLAP, NIST or any Federal or State Agency.

This report pertains only to the samples tested and may not be reproduced in part.

Condition of the samples at the time of receipt was acceptable unless otherwise noted on the Certificate of Analysis.

See test parameters above and our attached chain of custody form.

We would love to hear from you. Comments? Questions? Please call or email us at chemscope@snet.net

ChemScope, Inc. is accredited by AIHA LAP, LLC LAB #100134
NVLAP Lab Code 101061-0.

Connecticut Department of Public Health (DPH) Approved Environmental Lab PH 0581
Rhode Island Department of Health - Asbestos Program Certification #PLM00070

Signature (if applicable)  Authorized Signature  Authorized Signature  or  Authorized Signature

Analyst  Inspector  Suzanne Cristante  Izabela Kremens  Daniel Sullivan
Laboratory Director  Quality Manager  President
**ChemScope**

**INDUSTRIAL HYGIENE • ENVIRONMENTAL CHEMISTRY**

15 Moulthrop Street, North Haven, CT 06473-3686 • Phone (203) 865-5605 • Fax (203) 498-1610

**Chain of Custody**

Sample Source: 525 South Main Street, Cheshire, CT

Sampled By: [Signature] Date Sampled: 11/8/22 Customer Name: Cheshire Public Schools

<table>
<thead>
<tr>
<th>CS Sample#</th>
<th>Client Sample#</th>
<th>Sample Description</th>
<th>Comments</th>
</tr>
</thead>
<tbody>
<tr>
<td>[Redacted]</td>
<td>[Redacted]</td>
<td>See attached</td>
<td></td>
</tr>
<tr>
<td></td>
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</tr>
</tbody>
</table>

Sample Turnaround: 1 Week, 24 hr

Analysis Requested (if variable, use comment column): PLM

Check if you want sample returned (sample will be disposed of after 30 days).

Relinquished by: [Signature] Date: 11/8/22 Time: 11:45am Received By: [Signature]

Relinquished by: [Signature] Date: [Blank] Time: [Blank] Received By: [Signature]

Other Special Instructions:

Result Transmittal Instructions (for Chem Scope to transmit): Tell [Signature]

**FOR CHEM SCOPE, INC. TO FILL OUT IF SAMPLES ARE GOING TO OUTSIDE LABORATORY:**

Name of Laboratory:

Method of Transportation to Laboratory:

Result Transmittal Instructions (for outside Laboratory to Chem Scope, Inc):

The person submitting samples is responsible for obtaining true and representative samples, for complying with applicable regulations and for the use of the data obtained from the analysis. For example, many states have licensing and laboratory approval requirements. Please contact the individual states if you have any questions regarding specific sampling or approval requirements. For Connecticut, sites we have licensed inspectors available to collect client samples and to perform building inspections.
Dear Laboratory Customer or Potential Customer,

New laboratory accreditation standards require us to provide our clients information about our services to make sure that your requirements for testing are adequately defined, documented and understood. The following is for your information. Please call us if you have any questions or comments.

**Type of Samples**
/ / PCM cassettes are routinely run by NIOSH Method 7400. (Issue #3, 14 June 2019)
/ / Bulk materials are run per EPA Appendix E to subpart of 40CFR part 763 and EPA Test method 600/R-93/116

**Air Samples:** NIOSH 7400 Method counts all fibers. This method may be used for personal air samples and for finals. Two field blanks must be submitted for each set of samples. In the unlikely event that there is to be any deviation from the standard test, you will be consulted by phone before the work begins. Those clients who have not had NIOSH 582 or AHERA asbestos training courses (either supervisor or project monitor) should consult with the lab director for more information. The test parameters are further explained in the analytical report.

**Bulk materials:** sampled are analyzed by the latest EPA Method: (#600/R-93/116) which uses polarized light microscopy (PLM). When asbestos is detected and the amount is estimated to be less than 10%, we automatically point count the samples. When there are interfering substances present, we may use ashing, acid washing or other procedures described in the method to handle the interference. Those clients who have not had AHERA asbestos training courses (either inspector, supervisor or project designer) should consult with the lab director for more information. The test parameters are further explained in the analytical report.

**All Samples** must be clearly labeled with source name and identification number or sufficient information from the client to make this sample uniquely identified. (We will then add our notebook #, page # (batch) and unique number within the batch.) Samples must be in a clean, air tight package such as a zip loc bag. Appropriate completed paperwork must accompany the sample. Bulk and air samples may not be submitted in the same package.

As soon as available bench top results will be faxed to you and reports will then be mailed. We will retain air samples for at least three months and bulk samples for 6 months unless you advise us otherwise.

You are welcome to visit the laboratory at any time to discuss the work, monitor the work or verify our testing services. We appreciate your business and encourage any feedback regarding improving our services or our quality system. Please take a minute to complete the following survey and mail/fax it to ChemScope, Inc.

---

**Customer Service Survey**

To help us improve our services give your opinions to the following:

1- The printed laboratory report was complete and easy to understand. YES_ NO__
   If no, please explain ________________________________.

2- The turn around time for results met your expectations/needs. YES _ NO __
   If no, please explain ________________________________.

3- How likely are you to recommend ChemScope Inc. to someone?
   Excellent _ Very Good _ Good _ Fair _ Poor__

4- How likely are you to return to ChemScope in the future if the need arises?
   Excellent _ Very Good _ Good _ Fair _ Poor__

5. On a scale of 1 to 5 where 1 represents "Satisfied" and 5 represents "Dissatisfied", how would you rate your level of overall satisfaction:
   1__ 2__ 3__ 4__ 5__

6- Please add any additional comments or suggestions that would be helpful when you use our services:

   ________________________________________________________________

   Name ________________________________________________________
   Company _____________________________________________________
   Address ______________________________________________________
   Telephone/e-mail ____________________________________________

   Can we contact you regarding this survey? YES_ NO__

---

Word: NAS/Laboratory/ControlledDocumentList/bakofsoc.doc
STATE OF CONNECTICUT
DEPARTMENT OF PUBLIC HEALTH

PURSUANT TO THE PROVISIONS OF THE GENERAL STATUTES OF CONNECTICUT

THE INDIVIDUAL NAMED BELOW IS CERTIFIED
BY THIS DEPARTMENT AS A
ASBESTOS CONSULTANT-INSPECTOR/MGMT PLANNER

ZIYANG WANG

CERTIFICATE NO
000355

CURRENT THROUGH
07/31/23

VALIDATION NO
03-977225

Ziyang Wang

SIGNATURE

[Signature]

[Commissioner]
CERT#: A-508-Virtual.634

CHEMSCOPE TRAINING DIVISION
ASBESTOS INSPECTOR/MANAGEMENT PLANNER REFRESHER

8-HOUR TRAINING CERTIFICATE

Ziyang Wang

15 Moulthrop Street, North Haven CT

Has attended an 8-hour course on the subject discipline on
09/02/2022 and has passed a written examination.

"The person receiving this certificate has completed the requisite training required for asbestos accreditation as an inspector/management planner under TSCA Title II."

Course topics include a review and update on asbestos health hazards, functions of inspectors and management planners, building systems, planning, inspecting for asbestos, sampling and analysis, respiratory protection, government regulations and preparing the inspection report.

The training course has been accredited by the State of Connecticut.

Examination 1 Score: 98%
Examination 2 Score: 94%
Exam Date: 09/02/2022
Expiration Date: 09/02/2023

Daniel Sullivan
Training Manager

Chem Scope, Inc.
15 Moulthrop Street
North Haven CT 06473
Phone: 203.865.5605
www.chem-scope.com
AIHA Laboratory Accreditation Programs, LLC

acknowledges that
ChemScope, Inc.
15 Moulthrop St North Haven, CT 06473-3633
Laboratory ID: LAP-100134

along with all premises from which key activities are performed, as listed above, has fulfilled the requirements of the AIHA Laboratory Accreditation Programs (AIHA LAP), LLC accreditation to the ISO/IEC 17025:2017 international standard, General Requirements for the Competence of Testing and Calibration Laboratories in the following:

LABORATORY ACCREDITATION PROGRAMS

☑ INDUSTRIAL HYGIENE
☐ ENVIRONMENTAL LEAD
☐ ENVIRONMENTAL MICROBIOLOGY
☐ FOOD
☐ UNIQUE SCOPES

Accreditation Expires: September 01, 2024
Accreditation Expires:
Accreditation Expires:
Accreditation Expires:
Accreditation Expires:

Specific Field(s) of Testing (FoT)/Method(s) within each Accreditation Program for which the above named laboratory maintains accreditation is outlined on the attached Scope of Accreditation. Continued accreditation is contingent upon successful on-going compliance with ISO/IEC 17025:2017 and AIHA LAP, LLC requirements. This certificate is not valid without the attached Scope of Accreditation. Please review the AIHA LAP, LLC website (www.aihaaccreditedlabs.org) for the most current Scope.

Cheryl O. Morton
Managing Director, AIHA Laboratory Accreditation Programs, LLC

Revision: 20  06/07/2022

Date Issued: 09/01/2022
United States Department of Commerce
National Institute of Standards and Technology

NVLAP®

Certificate of Accreditation to ISO/IEC 17025:2017

NVLAP LAB CODE: 101061-0

ChemScope, Inc.
North Haven, CT

is accredited by the National Voluntary Laboratory Accreditation Program for specific services,
listed on the Scope of Accreditation, for:

Asbestos Fiber Analysis

This laboratory is accredited in accordance with the recognized International Standard ISO/IEC 17025:2017.
This accreditation demonstrates technical competence for a defined scope and the operation of a laboratory quality
management system (refer to joint ISO-ILAC-IAF Communiqué dated January 2009).

2022-04-01 through 2023-03-31
Effective Dates

For the National Voluntary Laboratory Accreditation Program
State of Connecticut, Department of Public Health
Approved Environmental Laboratory

THIS IS TO CERTIFY THAT THE LABORATORY DESCRIBED BELOW HAS BEEN APPROVED BY THE STATE DEPARTMENT OF PUBLIC HEALTH PERSUANT TO APPLICABLE PROVISIONS OF THE PUBLIC HEALTH CODE AND GENERAL STATUTES OF CONNECTICUT, FOR MAKING THE EXAMINATIONS, DETERMINATIONS OR TESTS SPECIFIED BELOW WHICH HAVE BEEN AUTHORIZED IN WRITING BY THAT DEPARTMENT.

CHEMSCOPE, INC

LOCATED AT 15 Moulthrop Street IN North Haven, Connecticut 06473

AND REGISTERED IN THE NAME OF DANIEL SULLIVAN

THIS CERTIFICATE IS ISSUED IN THE NAME OF SUZANNE CRISTANTE WHO HAS BEEN DESIGNATED BY THE REGISTERED OWNER AUTHORIZED AGENT TO BE IN CHARGE OF THE LABORATORY WORK COVERED BY THIS CERTIFICATE OF APPROVAL AS FOLLOWS:

BUILDING MATERIALS
ASBESTOS FIBERS - PCM
BULK IDENTIFICATION - PLM

SEE COMPUTER PRINT-OUT FOR SPECIFIC TESTS APPROVED

EFFECTIVE RENEWAL DATE April 1, 2021

THIS CERTIFICATE EXPIRES March 31, 2023 AND IS REVOCABLE FOR CAUSE BY THE STATE DEPARTMENT OF PUBLIC HEALTH

DATED AT HARTFORD, CONNECTICUT, THIS 15th DAY OF December, 2021

Lori Mathieu Branch Chief Environmental Health & Drinking Water Branch

Registration No.

PH - 0581
LEAD XRF PRE-RENOVATION SCREENING
CHESHIRE HIGH SCHOOL – 525 SOUTH MAIN STREET, CHESHIRE CT
HVAC IMPROVEMENT PROJECT
CS# 206-595, 11/8/2022, PAGE 1 OF 6

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<td>4</td>
</tr>
<tr>
<td>Recommendations</td>
<td>5-6</td>
</tr>
</tbody>
</table>

**Attachments:**

- XRF data sheets (2 pages)
- Site Reference/Scope of Work Drawings (1 page)
- XRF quality evaluation sheet (1 page)

**Report Distribution:**

Richard Clavet rclavet@cheshire.k12.ct.us

Cc: Victor Sandoval – Cheshire Public Schools (via email vsandoval@cheshire.k12.ct.us)
Daniel J. Bombero, Jr dbombero@cheshirect.org

**File Location:**

NAS\ My Files ZW \ Lead\ZW-XRFsurvey2022.doc
LEAD XRF PRE-RENOVATION SCREENING
CHESHIRE HIGH SCHOOL – 525 SOUTH MAIN STREET, CHESHIRE CT
HVAC IMPROVEMENT PROJECT
CS# 206-595, 11/8/2022, PAGE 2 OF 6

INTRODUCTION

EXECUTIVE SUMMARY:
Lead Based Paint (as defined by CT DPH and EPA regulations) was not detected within the scope of this inspection. The possible traces of lead are below the XRF detection limits and therefore to compliance with OSHA regulations in CFR 29.1926.62 before any renovation or similar disturbance, the contractor must conduct an assessment including personal air sampling test results. See recommendations.

SITE DESCRIPTION:
The Cheshire High School is a two-story building with a total area of about 229,061 sq ft constructed of steel and masonry. There are two stories of classrooms and offices, the lower level of which includes custodial work areas, recreational areas, the boiler room, shop areas, gymnasium, and the auditorium. The original building was constructed in 1951-1955 totaling about 59,516 sq ft. In 1956, 1961, 1971 their additions put on totaling about 170,000 sq ft. In 1975 a portable building totaling 800 sq ft was added.

PURPOSE AND SCOPE OF INSPECTION:
Lead XRF Pre-Renovation Screening as directed by Rich Clavet. We understand that there are plans to remove existing heating ventilators at the subject school. It is our understanding that the renovation plans include the removal of the existing ventilator units, existing metal louvers, associated piping, portion of existing ceilings and flooring. We understand that this work is to take place in the follow classrooms, 43, 45, 47, 49, 51, 53, 55, 88, 90, 92 and 93. We understand that you would like ChemScope to conduct the required Lead XRF Pre-Renovation Screening as the building was constructed before 1978 prior to the start of any work.

No other materials or areas were within the scope of this inspection.

QUALIFICATIONS:
The Inspection was conducted by:

Ziyang Wang, CT DPH Certified DPH Lead Inspector/Risk Assessor #002275, Radiation Safety Training, RMD 1/29/15.

Chem Scope’s DPH lead license # is CC000164.

This investigation and the information provided in this report depend partly on background information provided by the client. This report is intended for the use of the client. The scope of services performed may not be appropriate for other users and any use of this report by third parties is at their sole risk. This report is intended to be used in its entirety. No excerpts may be taken to be representative of this report.
INTRODUCTION (CONT)

METHOD OF TESTING: Spectrum Analyzer XRF (x-ray fluorescence). Instruments used: Viken PB200i, Serial # 2902. The unit source (Cobalt 57) for unit 2902 was installed February 2021. The XRF detects paint in all layers down to the painted substrate. In other words, if lead paint is painted over with new paint, the lead paint is still detected by this procedure. When paint is covered with metal or plastic trim such as siding or by carpet, the lead paint is usually not detectable. This instrument is registered with the State of Connecticut Dept. of Energy and Environmental Protection and is Generally Licensed under the NRC. This is one of the two methods which are approved under the CT Dept. of Public Health (DPH) regulations. This is a non-destructive test.

TEST PARAMETERS FOR XRF TESTING USING THIS INSTRUMENT: XRF readings of 1.0 mg/cm² or higher are lead-based paint.

XRF CALIBRATION CHECK: Standard Reference Material (SRM) paint film nearest to 1.0 mg/cm² within the National Institute of Standards and Technology (NIST) SRM is used to calibrate the XRF. Calibration Readings are taken at the beginning and end of a job and every four (4) hours during the job with three (3) readings per set. The expiration date of the standard used is 7/1/20.

QUALITY CONTROL PROCEDURES: The XRF is used in accordance with Manufacturer’s Performance Characteristics Sheet and instructions. See test data attached for details. Ten (or if <10, then the total number of tests conducted) testing combinations for re-testing from each unit are selected and checked in either 15 second or 60 second readings.

STATEMENT ON ACCURACY: The XRF Calibration checks were acceptable with each of the three (3) readings before, during (if applicable) and after the testing between 0.7 mg/cm² and 1.3 mg/cm². See attached XRF data sheets for documentation of proper calibration check sequence.

REPORT CONVENTIONS: Rooms are sometimes given arbitrary numbers to avoid ambiguity. Please refer to the enclosed schematic drawings of the site. Samples are referenced by the side of the building they are facing, as indicated on the drawings. Side A is the street side (front), Side B is the left side, Side C is the rear and Side D is the right side.
LEAD XRF PRE-RENOVATION SCREENING
CHESHIRE HIGH SCHOOL – 525 SOUTH MAIN STREET, CHESHIRE CT
HVAC IMPROVEMENT PROJECT
CS# 206-595, 11/8/2022, PAGE 4 OF 6

INSPECTION REPORT SYNOPSIS

LOCATION NAME AND ADDRESS: Cheshire High School
525 South Main Street, Cheshire CT

INSPECTION DATE(S): 11/8/2022

XRF Testing Results: The following surface(s) and/or component(s) contained a toxic level of Lead based paint (at or above 1.0 mg/cm² as defined in CT DPH regulations 19a-111-1 through 11 and HUD guidelines as measured on site by an X-ray fluorescence analyzer):

No Lead Based Paint Detected

The following surfaces contained less than 1.0 mg/cm² of lead, subject to OSHA regulations only:

<table>
<thead>
<tr>
<th>Component/Description</th>
<th>Location</th>
<th>Defective?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Multi-color 9x9 and 12x12 floor tile</td>
<td>Throughout the scope</td>
<td>No</td>
</tr>
<tr>
<td>White wooden ventilator case</td>
<td>Room 93</td>
<td>No</td>
</tr>
<tr>
<td>Red metal ventilator case</td>
<td>Room 47</td>
<td>No</td>
</tr>
<tr>
<td>Green metal windowsill</td>
<td>Throughout the scope</td>
<td>No</td>
</tr>
</tbody>
</table>

Dust, Water and Soil Sampling Results: Not included as part of this work.

NOTES:

Defective Surface as defined by CT DPH regulations 19a-111-1 through 11 which means peeling, flaking, chalking, scaling or chipping paint; or, paint over crumbling, cracking or falling plaster, or plaster with holes in it; paint over a defective or deteriorating substrate; paint that is damaged in any manner such that a child can get paint from the damaged area.

OSHA 1926.62 Definition: Lead means metallic lead, all inorganic lead compounds, and organic lead soaps. Excluded from this definition are all other organic lead compounds. OSHA regulates any detectable amount of lead.

EPA/HUD Definition: Lead-based paint means paint or other surface coatings that contain lead equal to or in excess of 1.0 milligram per square centimeter or 0.5 percent by weight.

CT DPH Definition: A toxic level of lead is “when present in a dried paint on or in a residential dwelling contains equal to or greater than 0.50 percent lead by dry weight, or equal to or greater than 1.0 milligrams lead per square centimeter”

Not all painted surfaces were tested. Consequently, if a surface was not tested assume it contains Lead until proven otherwise. See attached data sheets for a list of surfaces tested.
LEAD XRF PRE-RENOVATION SCREENING
CHESHIRE HIGH SCHOOL – 525 SOUTH MAIN STREET, CHESHIRE CT
HVAC IMPROVEMENT PROJECT
CS# 206-595, 11/8/2022, PAGE 5 OF 6

RECOMMENDATIONS

The OSHA lead-in-construction standard (29 CFR 1926.62) was intended to apply to any detectable concentration of lead in paint, as even small concentrations of lead can result in unacceptable employee exposures depending upon on the method of removal and other workplace conditions. Since these conditions can vary greatly, the lead-in-construction standard was written to require exposure monitoring to ensure that employee exposures do not exceed the action level, by doing an assessment.

OSHA 1926.62 (worker protection): Work that disturbs surfaces or components that contain lead must be done according to OSHA regulation 1926.62. Each employer who has an operation covered by 1926.62 shall initially determine if any employee may be exposed to lead at or above the Action Level (AL) and must make sure that employees are not exposed above the Permissible Exposure Limit (PEL). Currently, the AL is set at 30 micrograms of lead per cubic meter of air (μg/m3) and the PEL is 50 μg/m3. At a minimum the following is required of employers whose employees are handling lead or are in the area where lead is being disturbed.

1. Train employees in the dangers of lead and to lead safe work practices including proper hygiene practices
2. Maintain Records
RECOMMENDATIONS (CONT)

As a basis of initial determination OSHA requires the employer to monitor employee exposures and to base initial determinations on the employee exposure monitoring results and:

- Any information, observations, or calculations which would indicate employee exposure to lead
- Any previous measurements of airborne lead
- Any employee complains of symptoms which may be attributable to exposure to lead.

Until the employer performs an employee exposure assessment the employer shall provide employees with interim protection including but not limited to appropriate respiratory protection, appropriate personal protective equipment; clean change areas; hand washing facilities, and training.

Where the employer has previously monitored for lead exposures, and the data were obtained within the past 12 months during work operations conducted under workplace conditions closely resembling the processes, type of material, control methods, work practices, and environmental conditions used and prevailing in the employer's current operations, the employer may rely on such earlier monitoring.

Monitoring for the initial determination may be limited to a representative sample of the exposed employees who the employer reasonably believes are exposed to the greatest airborne concentrations of lead in the workplace.

Where the employer has objective data, demonstrating that a particular product or material containing lead or a specific process, operation or activity involving lead cannot result in employee exposure to lead at or above the (AL) during processing, use, or handling, the employer may rely upon such data instead of implementing initial monitoring.

ChemScope, Inc. could help with exposure monitoring as needed.

Sincerely,

Ziyang Wang

Lead Inspector/Risk Assessor
ChemScope Inc.  
LEAD INSPECTION DATA FORM FOR XRF - COVER PAGE  

Site Name: Cheshire High School  
Date of Inspection: 11/8/22  
Site Address: 525 South Main Street, Cheshire, CT  
CS# 206-595  
Customer Name: Dan Marseglia - Cheshire Public Schools  
Customer Address: 29 Main Street, Cheshire CT 06410  
Work Area: Classrooms 43, 45, 47, 49, 51, 53, 55, 88, 90, 92, and 93  
Site Description: Two-story building with a total of about 229,061SF  
Year of Construction: 1951-1955  
Name of Individual Doing Testing: Ziyang Wang  
CT DPH Lic# 02275  
CO-57 Date Source Installed: 2/20/21  
Software version # M/δ  
Serial # 2902  

<table>
<thead>
<tr>
<th>Test #</th>
<th>Clock Time</th>
<th>NIST Calibration Standard</th>
<th>Results QM (mg/CM2)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>8:25</td>
<td>NIST SRM 2573 Red</td>
<td>0.0</td>
</tr>
<tr>
<td>2</td>
<td>8:20</td>
<td>NIST SRM 2573 Red</td>
<td>0.9</td>
</tr>
<tr>
<td>3</td>
<td>8:40</td>
<td>NIST SRM 2573 Red</td>
<td>1.1</td>
</tr>
<tr>
<td>24</td>
<td>11:52</td>
<td>NIST SRM 2573 Red</td>
<td>1.0</td>
</tr>
<tr>
<td>33</td>
<td>11:55</td>
<td>NIST SRM 2573 Red</td>
<td>1.3</td>
</tr>
<tr>
<td>24</td>
<td>11:52</td>
<td>NIST SRM 2573 Red</td>
<td>1.3</td>
</tr>
<tr>
<td>4</td>
<td>8:29</td>
<td>Blank</td>
<td>0.0</td>
</tr>
<tr>
<td>5</td>
<td>8:32</td>
<td>Blank</td>
<td>0.0</td>
</tr>
<tr>
<td>6</td>
<td>8:32</td>
<td>NIST SRM 2570 White (Blank)</td>
<td>0.0</td>
</tr>
<tr>
<td>35</td>
<td>11:50</td>
<td>NIST SRM 2570 White (Blank)</td>
<td>0.0</td>
</tr>
<tr>
<td>36</td>
<td>11:50</td>
<td>Blank</td>
<td>0.0</td>
</tr>
</tbody>
</table>

Note: each entry represents a single test on the surface indicated.  
• Acceptance limits for calibration are 0.7-1.3.  
• 1.0 mg/cm² or higher = lead based paint (LBP)  
• All values run under Quick Mode (QM), unless noted otherwise under comments above.  
• Calibration std SRM 2573 has 1.0 mg/cm² of lead, expiration of std is 7/1/20.  
• DEF under comments means the surface has defective lead based paint  

INSPECTOR SIGNATURE/Date/REVIEWED BY/Date: 11/8/22
<table>
<thead>
<tr>
<th>Test # / Side</th>
<th>Int/Ext</th>
<th>Room #</th>
<th>Component</th>
<th>Defective (Y/N)</th>
<th>Color</th>
<th>Substrate</th>
<th>Results QM (mg/CM²)</th>
<th>LBP (Y/N)</th>
</tr>
</thead>
<tbody>
<tr>
<td>7 B</td>
<td>Int</td>
<td>Rm 45</td>
<td>Floor</td>
<td>N</td>
<td>Tan</td>
<td>9x9 tile</td>
<td>0.3</td>
<td>N</td>
</tr>
<tr>
<td>8</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.1</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td></td>
<td>Rm 45</td>
<td>Radiator case</td>
<td></td>
<td>White</td>
<td>Metal</td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<td>0.0</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td></td>
<td>Rm 45</td>
<td>Ventilator case</td>
<td></td>
<td>Light green</td>
<td>Plywood</td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>12</td>
<td></td>
<td></td>
<td>Ceiling</td>
<td></td>
<td>White</td>
<td>1x1 CT</td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>13</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<td>0.0</td>
<td></td>
</tr>
<tr>
<td>14</td>
<td></td>
<td>Rm 45</td>
<td>Wall</td>
<td></td>
<td>CB</td>
<td>Metal</td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>15 A</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>16</td>
<td></td>
<td>Rm 45</td>
<td>CT grid</td>
<td></td>
<td>Black</td>
<td>Metal</td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>17 C</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>18</td>
<td></td>
<td>Rm 45</td>
<td>Beam</td>
<td></td>
<td></td>
<td>Metal</td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>19</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>20 B</td>
<td>Int</td>
<td>Rm 45</td>
<td>Ventilator case</td>
<td></td>
<td>White</td>
<td>Wood</td>
<td>0.1</td>
<td></td>
</tr>
<tr>
<td>21</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.1</td>
<td></td>
</tr>
<tr>
<td>22</td>
<td></td>
<td>Rm 45</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>23</td>
<td></td>
<td>Rm 45</td>
<td>Beam</td>
<td></td>
<td></td>
<td>Metal</td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>24</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>25</td>
<td></td>
<td>Rm 45</td>
<td>Ceiling</td>
<td></td>
<td></td>
<td></td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>26</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>27 D</td>
<td></td>
<td>Rm 43</td>
<td>Floor</td>
<td></td>
<td>Dark gray</td>
<td>9x9 tile</td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>28</td>
<td></td>
<td></td>
<td>Wall</td>
<td></td>
<td></td>
<td></td>
<td>0.0</td>
<td></td>
</tr>
<tr>
<td>29</td>
<td></td>
<td>Rm 47</td>
<td>Floor</td>
<td></td>
<td>Lite white</td>
<td>12x12 tile</td>
<td>0.3</td>
<td></td>
</tr>
<tr>
<td>30</td>
<td></td>
<td></td>
<td>Ventilator case</td>
<td></td>
<td>White</td>
<td>Metal</td>
<td>0.1</td>
<td></td>
</tr>
<tr>
<td>31 D</td>
<td></td>
<td>Rm 47</td>
<td>Window sill</td>
<td></td>
<td>Pea Green</td>
<td>11</td>
<td>0.1</td>
<td></td>
</tr>
</tbody>
</table>

Signature: [Signature] Date: [11/8/22]
### Evaluating the Quality of XRF:

Site Name: Cheshire High School  
Site Address: 525 South Main Street, Cheshire CT  
CS# 206-595  
Date: 11/8/2022

<table>
<thead>
<tr>
<th>Location</th>
<th>Original Reading</th>
<th>Retest Reading</th>
<th>Square of Original Reading</th>
<th>Square of Retest Reading</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Room 90 - Floor - Side B</td>
<td>0.3</td>
<td>0.1</td>
<td>0.09</td>
<td>0.01</td>
</tr>
<tr>
<td>2. Room 90 - Radiator case - Side B</td>
<td>0.0</td>
<td>0.0</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>3. Room 90 - Ventilator case - Side B</td>
<td>0.0</td>
<td>0.0</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>4. Room 90 - Ceiling - Side B</td>
<td>0.0</td>
<td>0.0</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>5. Room 90 - Wall - Side A</td>
<td>0.0</td>
<td>0.0</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>6. Room 90 - Ceiling tile grid - Side C</td>
<td>0.0</td>
<td>0.0</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>7. Room 90 - Beam - Side B</td>
<td>0.0</td>
<td>0.0</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>8. Room 93 - Ventilator case - Side B</td>
<td>0.0</td>
<td>0.0</td>
<td>0.00</td>
<td>0.00</td>
</tr>
<tr>
<td>9. Room 93 - Beam - Side B</td>
<td>0.1</td>
<td>0.1</td>
<td>0.01</td>
<td>0.01</td>
</tr>
<tr>
<td>10. Room 93 - Ceiling - Side B</td>
<td>0.0</td>
<td>0.0</td>
<td>0.00</td>
<td>0.00</td>
</tr>
</tbody>
</table>

Sum of ten squared averages ("C"):  
"C" times 0.0072 ("D"): 0.00072  
"D" plus 0.032 ("E"): 0.03272  
Square root of "E" ("F"): 0.18089  
"F" times 1.645 (Retest Tolerance Limit): 0.2976  

Average of the ten XRF Readings: 0.04  
Absolute difference of the two averages: 0.0222